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FEDERAL TRANSIT ADMINISTRATION

APTA Safety Committee

FTA Safety Update

December 2, 2015

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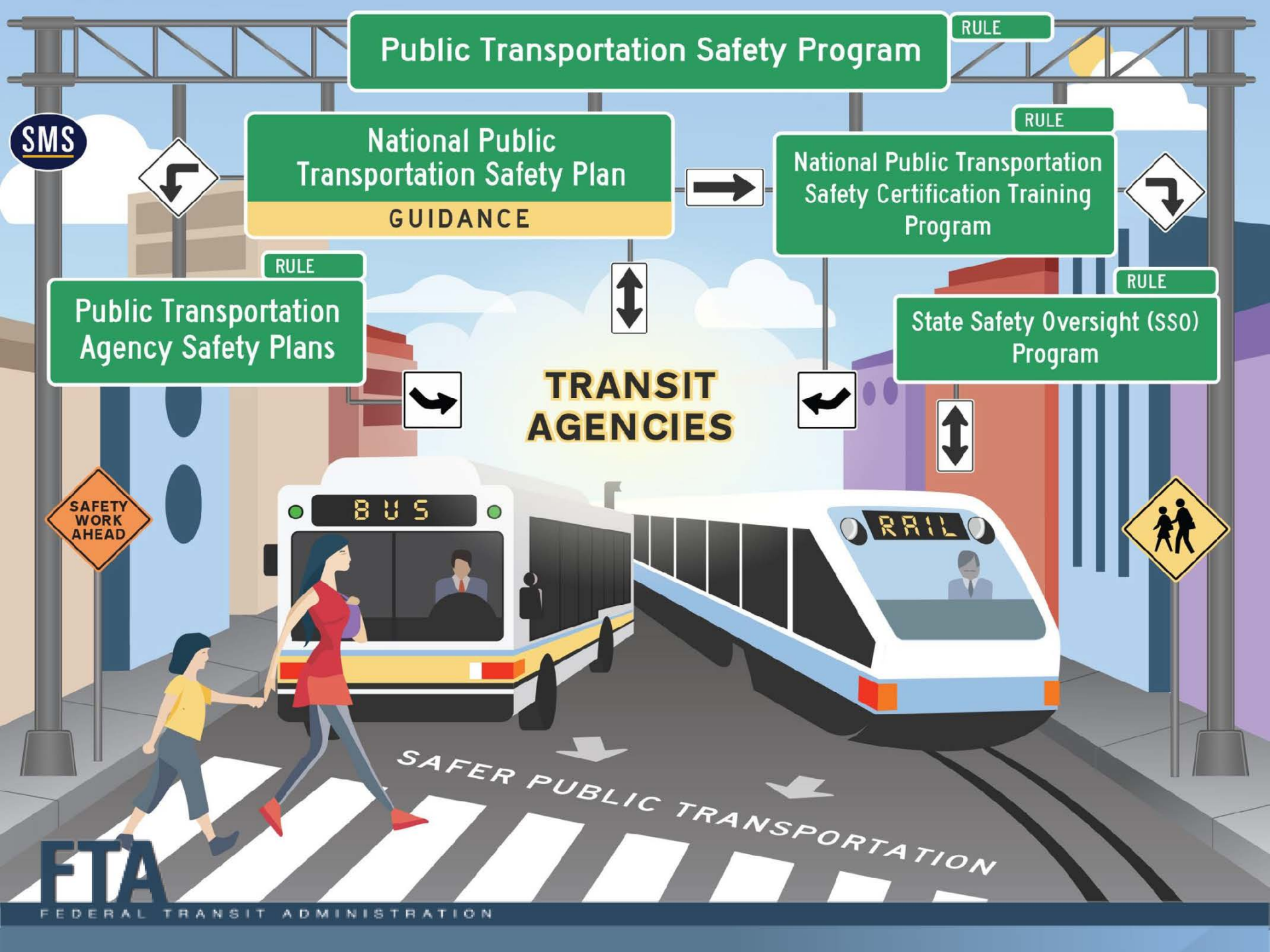
Public Transportation Safety Program
RULE
SMS
National Public Transportation Safety Plan
GUIDANCE
RULE
National Public Transportation Safety Certification Training Program
RULE
Public Transportation Agency Safety Plans
RULE
State Safety Oversight (SSO) Program
TRANSIT AGENCIES
SAFETY WORK AHEAD
BUS
RAIL
SAFER PUBLIC TRANSPORTATION
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Public Transportation Safety Program NPRM

