

FTA Safety Policy Updates

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Agenda and Meeting Purpose

The purpose of this session is to provide an overview of and status update on the Federal Transit Administration's (FTA) safety policy initiatives.

Session Agenda:

- Regulatory Action Updates
- Policy Updates
- Questions

Regulatory and Safety Policy Actions

Fall 2022

- Suicide Prevention Advisory
- Risk-based Inspection Program Special Directive

- Safety Committees update and approve ASPs

December 31, 2022

- PTASP NPRM
- Updated NSP

Spring 2023

Fall 2023

- Bus-to-Person Collision Safety Advisory
- PTSCTP NPRM
- Transit Worker Hours of Service and Fatigue Risk Management ANPRM
- SSO NPRM

Winter 2023 - 2024

- RWP NPRM
- Final NSP Publication

Spring 2024

- PTASP Final Rule
- PTSCTP Final Rule

Regulatory Activity Updates (1 of 2)

Overview & Status

Public Transportation Agency Safety Plans (PTASP)

- Incorporates new requirements established through the Bipartisan Infrastructure Law
- **The Notice of Proposed Rulemaking (NPRM) was published in the *Federal Register* in April 2023**

National Public Transportation Safety Plan (NSP)

- Amends NSP to incorporate new requirements established through the Bipartisan Infrastructure Law
- **NSP was published for Notice and Comment in the *Federal Register* in May 2023**

Public Transportation Safety Certification Training Program (PTSCTP)

- Amends training curriculum to reflect new requirements and training course information
- **Published for Notice and Comment in the *Federal Register* in October 2023**

Transit Worker Hours of Service and Fatigue Risk Management (TW HOS FRM)

- Seeks public comment about establishing standards related to transit worker hours of service and fatigue risk management
- **Advance Notice of Proposed Rulemaking (ANPRM) was published in the *Federal Register* in October 2023**

Regulatory Activity Updates (2 of 2)

Overview & Status

State Safety Oversight (SSO)

- Introduces changes to clarify State Safety Oversight Agencies' (SSOA) oversight responsibilities and to incorporate authority to conduct inspections
- **Anticipated NPRM publication: Fall 2023**

Rail Transit Roadway Worker Protection (RWP)

- Establishes minimum baseline standards and risk-based redundant protection requirements for rail transit roadway worker protection.
- **Anticipated NPRM publication: Winter 2024**

Transit Worker and Public Safety (TWPS)

- Establishes minimum baseline standards and risk-based requirements to address transit worker and public safety, including but not limited to FAST Act Section 3022 requirements
- **Anticipated NPRM publication: TBD**

PTSCTP NPRM

The PTSCTP Rule (49 CFR part 672) establishes a uniform safety training curriculum to enhance the Safety Management System (SMS) skills and knowledge of transit safety personnel.

This regulation applies to:

- **State Safety Oversight Agencies (SSOAs)** and their employees and contractors that conduct safety reviews, inspections, examinations, and other safety oversight activities
- **Rail transit agencies (RTAs)** and their employees and contractors that are directly responsible for the safety oversight of rail fixed guideway public transportation systems

