



PTASP TAC Update April 2023

Learn. Share. Engage.

This issue of the Public Transportation Agency Safety Plan (PTASP) Technical Assistance Center (TAC) Update focuses on recent questions to the TAC.

Featured Resources

New! <u>PTASP Frequently Asked Questions</u> (Updated): Provides answers to frequently asked questions about the Bipartisan Infrastructure Law requirements and other requirements under the PTASP rule.

New! Identifying Causal Factors in Safety Event Investigations: Provides participants with an introduction to causal factors in safety event investigations.

Webinar Presentation | Webinar Video Recording

<u>Causal Factors in Safety Investigations (Part 1): Causal Factors and Safety Management Systems</u>: Examines what causal factors are and their role in an agency's Safety Management System (SMS).

Bipartisan Infrastructure Law Changes to Public Transportation Agency Safety Plan Requirements: Provides an overview of the Bipartisan Infrastructure Law changes to PTASP requirements. Webinar Presentation | Webinar Video Recording

Urbanized Area Size Changes and Public Transportation Agency Safety Plan Requirements: Reviews how a change in urbanized area size may affect a transit agency's PTASP requirements.

Need Assistance?

Contact the **PTASP TAC** at

<u>PTASP-TAC@dot.gov</u>
1-87 PTASP-AID
1 (877) 827-7243

Contact the TAC for oneon-one technical sessions for ASP development and implementation support and voluntary Agency Safety Plan (ASP) reviews.

PTASP Notice of Proposed Rulemaking (NPRM)

On Wednesday, April 26, 2023, the Federal Transit Administration (FTA) published an NPRM in the <u>Federal</u> <u>Register</u> proposing new requirements for the PTASP regulation. The public will have 60 days to submit comments on the proposed changes (by 6/26/23).

<u>Register</u> for the May 10, 2023 PTASP NPRM Overview webinar.

For further information, please visit the <u>PTASP website</u>.

<u>PTASP Contractors and Other External Service Providers</u>: Provides information about how the PTASP requirements affect transit providers that use contractors or other external providers.

<u>ASP Directory</u>: Contains publicly available transit agency ASPs as a resource. FTA has not assessed whether any of the plans meet applicable statutory or regulatory requirements. This directory is provided for technical assistance only.

Access the entire TAC Resource Library by visiting FTA's PTASP TAC website.





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Q & A Highlights

Question 1:

Our agency has a pandemic Continuity of Operations Planning (COOP) plan that we would like to reference in our ASP in relation to the Bipartisan Infrastructure Law "minimizing exposure to infectious diseases" requirement. Do we need to include the whole COOP plan in our ASP?

FTA Response:

No, you do not need to add the entire COOP plan to the

Upcoming Webinar

<u>Tools for Implementing a</u> <u>Comprehensive Safety Training</u> <u>Plan</u>

When: May 31, 2023

Registration Coming Soon

ASP. You may include the plan by reference in the ASP. Note that the PTASP regulation requires transit agencies to maintain all documents that set forth their ASP, including documents included in whole or by reference, that describe the programs, policies, and procedures that the agency uses to carry out its ASP. An agency must retain the required documentation for at least three years after it is created and make this documentation available upon request to FTA or other oversight agencies (49 CFR § 673.31).

Question 2:

We completed our PTASP updates late last year and our newly formed Safety Committee approved it. Does the Board still need to approve it?

FTA Response:

Yes. Updates to the ASP must be signed by the Accountable Executive and approved by the agency's Board of Directors (or an Equivalent Authority). Per the Bipartisan Infrastructure Law, Section 5307 recipients serving a large urbanized area must obtain the Board of Director's approval of updates to the ASP after the Safety Committee's approval.

Question 3:

When updating our ASP, how do we incorporate newly contracted service?

FTA Response:

The Section 5307 recipient is responsible for updating the ASP, which must cover all the service the recipient provides, both directly operated and contracted. The recipient must also ensure that the terms and conditions of the contract satisfy PTASP regulation requirements. Since the contractor will implement the SMS processes in the ASP, it may be beneficial to collaborate with the contractor to ensure the ASP is realistic, implementable, and fits the unique needs of the recipient. The recipient could also request that the contractor develop portions of the ASP; however, the recipient is responsible for ensuring compliance with the regulation and certifying compliance annually.

The contents of this document do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA's statutes and regulations for applicable requirements.