

2020 FTA Joint State Safety Oversight and Rail Transit Agency Virtual Workshop

October 6-8, 2020



**U.S. Department
of Transportation**

**Federal Transit
Administration**



U.S. Department of Transportation
Federal Transit Administration

What Is State Safety Oversight (SSO)

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Division Chief, Office of Safety Review
Office of Transit Safety and Oversight
Federal Transit Administration



Overview

- Authority
- Federal Public Transportation Law
- Implementing Rules
- National Public Transportation Safety Plan
- Rail Transit Safety Oversight
- Responsibilities

Authority



1964

Urban Mass Transportation (UMT) Act

Designated the Urban Mass Transportation Administration (UMTA) to assist state and local governments in financing urban mass transportation systems.

1974

National Mass Transportation Assistance Act

Directed UMTA to investigate unsafe conditions it believes creates a serious hazard of death or injury.

1982

Surface Transportation Assistance Act (STAA)

Congress loosened investigation requirements amending the statute to make investigations discretionary – not mandatory.

1991

Intermodal Surface Transportation Efficiency Act (ISTEA)

Renamed UMTA as the Federal Transit Administration. Directed FTA to compel states with rail transit systems to establish safety program plans.

2012

Moving Ahead for Progress in the 21st Century Act (MAP-21)

Funded SSOAs, established a Public Transportation Safety Program, Training and Certification Program, Public Transportation Safety Plans, and strengthened the SSO Program.

2015

Fixing America's Surface Transportation (FAST) Act

Provided FTA additional safety authority to withhold formula funds and assume temporary state oversight if it determines that an SSOA is incapable of providing adequate safety oversight consistent with the prevention of substantial risk of death, or personal injury.

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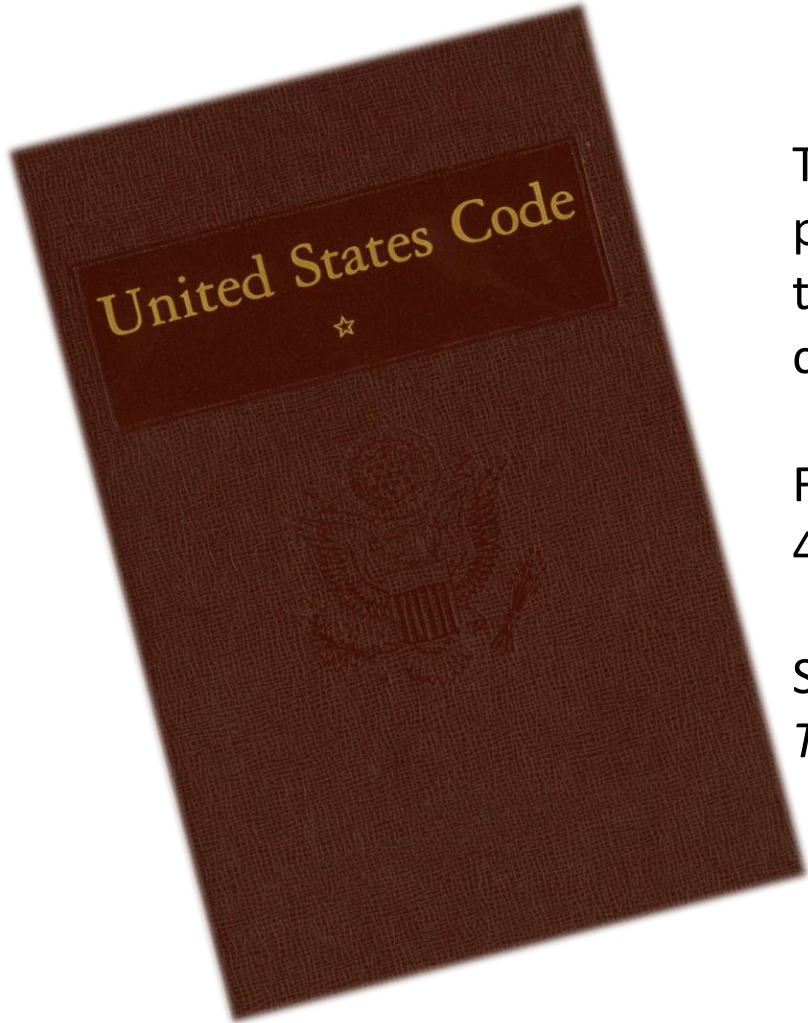
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Federal Public Transportation Law



The United States Code is the official codification of the general and permanent laws of the United States. The Code was created in 1926 to address the need for an updated authoritative, and useful consolidation of Federal laws.