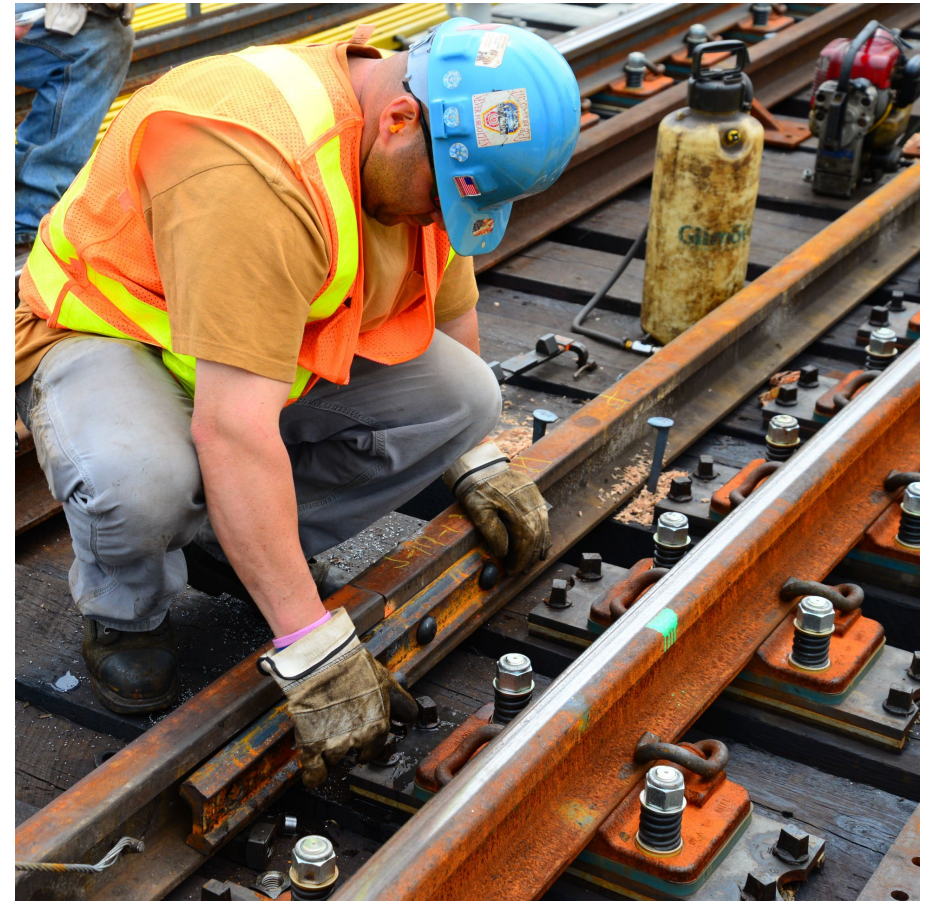
PTSCTP NPRM

FTA published three key proposed updates for the NPRM in the *Federal Register*, including:

Aligning definitions to reflect the proposed updates and with the existing regulatory framework

Streamlining PTSCTP training enrollment and **clarifying** voluntary PTSCTP participation and refresher training requirements

Updating administrative requirements by creating flexibility in course requirements and establishing a communication process



Submit Your Comments!

FTA wants your input!

Submit your comments
to

www.regulations.gov

Docket Number
FTA-2023-0025

- Any individual or group may submit comments on part or all of the NPRM
- Comments are due **Tuesday, December 26, 2023**

TW Hours of Service & Fatigue Risk Management ANPRM Update

The Advance Notice of Proposed Rulemaking (ANPRM) asks for public input on two topics related to reducing the risk of fatigue-related safety incidents:

- Hours-of-service (HOS)
- Fatigue risk management (FRM) programs

FTA will use information received in response to this ANPRM to inform future decision making on whether and how to pursue Federal regulatory action on these topics.



