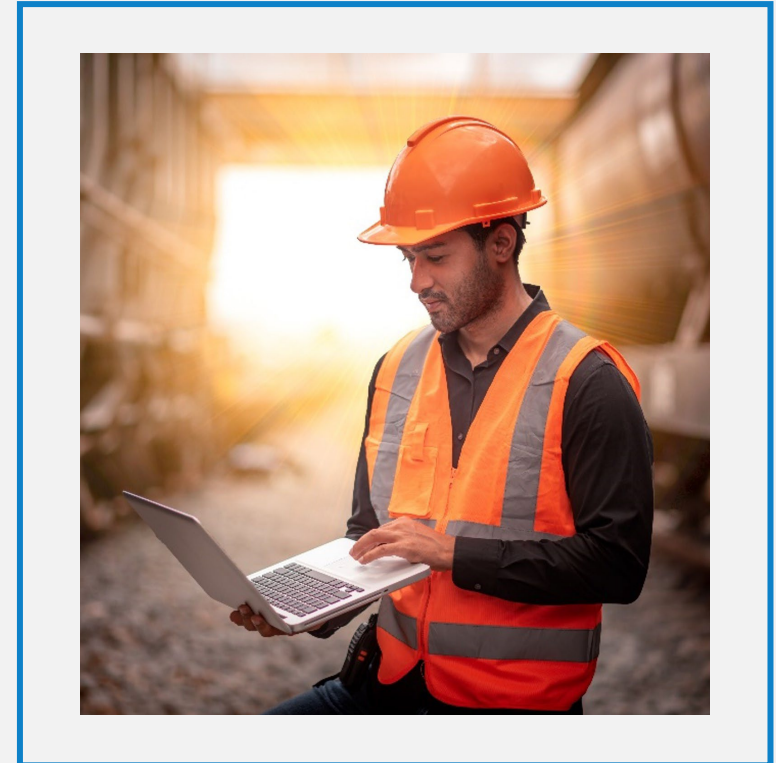


Risk-Based Inspection Program Implementation Requirements

February 25, 2025



U.S. Department of Transportation
Federal Transit Administration



Agenda

1. Assessing Implementation –
What to Expect
2. Implementation Required Actions
from RBI Special Directive
3. Input on RBI Topics for
FTA Joint SSO and RTA Workshop

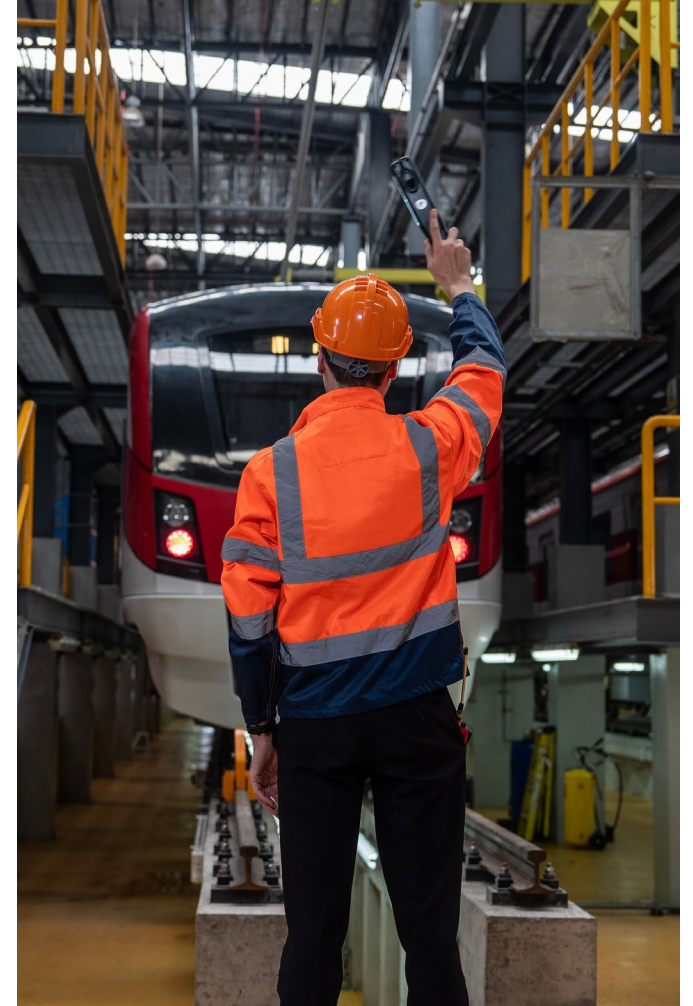
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FTA Must Assess RBI Implementation

