

Am I Going to be a Participant in a Group TAM Plan?

This checklist will help you determine eligibility to participate in a group plan and help you determine who will sponsor your plan.

Use [Am I a Tier I or Tier II Agency?](#) to determine if you are a Tier I or Tier II operator and required to complete a TAM Plan.

1. Am I a Tier I operator?

Yes: I am not eligible to be covered by a group plan. Review [Am I in compliance with the TAM Final Rule?](#) to determine what you need to do to comply with the TAM rule.

No: Continue to next question.

2. Am I a direct recipient of the 49 U.S.C. 5307 Urbanized Area Formula Grant Program?

Yes: I am not required to be covered by a group plan. However, with consent of a sponsor I may voluntarily participate in a group plan. Continue to Question 5.

No: Continue to next question.

3. How many FTA grantees am I a subrecipient to (enter number here) ___? These grantees are required to offer me participation in a group plan. Of those potential group plan sponsors do I want to participate in any of their group plans?

Yes: I will confirm with the desired sponsor that I will participate in their group plan. Because I may participate in only one group plan, I must provide written notice to all other potential sponsors indicating which group plan I will participate in. Continue to Question 5.

No: Continue to the next question.

4. Are there other group plan sponsors I would prefer to participate with?

Yes: I must ask my preferred group plan sponsor if I can participate in their group plan. If they agree, I must provide written notice to all other potential sponsors that I will participate in another group plan and name the sponsor agency. Continue to question 5.

No: Continue to the next question.

5. Have I identified a group plan sponsor? (If you do not have a subrecipient relationship with the identified group plan sponsor, and/or answered yes to Question 2, the sponsor must agree to your participation in their group plan.)

Yes: My group plan sponsor will be (enter name of group plan sponsor here) _____

I will work with them to develop a TAM plan my accountable executive will approve. If other agencies are required to offer me participation in a group plan, I will provide them with written notice that I will be participating in a different group plan.

No: Continue to next question.

6. Am I opting out of group plan participation?

Yes: I will develop an individual TAM plan and provide written notification to each potential sponsor that I have opted out.

No: I could not find a sponsor willing to let me participate in their group plan, and/or none are required to cover me, so I must do an individual group plan.

Resources

- [Transit Asset Management Guide](#) - This guide is designed to increase the awareness and improve the practice of asset management in the transit industry in the United States. It provides a transit agency-specific application of asset management concepts, processes, and tools.
- [Asset Management Guide Supplement: Asset Category Overviews & Lifecycle Management -Update \(Report 0138\)](#) - This report update provides detailed information about asset category organization and current lifecycle management practices. This supplement provides a thorough and detailed understanding of the complexities for managing asset categories, providing stakeholders with a broader understanding of the contemporary practices involved in asset management.
- [Transit Asset Management PLanning Assistance TemplatE \(TAMPLATE\)](#) - TAM PLanning Asset TemplatE (TAMPLATE) is provided as a tool to assist Tier I and Tier II transit providers, and Group TAM Plan Sponsors, in developing their TAM Plans according to best practice and in alignment with requirements of FTA's Final Rule on TAM.
- [Group Plan Sponsor Workbook](#) - provides a consolidated list of activities, considerations, suggestions, and best practices related to group Transit Asset Management (TAM) plans to assist group plan sponsors in developing group plans. Please note that this document is not official guidance.

Applicable TAM Rule Language

§ 625.27 Group plans for Transit Asset Management.

Sponsor means a State, a designated recipient, or a direct recipient that develops a group TAM for at least one tier II provider.

Group TAM plan means a single TAM plan that is developed by a sponsor on behalf of at least one tier II provider.

(a) Responsibilities of a group TAM plan sponsor.

- (1) A sponsor must develop a group TAM plan for its tier II provider subrecipients, except those subrecipients that are also direct recipients under the 49 U.S.C. 5307 Urbanized Area Formula Grant Program. The group TAM plan must include a list of those subrecipients that are participating in the plan.
- (2) A sponsor must comply with the requirements of this part for a TAM plan when developing a group TAM plan.
- (3) A sponsor must coordinate the development of a group TAM plan with each participant's Accountable Executive.
- (4) A sponsor must make the completed group TAM plan available to all participants in a format that is easily accessible.

(b) Responsibilities of a group TAM plan participant.

- (1) A tier II provider may participate in only one group TAM plan.
- (2) A tier II provider must provide written notification to a sponsor if it chooses to opt-out of a group TAM plan. A provider that opts-out of a group TAM plan must either develop its own TAM plan or participate in another sponsor's group TAM plan.
- (3) A participant must provide a sponsor with any information that is necessary and relevant to the development of a group TAM plan.