- "Umbrella" document for all of the other Safety rulemakings and guidance
- Formally establishes FTA's safety policy - Safety Management Systems (SMS)
- Sets rules of practice under FTA's enforcement authority
- Commits FTA to creating and implementing a National Public Transportation Safety Plan

National Public Transportation Safety Plan

- Provides a tool for FTA to communicate information to the transit industry and provide updates about safety performance
- Provides guidance on Safety Management Systems
- Future plans will provide additional guidance, technical assistance, tools, and best practices

Public Transportation Agency Safety Plan (TASP)

- Agencies will need to establish a safety plan, as set forth by the requirements in MAP-21
- Defines how operators will implement SMS
- Applies to all operators of Public Transportation Systems that receive Chapter 53 funds
- States may draft and certify state-wide plans for small operators
- Agencies set performance targets for safety and State of Good Repair, based on criteria in the National Public Transportation Safety Plan

State Safety Oversight (SSO) NPRM

- Comment period closed on April 28, 2015
- Received comments from 55 respondents
- Reviewing, identifying issues to be addressed in final rule
- Anticipate Final Rule publication in early 2016
- Within three years of the effective date of the final rule, SSOAs will need to meet the regulatory requirements for the state to continue to receive funding

Safety Certification Training Program NPRM

- Establishes a minimum training baseline for Federal and State personnel who conduct audits and examinations of public transportation systems and for employees of public transportation agencies who are directly responsible for safety oversight of their systems.
- FTA published Interim Provisions for the Public Transportation Safety Certification Training Program, which became effective on May 27, 2015
- Mandatory participants have 3 years to complete the required curriculum.
- Continues the voluntary component for bus transit 5307/5311 (who are designated as safety oversight).

Safety Rulemaking Timeline

MAP-21 Requirements	Federal Register Publication
Transit Asset Management NPRM	Published 9/30/15
Public Transportation Safety Program NPRM	Published 8/14/15 Reviewing Comments
National Public Transportation Safety Plan	Early 2016
Public Transportation Agency Safety Plan NPRM	Early 2016
Safety Certification Training Program NPRM Interim Provisions	Published 12/3/15 Effective 5/28/15
Bus Testing NPRM	Published 6/23/15
State Safety Oversight Final Rule Proposed Rule	Early 2016 Published 2/27/15

What is SMS?

- SMS is a formalized approach to identifying safety concerns, evaluating them for safety risk, and developing risk-reducing strategies.

- SMS helps to answer these questions:
  - What will cause our next accident?
  - How do we know this?
  - What are we doing about it?
  - Is it working?
  - How do we know it is working?

Safety Management Systems

Beware of claims such as

"SMS is just like what were doing, but with a different name" or "SMS is just like Quality Management Systems "

Safety Management Systems

CAVEAT EMPTOR

CAVEANT ADMONITUS

Where to find more information on SMS?

FTA's SMS Framework – SMS - Getting Ready – is posted on our website at:

http://www.fta.dot.gov/tso_15176.html

FTA Oversight of WMATA Safety

- WMATA safety lapses resulted in serious incidents, injuries and fatalities.
- The Tri-State Oversight Committee or TOC lacks sufficient resources, technical capacity and enforcement authority to provide the hands-on safety oversighted needed.
- On October 9, Secretary Foxx directed the FTA to exercise our authority under law to assume temporary and direct safety oversight of Metrorail from the TOC.
- FTA intents to bridge the gap while MD, VA and DC are responsible under federal law for establishing a new SSO for WMATA Metrorail.

FTA Oversight of WMATA Safety

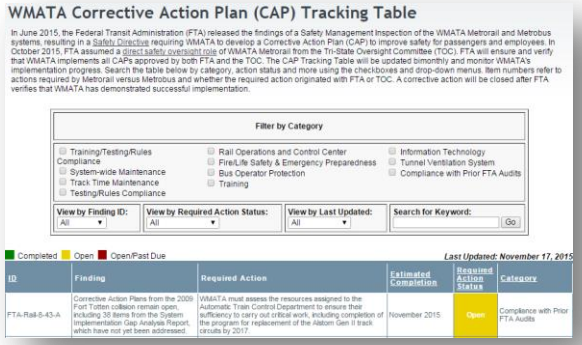
Washington Post Op-Ed by Secretary Foxx:

We all want Metro Fixed – and fast

- https://www.washingtonpost.com/opinions/we-all-want-metro-fixed--and-fast/2015/10/29/92d39df6-7cdd-11e5-beba-927fd8634498_story.html