IIJA requires FTA to assess each SSOA's capability to conduct RBIs to ensure that:

- Inspection practices are commensurate with the number, size, and complexity of the systems the SSOA oversees
- Program is risk-based
- SSOA has sufficient resources to conduct inspections



SSOA REQUIRED ACTION

Within 1 year of RBI development plan approval, SSOA must submit documentation demonstrating it has implemented its approved RBI program for at least 6 months

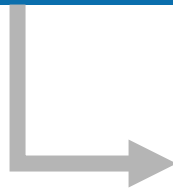


How will FTA fairly assess different RBI programs?

Does the documentation demonstrate the SSOA implemented its approved RBI program as described in its Program Standard?

SSOA REQUIRED ACTIONS

6 broad required actions listed in the special directive that provide further detail about what your documentation must demonstrate or confirm



EVALUATION CONSIDERATIONS

Questions related to each required action that evaluators use to uniformly review documentation to determine if the required action was met

Required Action I001

Submit documentation that confirms the SSOA has **conducted risk-based inspections** according to Program Standard requirements, including the areas of:

- Scheduling inspections
- Inspection reports
- Immediate safety concerns
- Inspections of equipment, infrastructure, and practices specific to each RTA
- Event verification
- Ongoing monitoring
- Defects and corrective or remedial action
- CAPs and safety risk mitigation verification

Bottom Line: Did you follow your procedures for conducting RBIs?

Required Action 1002

Submit documentation that demonstrates the **SSOA has received safety data from each RTA it oversees**, and that the data has been received in accordance with the **policies and procedures** for sharing the data.

This includes:

- receiving the **safety data sets** specified in the Program Standard,
- the **processes for sharing** the data, and
- **submission time frames** defined in the Program Standard.

Bottom Line: Did you collect data from each of your RTAs?

Required Action I003

Submit documentation that confirms the **administrative policies and procedures** of the risk-based inspection data management system are followed.

The documentation:

- must demonstrate the **data management system stores records** according to the SSOA's policies and procedures for the risk-based inspection data management system, and
- should demonstrate **maintenance of the data management system.**

Bottom Line: Is your data management system operating as described?

Required Action I004

Submit documentation that confirms the SSOA has implemented the **risk-based inspection prioritization process**, including **data analysis** and **inspection prioritization according to the timelines** outlined in the Program Standard.

Bottom Line: Are you following your data analysis and prioritization procedures?

Required Action I005

Submit documentation that the SSOA has

conducted risk-based inspections for each RTA it oversees,

and that those **inspections are consistent and ongoing** as defined by the SSOA's Program Standard.

Bottom Line: Are you consistently conducting RBIs across all your RTAs?

Required Action I006

Submit documentation that the SSOA has

inspected the full spectrum of activities each RTA conducts and that those **inspections are prioritized based on relevant data**, including **safety program**, **maintenance**, and **inspection data**.

Bottom Line: Are you conducting RBIs across all relevant equipment, infrastructure, and practices; and are these inspections prioritized based on safety program, maintenance, and inspection data?

What You Can Do Now



Incorporate approved policies and procedures into Program Standard and ASP (5329(k)(3))



Keep a record of any changes to your approved RBI program



Collect documents to demonstrate implementation, such as prioritized inspection lists, RBI reports, inspection scheduling emails, notes from monitoring meetings



FTA-SSOA calls resume 2 months after plan approval

- SSOAs should ask questions and share example documentation
- SSOAs should prepare to report on the number of RBIs completed and scheduled

RBI Session at SSO-RTA Joint Workshop (May 6-8)

Questions?



FTA-RBI@dot.gov