

# Leadership Tools and Practices for a Robust Safety Management System (SMS)

September 28, 2022

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**Public Transportation Agency Safety Plan  
Technical Assistance Center  
(PTASP TAC)**



# Objective

This round table will provide a forum for transit industry professionals to share leadership practices and experiences.

After this webinar, participants should be able to:

- Identify leadership approaches and activities for transitioning from SMS development to SMS implementation and optimization.
- Describe methods for using information from employee reporting and SMS activities to support decision making.
- Identify unanticipated benefits of adopting a proactive SMS-based safety culture on an agency level

# Agenda

1. Introduction
2. Panel discussion
3. Q&A

# Industry Speaker

## **Andrew Ennis**

Transit Rail Safety & Emergency Management Administrator  
Virginia Department of Rail and Public Transportation

## **Francis Julien**

Deputy Chief Executive Officer  
Regional Transportation Commission of Southern Nevada

## **Mike Neuenkirchen**

Transit Manager  
City of DeKalb, IL

## **Jesse Rush**

Assistant Director  
EMBARC (Oklahoma City)



# Related Resources

Log onto FTA's PTASP TAC Resource Library for more webinars, tools, and fact sheets related to SMS leadership

[www.transit.dot.gov/PTASP-TAC](http://www.transit.dot.gov/PTASP-TAC)

**For information related to the Bipartisan Infrastructure Law,  
visit [www.transit.dot.gov/BIL](http://www.transit.dot.gov/BIL)**

Contact [FTA-IIJA@dot.gov](mailto:FTA-IIJA@dot.gov) with your questions related to the  
Bipartisan Infrastructure Law

