

APTA Safety & Risk Management Seminar

SMS Implementation, PTASP and Safety Committees

Jeremy Furrer

**Division Chief, Office of Transit Safety and Oversight
Federal Transit Administration**



U.S. Department of Transportation
Federal Transit Administration



Purpose and Agenda

Purpose: The purpose of this presentation is to provide updates on the Federal Transit Administration (FTA)'s Safety Committee requirements and FTA compliance monitoring.

Agenda:

- Safety Committee Requirements
- Public Transportation Agency Safety Plans (PTASP) Compliance
- PTASP Technical Assistance Center



Safety Committee and Safety Management System

Large Urbanized Area (UZA) Providers

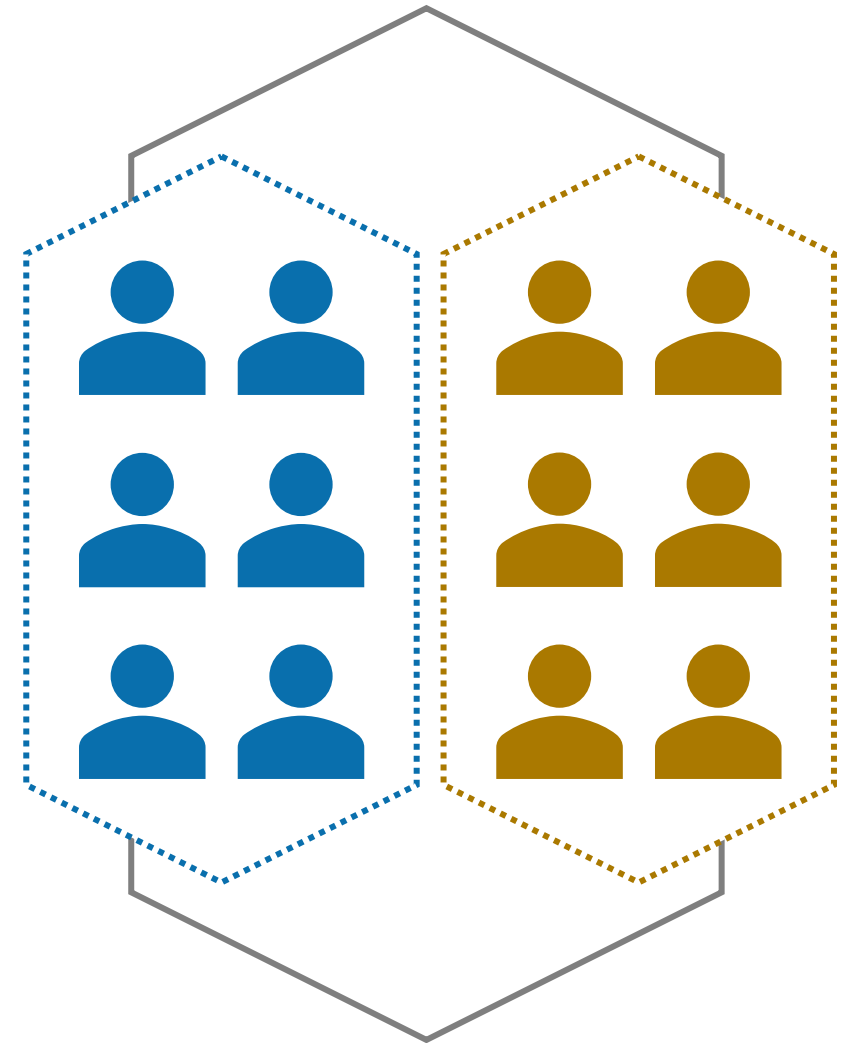
The Safety Committee must support operation of the transit agency's Safety Management System (SMS) by:

- Identifying and recommending safety risk mitigations necessary to reduce the likelihood and severity of potential consequences identified through the transit agency's safety risk assessment
 - Including safety risk mitigations associated with any instance where the transit agency did not meet an annual safety performance target in the safety risk reduction program
- Identifying safety risk mitigations that may be ineffective, inappropriate or were not implemented as intended
 - Including safety risk mitigations associated with any instance where the transit agency did not meet an annual safety performance target in the safety risk reduction program
- Identifying safety deficiencies for purposes of continuous improvement
 - Including any instance where the transit agency did not meet an annual safety performance target in the safety risk reduction program

Safety Committee Membership and Procedures

Large UZA Providers

- Must consist of an **equal number** of frontline transit worker representatives and management representatives
- Intended to give labor and management equal voice
- Must agree to a dispute resolution process and then use that process to address disagreements



Safety Committee and Agency Safety Plan

Large UZA Providers

The Safety Committee must review and approve the transit Agency Safety Plan (ASP) and any updates.

