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Public Transportation Agency Safety Plan (PTASP) Implementation

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U.S. Department of Transportation
Federal Transit Administration

PTASP

Overview

- The transit industry continues to make great progress towards compliance with the PTASP regulation

- FTA is continuing its outreach and technical assistance to help transit agencies and States implement PTASP regulation requirements

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PTASP Notice of Enforcement Discretion

- The Public Transportation Agency Safety Plan (PTASP) regulation (49 CFR 973) established the July 20, 2020 deadline to fulfill and certify to PTASP requirements

- In April 2020, in light of the extraordinary operational challenges presented by the COVID-19 public health emergency, FTA published a Notice of Enforcement Discretion delaying FTA enforcement action until after December 31, 2020

- In December 2020, FTA published a second Notice of Enforcement Discretion delaying FTA enforcement action until **July 21, 2021**
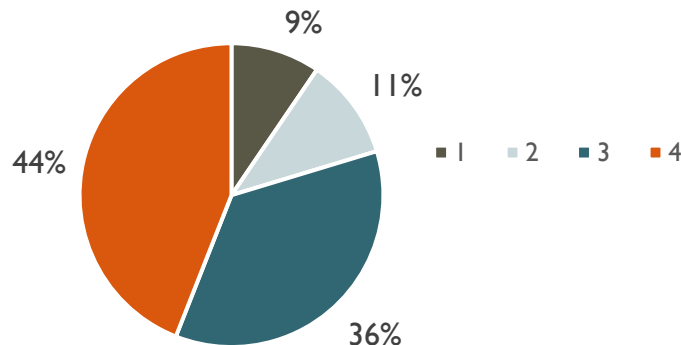
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PTASP Compliance to Date

Key Metrics	National Total
Applicable Transit Providers	705
Applicable State Departments of Transportation (DOTs)	50
Number of Agency Safety Plans (ASPs) certified in FTA's Transit Asset Management System (TrAMS)	620 (88%)

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ASP Certification Status

By ASP Developer Agency Type

ASP Preparer	ASPs	Certified ASPs
Rail/Multi-Modal	63	59 (94%)
Large Bus	73	67 (92%)
Small Bus*	251	221 (88%)
State DOT**	318	273 (86%)
Total	**705**	**620 (88%)**