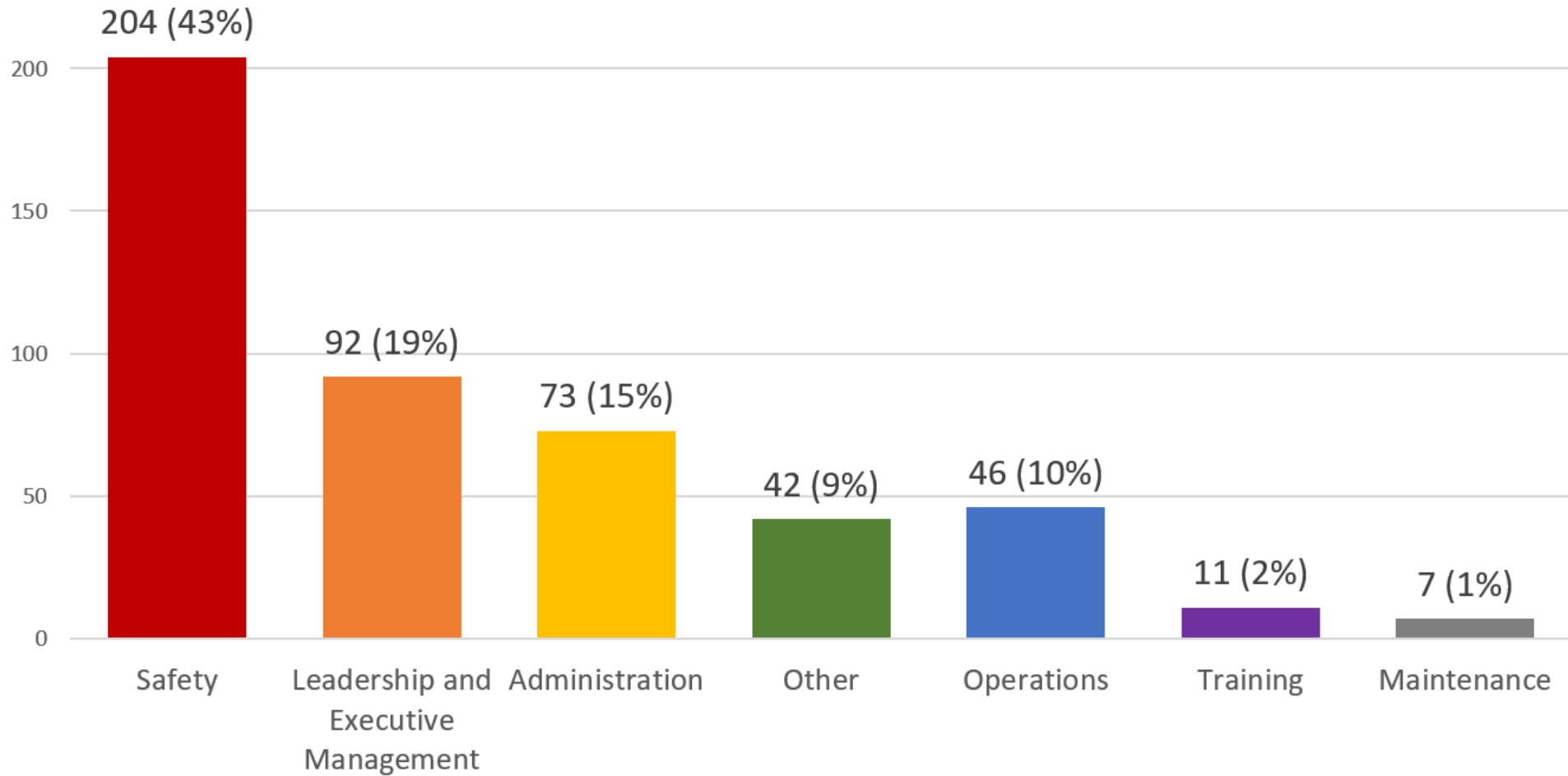
# Feedback

Your feedback helps us deliver the resources and tools that are most relevant to your needs. Based on feedback from the previous webinar surveys, this webinar features:

- Multiple speakers
- Speakers on video
- Expanded industry participation

Please stay tuned for another survey at the conclusion of this webinar!

# Today's Audience



# Audience Poll



**Select the components of your SMS where your leadership is actively engaged.**

- 30%** Safety Management Policy
- 28%** Safety Risk Management
- 24%** Safety Promotion
- 18%** Safety Assurance



**From a leadership perspective, how has SMS evolved at your agency?**



# Audience Poll



## Where are you personally spending most of your time in SMS?

- 21%** Safety performance measurement and monitoring
- 16%** N/A
- 13%** Safety training
- 12%** Safety risk assessments
- 10%** Safety data analysis
- 10%** Safety risk mitigation
- 9%** Employee Safety Reporting Program
- 9%** Safety communication

