



U.S. Department of Transportation Federal Transit Administration

Tracking and Transferring Funding

State Programs Meeting
April 15, 2009





Overview

- Governor's Apportionment (Section 5307)
- Statewide Discretionary Earmarks
- Flex Funding Transfers (from FHWA)
- Current Practices
- Round-Robin





Governor's Apportionment

Applicability:

- All States where direct recipients in areas under 200K are authorized to apply directly to FTA for Section 5307 funds.

What do we need?

- Annual letter (on official letterhead) to Director of Planning, cc: Marie Lopez that identifies 5307 applicants and allocations. Electronic copies preferred.
- Letter distributed to small UZA applicants, who should attach to the 5307 grant application
- Obligations to be tracked at the State-level
- Unobligated funds to be redistributed 90 days prior to lapse date
- Use FTA for checks and balances





Statewide Earmarks

Applicability:

- All States where multiple direct recipients will be applying directly to FTA for portions of Statewide Discretionary funds.

What do we need?

- Letter (on official letterhead) to Director of Planning, cc: Marie Lopez that identifies applicants and allocations. Electronic copies preferred.
- Letter distributed to direct recipients who should attach to the 5309 grant application
- Obligations to be tracked at the State-level
- Use FTA for checks and balances





Flex Funding Transfers

Applicability:

- Any STP, NHS, CMAQ funds requested to be transferred from FHWA to FTA for specific transit projects

What do we need?

- Requests made for specific projects, programmed in the STIP/TIP.
- Request a draft grant no. from FTA and include on the request to FHWA. Notify/Copy FTA when transfer request is sent to FHWA
- Funds available in TEAM (transfer completed)
- Documentation of transfer (attached to grant)
- Projects and budget consistent with Planning Process selections
- Local match ratio documented, particularly when 100% has been approved for CMAQ
- Flex Funds not mixed with 5307 funds. (FY 07 and beyond)
- Flex Funds combined (FY 07 and beyond) in single grants, when and where possible.





Current Practices

- AL, FL, KY, TN, NC, SC – Transit Operators in Small UZAs apply directly for Section 5307
- KY, GA: State DOT applies on behalf of operators in the Small UZAs for Section 5307
- FL, GA, PR: Supplemental Agreement for all Section 5307 direct recipients in the Small UZAs
- NC: Tracking sheet sent to FTA for periodic checks & balances
- TN and NC: Statewide discretionary earmarks





Round Robin

- How is the flex fund transfer process working in your state?
- Suggestions for how to improve the tracking of obligations for the various programs (e.g. GA, Flex funds)?
- How will your State be tracking the obligations for the ARRA funding in the Small UZAs?
- Is there interest in testing a pilot process for the FY 2009 Apportionments to track the GA funding?