WMATA Corrective Action Plan (CAP) Tracking Table

- http://www.fta.dot.gov/tso_16628.html



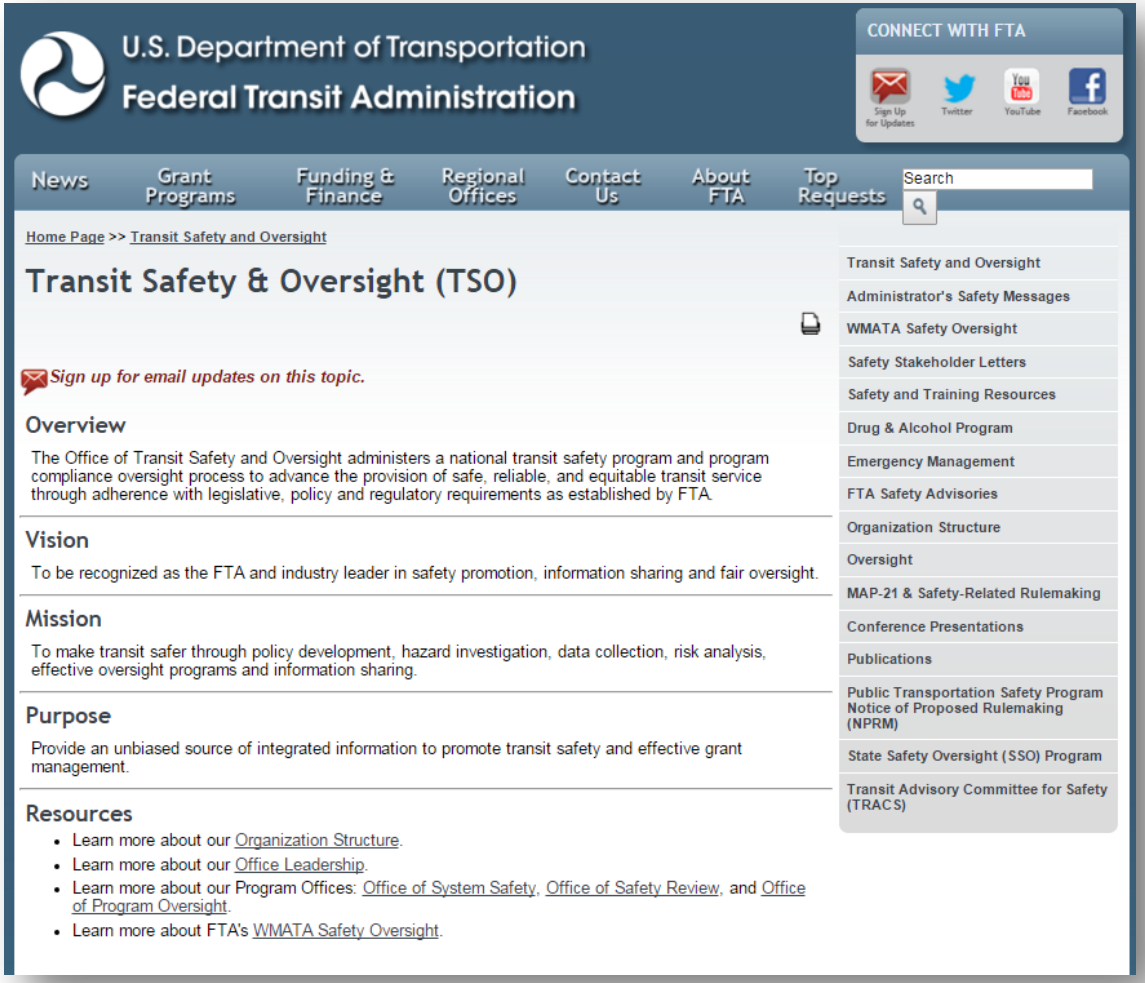
Transit Advisory Committee on Safety (TRACS) Update

- During past year, TRACS took on the study of two major safety issues: fatigue management and preventing transit operator assaults
- Final reports available at http://www.fta.dot.gov/13099_12502.html
- Nine nominees will be appointed to serve on TRACS in early 2016
- Next meeting will be March 2016

Outreach and Communications

- Webinars
- Industry Conference Presentations
- Dear Colleague Letters
- Blogs
- Social Media Postings
- Facts Sheets/FAQs

FTA Transit Safety & Oversight Website



http://www.fta.dot.gov/tso.html

Clean Air Hybrid
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