ASP Review and Approval Process for Large UZA Providers

Signed by the
Accountable
Executive



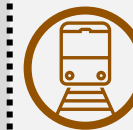
Reviewed and
approved by the
Safety
Committee



Approved by the
Board of
Directors or
equivalent entity



Approved by
State Safety
Oversight Agency



Large UZA Provider
Rail Transit
Agencies Only

Safety Committee and Safety Risk Reduction Program

Large UZA Providers

The ASP of each large UZA provider must include a **safety risk reduction program** for transit operations to improve safety performance by reducing the number and rates of safety events, injuries and assaults on transit workers.

- Address the reduction and mitigation of vehicular and pedestrian safety events involving transit vehicles that includes safety risk mitigations
- Address the reduction and mitigation of assaults on transit workers that includes safety risk mitigations

The safety risk reduction program must include **Safety Performance Targets (SPTs)** set by the Safety Committee for the safety risk reduction program performance measures established in the National Safety Plan.

Safety Risk Reduction Program: Safety Risk Mitigations

- The Safety Committee identifies and recommends safety risk mitigations as part of the safety risk reduction program.
- This must include the consideration of measures to reduce visibility impairments on buses and the deployment of assault mitigations on buses.
- Transit agencies are required to include or incorporate by reference in the ASP any safety risk mitigations relating to the safety risk reduction program that are identified and recommended by the Safety Committee based on a safety risk assessment.

PTASP Compliance

Does the recipient have an ASP?

Has the recipient established required roles and responsibilities?

Does the ASP include the required elements?

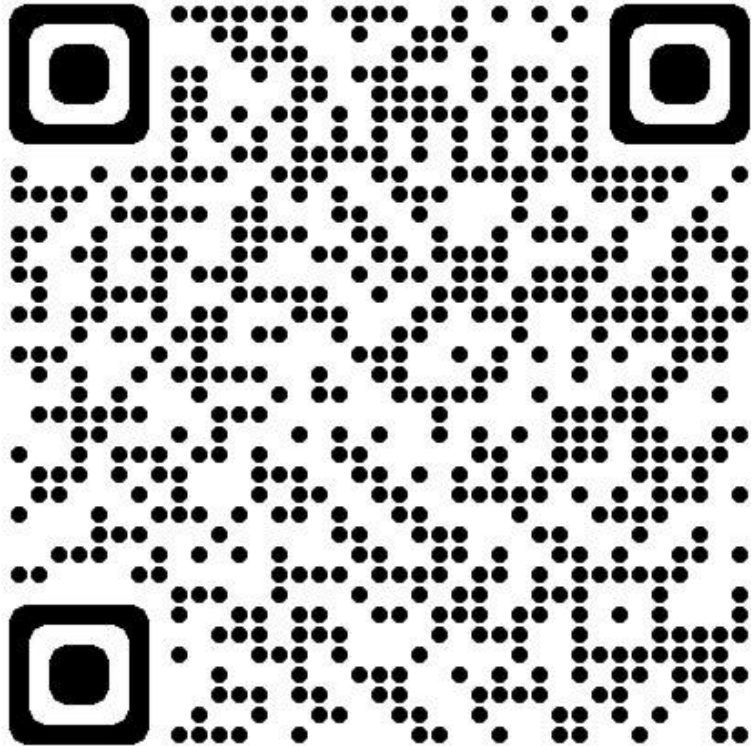
Does the recipient maintain its PTASP documents for a minimum of three years?

Does the recipient monitor subrecipients for compliance with PTASP requirements?

Did the State recipient develop and certify ASPs on behalf of small public transportation provider?

Technical Assistance

The PTASP Technical Assistance Center provides resources to support using your SMS to protect transit workers from assaults.



The screenshot shows the Federal Transit Administration website. The header includes the U.S. Department of Transportation logo and the text "Federal Transit Administration". A search bar is located in the top right. The main content area features a sidebar on the left with links to "PTASP Overview", "FAQs", "PTASP Technical Assistance Center and Resource Library", "Agency Safety Plan (ASP) Directory", "Using SMS to Mitigate Infectious Disease Exposure", and "Using SMS to Protect Transit Workers from Assaults". The main content area is titled "Public Transportation Agency Safety Plans" and contains text explaining the PTASP regulation (49 CFR Part 673) and the requirement for operators to develop an Agency Safety Plan (ASP) that includes a Safety Management System (SMS). Below this, a section titled "Technical Assistance" states that the PTASP Technical Assistance Center (TAC) is available to help transit agencies meet PTASP requirements, with contact information: "Email PTASP-TAC@dot.gov or call 877-827-7243 (9:00 am - 8:00 pm ET, Monday - Friday)". A bullet point links to the "PTASP FAQ" with the text "Start here for answers to your questions". In the bottom right corner of the screenshot, there is a logo for the "PTASP TECHNICAL ASSISTANCE CENTER" featuring a stylized pen nib.

Thank you!

Jeremy Furrer

Jeremy.Furrer@dot.gov



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