Federal Public Transportation Law can be found in Chapter 53 of Title 49, United States Code.

State Safety Oversight is specifically addressed in Chapter 5329, *Public Transportation Safety Plan*.

Implementing Rules

CODE OF FEDERAL REGULATIONS

49

Transportation

- Part 659** **Rail Fixed Guideway Systems; State Safety Oversight**
 - **Date:** December 27, 1995
 - **Source:** ISTEA
- Part 659** **Rail Fixed Guideway Systems; State Safety Oversight**
 - **Date:** April 29, 2005 (*Revision*)
 - **Source:** ISTEA
- Part 674** **State Safety Oversight**
 - **Date:** March 16, 2016 (*Replaces 49 CFR Part 659*)
 - **Source:** MAP-21 & FAST Act
- Part 670** **Public Transportation Safety Program**
 - **Date:** August 11, 2016
 - **Source:** MAP-21 & FAST Act
- Part 672** **Public Transportation Safety Certification Training Program**
 - **Date:** July 19, 2018
 - **Source:** MAP-21 & FAST Act
- Part 673** **Public Transportation Agency Safety Plan**
 - **Date:** July 19, 2019
 - **Source:** MAP-21 & FAST Act

National Public Transportation Safety Plan

MAP-21 amended Federal transit law by authorizing a new Public Transportation Safety Program
(49 CFR Part 670)



National Public Transportation Safety Plan

The purpose of the plan is to guide the national effort in managing the safety risks and safety hazards within the Nation's public transportation systems.

Rail Transit Safety Oversight

Federal Transit Administration

- Responsible for ensuring SSOAs and RTAs are in compliance with Federal requirements

State Safety Oversight Agency

- Responsible for Direct safety oversight of rail transit agencies in its State

Rail Transit Agency

- Responsible for day-to-day safety of its system and compliance with State and Federal laws

Responsibilities

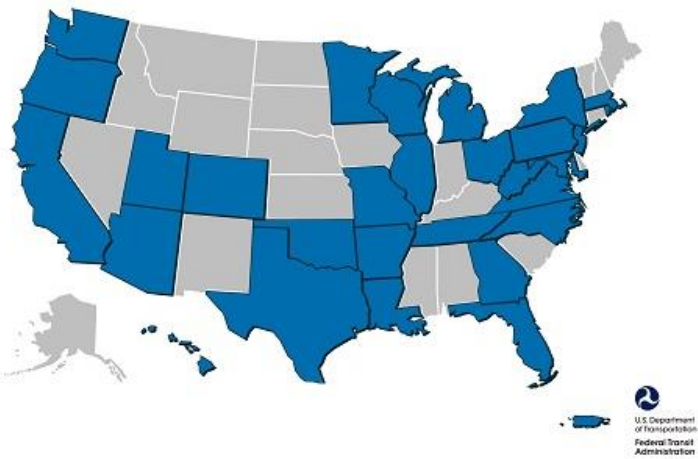
Federal Transit Administration



- Policy
- Technical Assistance/Training
- Certification of State Safety Oversight Programs
- Funding (5329 Formula Funds)
- Routine Monitoring of SSOA Oversight
- Issue Safety/Special Directives to SSOA and/or RTA
- Conduct Triennial Audits of SSOAs
- Validation of SSOA FTA Annual Report Submissions

Responsibilities

State Safety Oversight Agency



Obtain Certification from FTA

Program Standard

Review and Approval of PTASP(s)

Oversight of RTA Safety

Conduct Triennial Audits of RTA(s)

Certification of RTA(s) Capital Projects

Submit Annual Reports (*FTA, RTA Boards, and Governor*)

Responsibilities Rail Transit Agency



Develop & Updates to PTASP

Day-to-Day Safety Oversight of System

Compliance with SSOA Program Standard

Safety Risk Management

Management of Corrective Action Plans (CAPs)

7th St/Metro Center

Safety Is Always My Number One Priority.

Secretary Elaine L. Chao





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Contact Information

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