**What level of involvement does your agency's leadership have with implementation of SMS?**



# Audience Poll



**Since implementing your SMS, have your agency's processes changed?**

**58%** A little change

**13%** Significant change

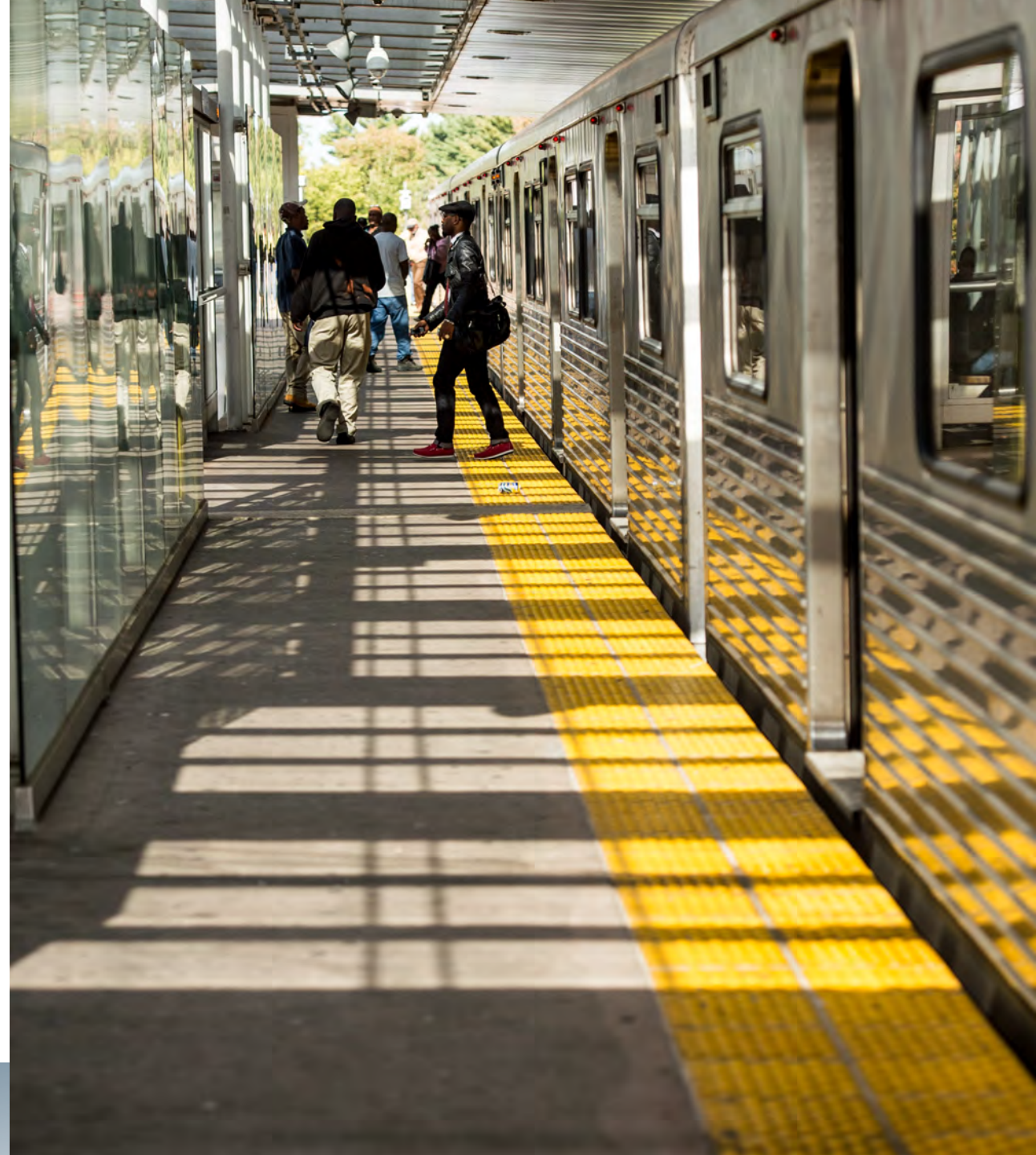
**11%** N/A

**10%** No change

**8%** Unsure

**How did you establish open lines of communication regarding safety throughout your organization?**

**How does agency leadership use information from the SMS to support decision making?**



# Audience Poll



**Where do you personally observe the most change/impact from SMS on your agency's operations?**

- 37%** Better frontline worker representation in safety processes
- 22%** Better monitoring of safety risk
- 14%** Transparency in safety decision making
- 14%** Stronger performance measurement
- 13%** N/A

**What has SMS done  
for your agency that  
you could not have anticipated  
prior to implementation?**

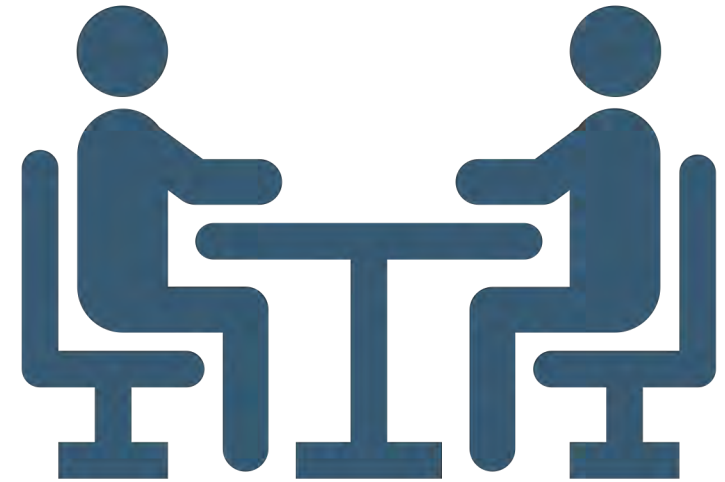


# Technical Assistance

- **TAC Website** [transit.dot.gov/PTASP-TAC](https://transit.dot.gov/PTASP-TAC)
- **FAQs** [transit.dot.gov/PTASP-FAQs](https://transit.dot.gov/PTASP-FAQs)

The TAC help desk is available to assist the transit industry with PTASP questions, including questions about ASP development and implementation:

- **Email** [PTASP-TAC@dot.gov](mailto:PTASP-TAC@dot.gov)





# Questions and Answers

- Question and Answer session
- For information related to the Bipartisan Infrastructure Law
  - Please visit FTA's [Bipartisan Infrastructure Law](#) webpage
  - Review FTA's March 1, 2022 [Bipartisan Infrastructure Law webinar](#)
  - Contact [FTA-IIJA@dot.gov](mailto:FTA-IIJA@dot.gov) with your questions related to the Bipartisan Infrastructure Law





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