



U.S. Department of Transportation Federal Transit Administration

State Management Reviews: FY 2008 and Beyond

*David Schilling, Team Member
State Programs Team Meeting
Atlanta, GA*





Overview

- State Management Review (SMR) Overview
- SMR Findings/Lessons Learned
- SMRs in Region IV
- SMR Technical Assistance





SMR Overview

- State Management Reviews assess state management practices and program implementation of the following FTA programs:
 - Elderly Individuals and Individuals with Disabilities Program (5310),
 - Non-Urbanized Areas Program (5311)
 - Capital Investments Grants Program (5309)
 - Job Access and Reverse Commute Program (5316)
 - New Freedom Program (5317)
- The purpose of the reviews is to ensure the programs are being administered in accordance with FTA requirements and are meeting program objectives.





SMR Process

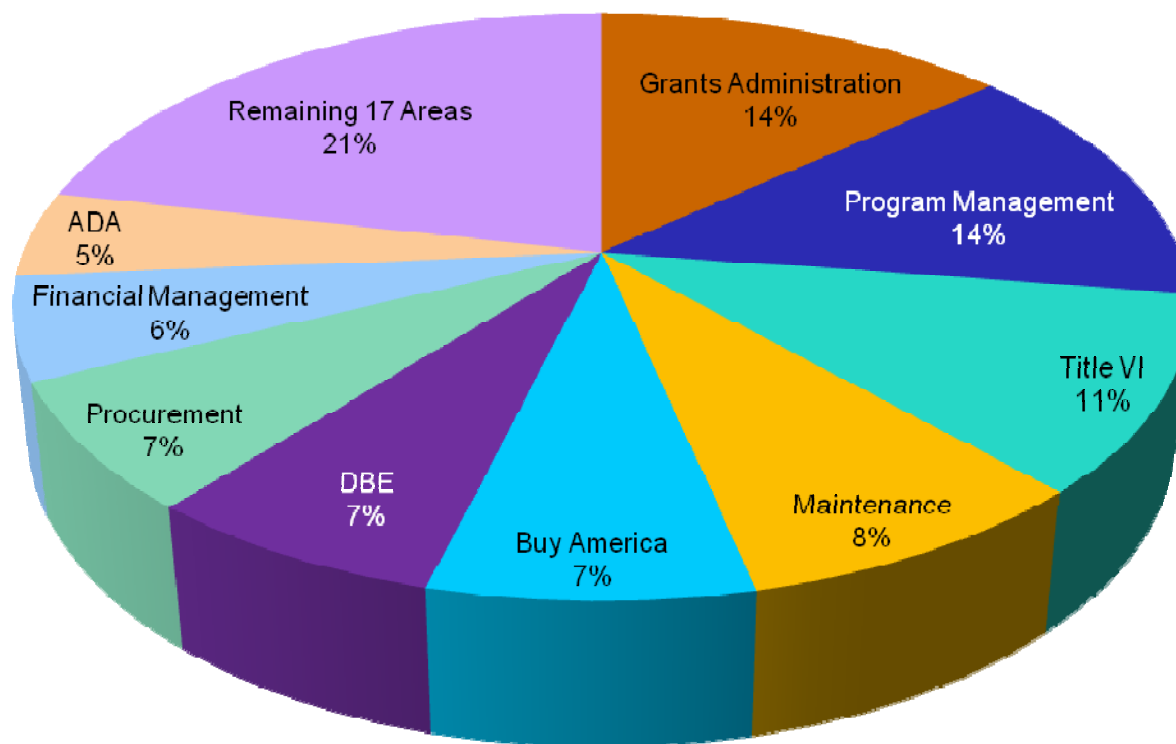
- FTA Headquarters develops list of states subject to SMR
- FTA selects SMR contractor
- Desk Reviews – FTA Region Offices
- Agenda Packages
- Review/Draft Report
- Final Report/Corrective Action
- Correct Findings (by due date)
- SMR Close-Out Letter





FY 2008 SMR Findings

Findings from 19 SMRs and 3 SMR/TRs





SMR Lessons Learned from FY 2008

FY 2008 SMR Lessons Learned:

- **More financial training for DOT staff (cross training within DOT departments responsible for MPRs/FSRs)**
- **More procurement training**
- **Training on Buy America requirements**
- **SMR Contractors to provide more best practices or lessons learned from other areas**





FY 2009 Plans

- Continue efforts to identify state grantees
- Continue Combined Review program efforts
- Develop 2010 guidance
- Develop and Pilot Tribal Transit Program
- Publish periodic newsletter





FY 2009 Reviews

- SMR
 - Vermont
 - New York
 - DC
 - PRHTA
 - Ohio
 - New Mexico
 - Nebraska
 - Colorado
 - Wyoming
 - Arizona
 - Oregon
 - Idaho
- Combined
 - Rhode Island
 - New Jersey
 - Virgin Islands
 - Georgia
 - Wisconsin
 - Louisiana
 - Nevada





SMRs in Region IV

Region IV SMRs in FY 2008:

- Tennessee DOT
- North Carolina DOT
- Kentucky Transportation Cabinet
- Mississippi DOT

Region IV SMRs in FY 2009:

- **Georgia DOT**
- **Virgin Islands Department of Public Works**

Region IV SMRs in FY 2010:

- Alabama DOT
- Florida DOT
- South Carolina DOT





2009 SMR Future Training

FY 2009 Workshops

- Seattle, WA May 7 - 8, 2009
- Denver, CO May 12 - 13, 2009

State Programs Meeting

- Washington DC - August 12-14, 2009

Website for information:

- http://www.fta.dot.gov/funding/oversight/grants_financing_98.html





2010 and Beyond

2010

- 17 Reviews
- Workshops in Boston, Atlanta, Ft. Worth, and San Francisco

2011

- 21 Reviews
- Workshops: Philadelphia, Atlanta, Chicago, Kansas City
- State Programs Meeting in Washington, DC





Contact Information

David Schilling

State Programs Team Member

Email: david.schilling@dot.gov

Phone: 404.865.5645

