

# FTA

FEDERAL TRANSIT ADMINISTRATION

## Public Transportation Agency Safety Plan (PTASP) Certification

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U.S. Department of Transportation  
Federal Transit Administration



# Agenda

- PTASP certification requirement
- Who must certify compliance with the PTASP regulation
- What PTASP certification means
- When to certify
- How to certify
- Ongoing certification

# Requirement for PTASP Certification

Each applicable recipient or State must certify their compliance with the PTASP regulation in FTA's Transit Award Management System (TrAMS) (§ 673.13)

# Who must certify compliance?

- Recipients of the Urbanized Area Formula Grants Program (49 U.S.C. Section 5307)
  - Section 5307 recipients that pass on funds to an applicable transit provider will make this certification on behalf of the subrecipient provider
  - In some cases, the recipient may be the State
- States with small public transportation providers that must meet PTASP regulation requirements
- Rail transit agencies in the State Safety Oversight Program

# What does PTASP certification mean?

- When a recipient or State certifies, they are affirming that:
  - The recipient or State has fulfilled all applicable PTASP regulation requirements
    - The State developed Agency Safety Plans (ASP) that meet PTASP regulation requirements for all small public transportation providers in its jurisdiction that did not opt out and notify the State
    - The transit agency recipient meets the requirements of the PTASP regulation and has an ASP signed by the Accountable Executive and approved by the Board of Directors or Equivalent Authority
      - A State Safety Oversight Agency must approve an ASP for rail transit
  - Any applicable subrecipients have also fulfilled all applicable PTASP regulation requirements

# When to Certify

- Recipients and States must certify their compliance only **after** they meet all applicable PTASP regulation requirements
  - Recipients should not complete this certification until after the Accountable Executive signs and the Board of Directors or Equivalent Authority approves the ASP
- Recipients and States can perform other certifications in TrAMS as appropriate and return at a later point to complete their PTASP regulation certification

# How to Certify in TrAMS

- Log in to TrAMS and navigate to the Certifications and Assurances page
- Select Category “02”, “Public Transportation Agency Safety Plan”

**Published Certifications and Assurances**

FTA CERTIFICATIONS AND ASSURANCES

**PTASP Technical Assistance Center**

PTASP Technical Assistance Center

**▲ Certifications and Assurances**

**Certification History**

Certification Date: 2/12/2020 | Official: Johnita Glover | Attorney: Johnita Glover

<input type="checkbox"/>	Category	↑	Title
<input type="checkbox"/>	01		REQUIRED CERTIFICATIONS AND ASSURANCES
<input type="checkbox"/>	02		PTASP
<input type="checkbox"/>	03		PRIVATE SECTOR PROTECTIONS
<input type="checkbox"/>	04		DEMAND RESPONSIVE SERVICE
<input type="checkbox"/>	05		ROLLING STOCK REVIEWS AND BUS TESTING
<input type="checkbox"/>	07		INTELLIGENT TRANSPORTATION SYSTEMS
<input type="checkbox"/>	08		INTEREST, FINANCING, AND LEASING COSTS

# How to Certify in TrAMS

- A pop-up will ask you to confirm that you want to certify to meeting PTASP regulation requirements
- Select “Yes”

The screenshot shows a pop-up dialog box with a dark header and a white body. The header contains the word "actions" in a light blue font. The body text reads: "You selected Category 2. If you selected Category 2, you must certify to the Public Transportation Agency Safety Plan (PTASP) regulation (49 CFR Part 673). PTASP applies to recipients or sub-recipients of financial assistance under 49 U.S.C. § 5307, Rail Transit Agencies (RTAs), and States that are required to draft and certify Agency Safety Plans on behalf of small public transportation providers. For additional information or support, please visit the FTA's PTASP Technical Assistance Center (<https://www.transit.dot.gov/PTASP-TAC>). Are you sure you want to continue with certification?" Below the text are two buttons: a white "NO" button and a blue "YES" button. At the bottom right of the dialog, it says "Certification Date 2/20/2020".



# Ongoing Certification

- After the initial certification in TrAMS, the recipient must complete the certification annually as part of the regular ongoing certification process
- There may be less than one year between your Fiscal Year (FY) 2020 initial certification of compliance with the PTASP regulation and your FY 2021 certification of compliance with the PTASP regulation

# PTASP Technical Assistance Center Links and Contact Information



## Technical Assistance Center

- [www.transit.dot.gov/PTASP-TAC](http://www.transit.dot.gov/PTASP-TAC)

## PTASP Community of Practice

- [www.transit.dot.gov/PTASP-COP](http://www.transit.dot.gov/PTASP-COP)

## Frequently Asked Questions

- [www.transit.dot.gov/PTASP-FAQs](http://www.transit.dot.gov/PTASP-FAQs)

		<a href="http://transit.dot.gov/PTASP-TAC">transit.dot.gov/PTASP-TAC</a>
		1 - 877 - 827 - 7243
		<a href="mailto:PTASP-TAC@dot.gov">PTASP-TAC@dot.gov</a>
		PTASP Technical Assistance Center 943 Glenwood Station Lane, Suite 301 Charlottesville, VA 22901

# TrAMS Contact Information

- For help with TrAMS or specific questions about the certification and assurances process, please contact the TrAMS Help Desk
  - [FTA.TrAMS.help@dot.gov](mailto:FTA.TrAMS.help@dot.gov)
  - Phone: 703-677-9035
  - Alternate Phone: 877-561-7466

# Questions?

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