Certified ASPs by ASP Preparer

9%
11%
44%
36%

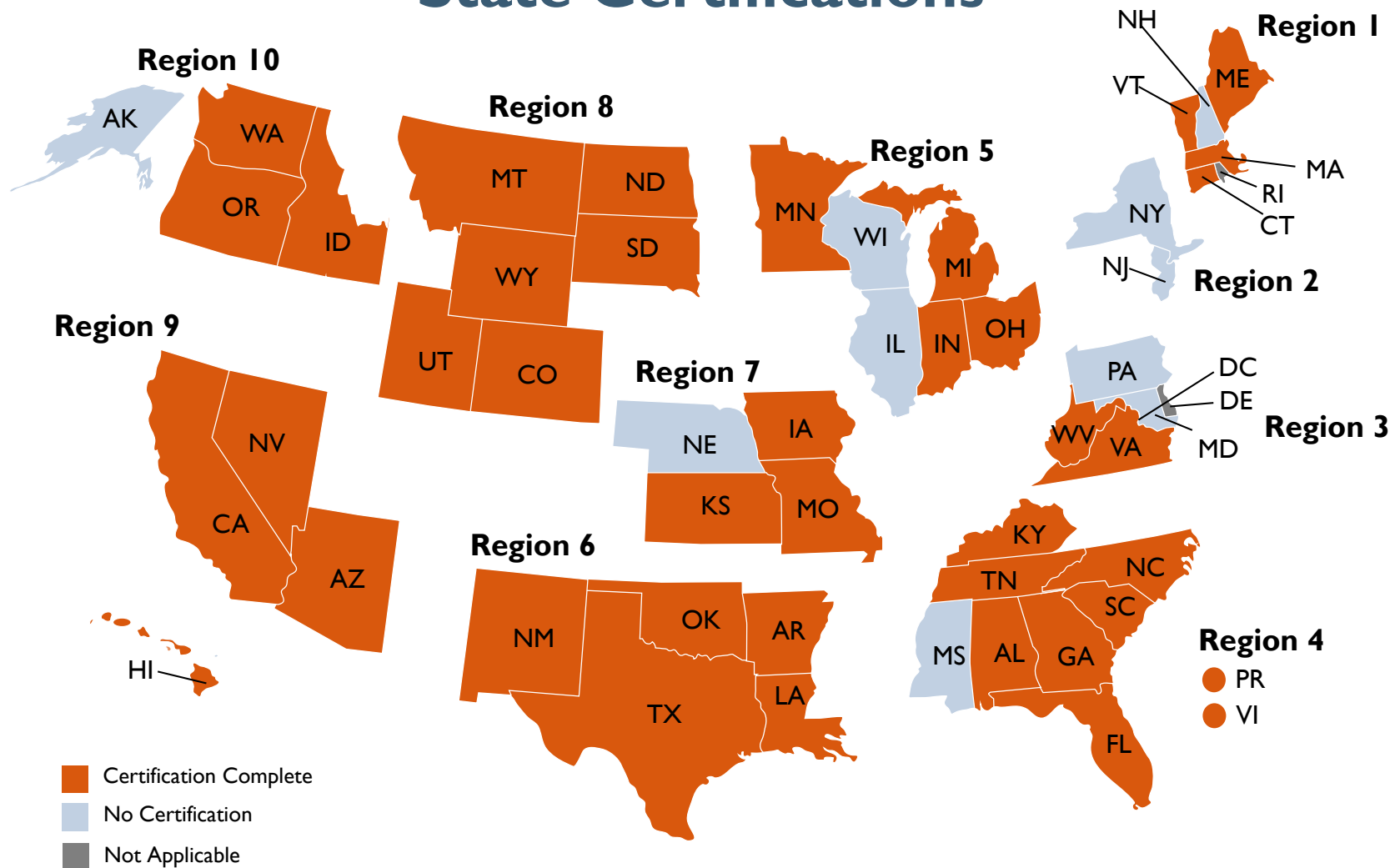■ 1 ■ 2 ■ 3 ■ 4

* *Small Bus* includes agencies that have opted to develop their own ASP.
** *State DOT* includes Small Bus agencies that have not opted out.

Data as of 3/5/2021. Changes since this date not reflected.

State Certifications

Region 10
AK · WA · OR · ID

Region 8
MT · ND · SD · WY · UT · CO

Region 5
MN · WI · MI · IL · IN · OH

Region 1
NH · VT · ME · MA · RI · CT

Region 2
NY · NJ

Region 9
NV · CA · AZ · HI

Region 7
NE · IA · KS · MO

Region 3
PA · DC · DE · MD · WV · VA

Region 6
NM · OK · AR · TX · LA

Region 4
KY · TN · NC · SC · MS · AL · GA · FL · PR · VI

Legend:
- Certification Complete
- No Certification
- Not Applicable

- 40 of the 50 applicable States have completed PTASP certification (80%)

Data as of 3/5/2021. Changes since this date not reflected.

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PTASP Implementation Activity

- PTASP Technical Assistance Center (TAC) launched in October 2019

- **44** webinars conducted with over **7,200** attendees

- **21** workshops provided with over **1,200** attendees

- **63** guidance documents published

- **28** *PTASP TAC Updates* published

- **373** voluntary ASP reviews completed

- Over **1,400** inquiries addressed by the TAC

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Upcoming PTASP Implementation Activity

Planned activities through July 2021

- Conduct monthly industry webinars:
  - **March 31:** PTASP Documentation and Recordkeeping
  - **April:** Planning and Carrying Out the Annual ASP Review
  - **May:** Implementing SMS Authorities, Accountabilities and Responsibilities
  - **June:** Implementing Safety Risk Assessment Approaches
  - **July:** Safety Event Investigation in an SMS
- Host virtual PTASP workshops focused on ASP implementation (late April-June)
- Survey the industry to identify resource needs for ASP implementation
- Conduct voluntary ASP reviews (deadline to submit is May 28, 2021)
- Publish technical assistance resources and updates on the PTASP TAC website
- Provide ongoing technical assistance sessions with agencies who contact the TAC with specific needs

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Poll Question #1

What PTASP technical assistance resource have you found the most helpful?

- Webinars

- Workshops

- PTASP Technical Assistance Center Help Desk

- Sample Agency Safety Plan

- Voluntary Agency Safety Plan Review

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Poll Question #2

As you implement your plan, what PTASP requirements would you like more technical assistance on?

- Employee Safety Reporting Programs
- Safety Risk Management
- Safety Performance Monitoring and Measurement
- Continuous Improvement
- Management of Change
- Comprehensive Safety Training Programs
- Communicating Safety and Safety Performance Throughout the Agency

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PTASP TAC Links and Contact Information

Technical Assistance Center

- www.transit.dot.gov/PTASP-TAC

PTASP Community of Practice

- www.transit.dot.gov/PTASP-COP

Frequently Asked Questions

- www.transit.dot.gov/PTASP-FAQs

transit.dot.gov/PTASP-TAC

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Q&A

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