

# Instructions: FFY23 Anticipated Application Activity (AAA) Recipient Spreadsheets

Due not later than 3/31/23

Please send completed Spreadsheets to these e-mail addresses not later than 3/31/23

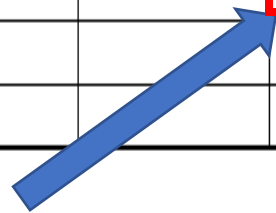
\*Note: AAA's only need to be transmitted once

Please send AAA's to the 3 e-mail addresses below & suggest also copying your pre- and post-award managers



FTA Region IV Anticipated Application Activity (AAA) Spreadsheet for FFY23 Send to FTATRO4@dot.gov, CC robert.sachnin@dot.gov and Aviance.Webb@dot.gov

Recipient Information						
Recipient ID	Type Recipient ID	State	Type State	Recipient Name	Type Recipient Name here	
				Recipient Address	Type Recipient Address here	
Point of Contact Information						
	Full Name	Title		Office Phone	Business Cell Phone	Email
GM/CEO						
Emergency Point of Contact (natural disaster) <sup>1</sup>						Please see footnote #1 below
Grants Point of Contact						
Civil Rights Point of Contact	Please add point of contact information for Title VI, DBE and EEO programs					



To ensure your agency receives timely information from FTA, it is important to have accurate and up to date contact info. Please also note cell phone information, especially for the emergency contact, for use only during a disaster, such as a Hurricane.

Note that there are important footnotes at the bottom of the spreadsheet, which may assist you in populating the spreadsheet.

<sup>1</sup> Emergency (Natural Disaster) Contact: please provide appropriate point-of-contact information for use in transit-related logistics and FTA coordination during natural disasters, such as Hurricanes.

<sup>2</sup> A) If you have a carryover funds for the same program, you may combine up to 3 years of formula funding from the same FTA program into one application, however FFY21 or earlier funds must be separated from FFY23 & 23 funding via a different project. B) Recipients are encouraged to consider multi-source or "Super Grants," which combine more than one source of funding in to an application. Exception: CARES, CRRSAA, & ARP funds cannot be combined into a "Super Grant." Please contact your Pre- and Post-award Managers for additional details or questions.

<sup>3</sup> Lapsing funds are those which will expire by 9/30/24. **Definition of Critical Application:** "A demonstrated need for funds to avoid an imminent interruption of service; pay debts/loans; maintain a bond rating; or respond to an actual emergency." Please provide sufficient detail to allow FTA to better assist your efforts.

<sup>4</sup> Documentation Secured: refers to those documents required to advance a grant application. This includes, but is not limited to: 1) project programmed in the TIP/STIP, 2) Flex funds (if applicable): a Form 1575 signed by the State DOT and FHWA division office, 3) Toll Credits (if applicable): documented approval/authorization from State DOT, 4) Ensuring Civil Rights documents are correct and current, 5) Required NEPA and/or

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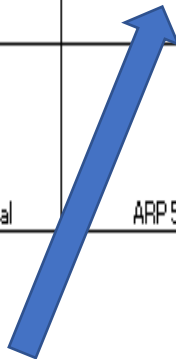
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Entries below should include any potential grants with proposed activity in FFY23, such as new or carryover grants, and amendments. Budget revisions do not need to be included.

Please only enter the federal (FTA) amount here

To prevent delays, early NEPA & Section 106 consultation is recommended, as applicable

FY23 AAA Grant Details									
Lapsing or Critical Application? (Indicate which, if applicable) <sup>3</sup>	Application Name & FTA Program (i.e. 5307 ARPA)	FTA Program/Section of Statute <sup>2</sup>	Anticipated Federal Share	TrAMS Temporary FAIN (Required)	Anticipated Transmittal Date	If Critical, describe the reason(s)	Construction Involved? (Yes/No)	If documentation is not secured, please describe process and dates for when all documentation will be in place - i.e. NEPA, TIP/STIP <sup>4</sup>	Notes
Lapsing	5307 Capital & Operating, XX Agenda, XX UZA	5307 - Urbanized Area Formula	\$10,000,000	XXXX-2023-1	2/15/2023	N/A	No		Application contains lapsing 2018 5307 funds.
Noncritical	Multisource/Super Grant: 5307 & FHWA STBG Flex for Capital, XX Agenda, XX UZA	Multi-source/Super Grant	\$3,000,000	XXXX-2023-2	3/2/2023	N/A	Yes	NEPA hasn't been completed - coordinating details w/FTA and anticipate completion in March 2023. Project not programmed in the STIP but will be by April 2023.	
Critical	ARP 5307 Operating Assistance, XX Agency, XX UZA	5307 (ARPA) - Urbanized Area Formula Grants	700,000	XXXX-2023-1	4/4/2023	A portion of funds are needed to cover salaries due to cash flow concerns	No		



Multi-source applications are also known as "Super Grants", combining 2+ FTA programs. Please remember to include the FTA funding program (i.e. 5307 ARPA).

Applications without proper documentation may result in processing delays (see Footnote #4).

Please use the dropdown option to select the appropriate FTA program/Section of Statute.

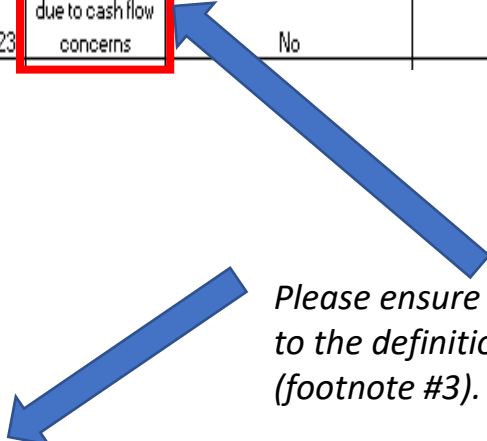
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In FFY23, please note the refined definition of “critical”, and note that follow up and/or concurrence with your agency leadership may occur.

FY23 AAA Grant Details									
Lapsing or Critical Application? (Indicate which, if applicable) <sup>3</sup>	Application Name & FTA Program (i.e. 5307 ARPA)	FTA Program/Section of Statute <sup>2</sup>	Anticipated Federal Share	TrAMS Temporary FAIN (Required)	Anticipated Transmittal Date	If Critical, describe the reason(s)	Construction Involved? (Yes/No)	If documentation is not secured, please describe process and dates for when all documentation will be in place - i.e. NEPA, TIP/STIP <sup>4</sup>	Notes
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A portion of funds are needed to cover salaries due to cash flow concerns



Please ensure “critical” applications align to the definition at bottom of spreadsheet (footnote #3).

Definition of Critical Application: “A demonstrated need for funds to avoid an imminent interruption of service; pay debts/loans; maintain a bond rating; or respond to an actual emergency.”