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to

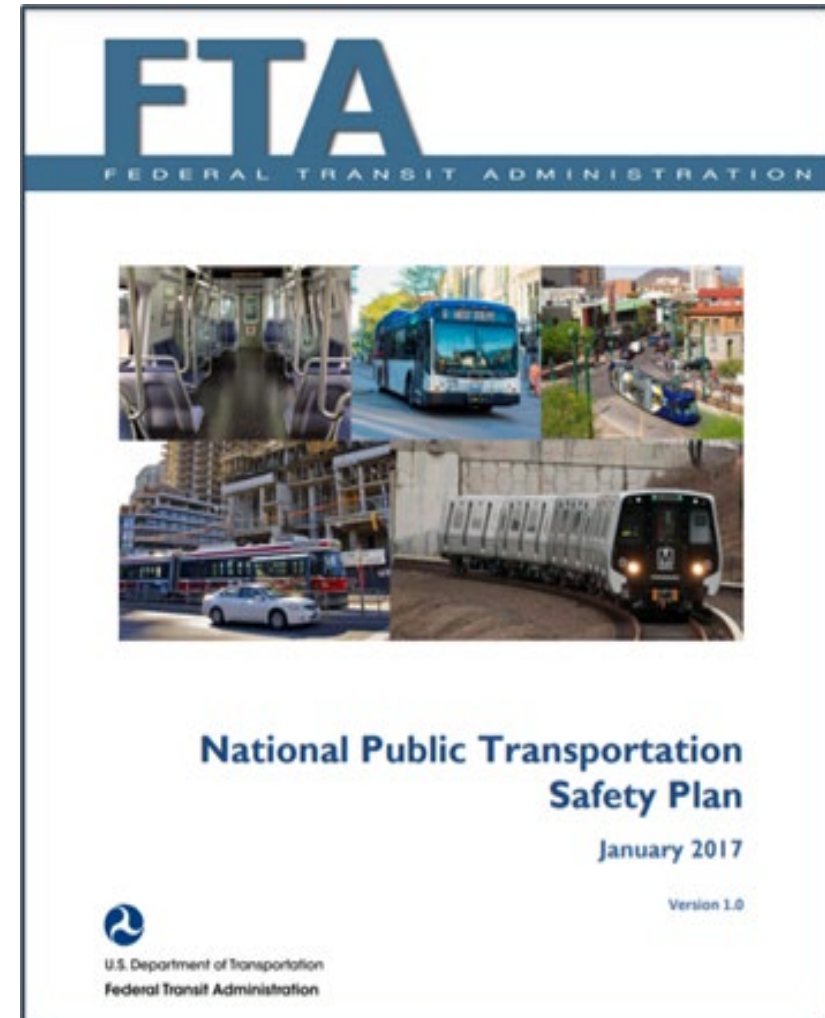
www.regulations.gov

Docket Number
FTA-2023-0018

- Any individual or group may submit comments on part or all of the ANPRM
- Commenters may submit data and research
- Comments are due **December 29, 2023**

What is the NSP?

- FTA's strategic plan and primary guidance document to improve transit safety performance
- Applies to all recipients of federal financial assistance under 49 U.S.C. Chapter 53
- Communicates FTA's SMS approach to improving the transit industry's safety performance
- Serves as a policy document and communications tool
- Serves as a repository of standards, guidance, best practices, tools, technical assistance, and other resources



NSP Update

The proposed NSP (May 2023) includes three chapters that cover a range of safety topics:

Chapter 1: Keeping Safety the Top Priority

- FTA's safety vision and strategic objectives
- Overview of the National Public Transportation Safety Program
- Presentation of safety concerns and safety data

Chapter 2: Safety Performance Criteria

- Identification of required safety performance criteria for PTASP-applicable agencies
- Identification of risk reduction program requirements for transit agencies that receive Section 5307 funding and serve a large urbanized area (UZA)

Chapter 3: Voluntary Safety Standards and Recommended Practices

- Identification of existing safety standards and recommended practices

Safety Performance Criteria Update

- The previous NSP required transit agencies to set targets for 7 safety performance measures
- The proposed NSP adds 7 additional measures for a **total of 14 required measures**

In Previous NSP:



Additional:



Safety Risk Reduction Program

Agencies that receive Section 5307 funding and serve a large urbanized area (UZA) (population > 200,000) must establish a risk reduction program - § 5329(d)(1)(I)

The proposed NSP defines required safety performance measures for safety risk reduction programs. They are as follows:

- Major Events
- Major Event Rate

- Collisions
- Collision Rate

- Injuries
- Injury Rate

- Assault on Transit
Workers
- Assault on Transit
Workers Rate

- Once the NSP is finalized, Safety Committees of agencies must set safety performance targets for these eight measures based on a 3-year rolling average of data the agency reports to the NTD
- If an agency fails to meet a safety performance target, it must allocate its safety set aside in the following fiscal year to eligible projects that will assist the agency in meeting the target

PTASP Technical Assistance Center

FTA provides resources for policy items through its Technical Assistance Center, which serves as a resource for regulation and policy requirements

The screenshot shows the Federal Transit Administration (FTA) website's PTASP Technical Assistance Center (TAC) page. The header includes the FTA logo and navigation links for 'About', 'Funding', and 'Regulations & Programs'. A search bar is located in the top right. The main content area features a breadcrumb trail: 'Home / Regulations and Programs / Safety / Public Transportation Agency Safety Program'. The page title is 'PTASP Technical Assistance Center'. A welcome message states: 'Welcome to the Public Transportation Agency Safety Plan (PTASP) Technical Assistance Center (TAC). We are here to help you meet PTASP regulation requirements.' Below this, a box provides information on Bipartisan Infrastructure Law safety requirements, directing users to 'FTA's web page' or email 'FTA-IJJA@dot.gov'. The page describes the TAC's role in providing technical assistance to help transit agencies meet PTASP regulation requirements, as outlined in 49 CFR part 673. A list of services provided by the TAC includes: one-on-one technical assistance, training and peer-sharing via live webinars and roundtables, voluntary technical reviews of draft Agency Safety Plans, and a resource library with technical assistance tools. At the bottom, there is a 'PTASP Resource Library' section with the instruction 'Choose your agency type to begin' and three icons representing 'Small Bus Transit Provider', 'Bus Transit Provider', and 'Rail Transit Provider'.



Learn more at PTASP-TAC@dot.gov

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Questions?