



FEDERAL TRANSIT ADMINISTRATION

**Tribal Transit Grant  
Program 5311 (c)(1)(A)  
June 12, 2013**

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U.S. Department of Transportation  
Federal Transit Administration

# Agenda

- Program Purpose
- Eligible Applicants
- Eligible Projects
- Cost Sharing and Matching
- Evaluation Criteria
- Proposal Content/Supplemental Form
- Grants.gov

## Program Purpose

- The Tribal Transit Program (TTP) provides direct funding to federally recognized Indian tribes for the purpose of providing public transportation service on and around Indian reservations in rural areas

# Eligible Applicants

- **Eligible applicants**
  - Federally recognized Indian tribes or Alaska Native villages, groups, or communities as identified by the US Dept. of Interior
- **Available Funding**
  - Approximately \$5 million in FY 2013
- **Funding Availability**
  - Funds are available the year allocated plus two years (total of three years).

# Eligible Projects

- **Eligible Projects**
  - **Planning** – capped at \$25,000
  - **Capital** – replacement and expansion needs
  - **Operating Assistance; limited to:**
    - Start-up/New Systems with no transit
    - For existing transit:
      - Tribes that received \$0 under the formula program, but can demonstrate they operate public transportation
      - Tribes that only received formula funds under Tier 3

# Eligible Projects

- **Capital Projects include but not limited to:**
  - Purchase of expansion or replacement buses/vans
  - Bus related equipment (ITS, fare equipment, & communication devices)
  - Construction and rehabilitation of facilities, (bus maintenance and administrative facilities)
  - Operational support such as computer hardware or software
  - Wheelchair lifts and restraints
  - Passenger shelters and bus stop signs
  - Service agreements with private providers of public transportation services. ( list can be found in circular 9040)
- Indian tribes applying for capital replacement or expansion needs must demonstrate a sustainable source of operating funds for existing/expansion services

## Eligible Projects

- Operating Assistance are those costs directly related to the service operations and at a minimum include:
  - Fuel
  - Oil
  - Drivers' and Dispatchers salaries and fringe benefits
  - Licenses

## Cost Sharing and Matching

- **Eligible Federal Share:** 90 percent
  - Exception for financial hardship cases
- **FTA is interested in Tribe's financial commitment to proposed project**
  - Request Tribes include a description of their financial commitment to the proposed project in the proposal



# Evaluation Criteria – Two sets of criteria

- **Evaluation Criteria for Operating and Capital Assistance Requests:**

1. Planning & Local Prioritization
2. Project Readiness
3. Demonstration of Need
4. Demonstration of Benefits
5. Financial Commitment and Operating Capacity

- **Evaluation Criteria for Planning Grants**

1. Need and General Scope of Proposed Study
2. What is the Long-Term commitment to Tribal Transit?
3. How will the proposed study implement and/or further Tribal Transit?

**\*\*Planning Grants do not require 1-5**

*Please refer to the complete list of criteria in the  
Federal Register Notice of Funding Availability*

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Planning and Local/Regional Prioritization**
  - Describe the planning document and/or the planning process conducted to identify the proposed project
  - Provide a detailed project description
  - Identify existing transportation services in and near the proposed or existing service area
    - document in detail whether project will provide coordination opportunities
  - Discuss level of support by community and/or tribal government
  - Describe how mobility and client access needs of tribal human service agencies were considered

# Evaluation Criteria for Operating and Capital Assistance Requests

## • **Planning and Local/Regional Prioritization**

- Describe what opportunities for public participation were provided and how proposed/existing service has been coordinated with
  - Human Service Agencies
  - Intercity Bus Transportation Providers
  - other rural public transit providers
- Describe how the proposed/existing service complements rather than duplicates any currently available service
- Describe the implementation schedule for the project including
  - Period
  - Staffing
  - Procurement
- Describe any other planning or coordination efforts not mentioned above

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Project Readiness**

- Project is a Categorical Exclusion (CE) or the required environmental work has been initiated or completed
  - Environmental Assessment (EA)
  - Environmental Impact Statement (EIS)
- Project implementation plans are complete, including initial design of facilities projects
- Project funds can be obligated and the project can be implemented quickly, if selected
- Demonstrate the ability to carry out the proposed project successfully

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Demonstration of Need**

- Demonstrate the transit needs of the tribe/discuss how the proposed transit improvements or new service will address identified transit needs
- Include information such as
  - Destinations and services not currently accessible by transit
  - Needs for access to jobs or health care, special needs of seniors and individuals with disabilities, income-based community, and other mobility needs
- Describe how current or growing demand for the service necessitates the expansion/degree of how the current project is addressing current capacity constraint

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Demonstration of Need (continued)**
  - Capital replacement requests should include how the replacement may be necessary to maintain the transit system in a state of good repair
    - Age of asset
    - Condition of asset
    - Performance of asset
  - If an applicant received a planning grant in previous fiscal years, it should indicate the status of the planning study and how the proposed project relates to that study

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Demonstration of Benefits**
  - Identify expected or achieved project benefits
    - Existing Services (as applicable)
      - Increased or sustained ridership & daily trips
      - Improved service, operations, & coordination
      - Provide actual number of individual riders & trips
    - Start-up Projects
      - Estimate number of daily one-way trips
      - Other less quantifiable benefits to the tribe and surrounding community

# Evaluation Criteria for Operating and Capital Assistance Requests

- **Financial Commitment and Operating Capacity**
  - Identify source of local match
    - If requesting waiver based on financial hardship, submit budget/sources of other revenue
  - Identify other funding sources used to support service
    - Humans service transportation funding
    - Indian Reservation Roads
    - Other FTA Programs (JARC, New Freedom, Section 5311, 5310, 5309)
    - In-kind contributions
    - Commitment of support from local businesses
    - Donations of land or equipment



# Evaluation Criteria for Operating and Capital Assistance Requests

- **Financial Commitment and Operating Capacity**
  - Demonstrate how TTP funding will not replace existing funding sources
  - Tribe's ability to demonstrate a sustainable funding plan
  - Project funds are used in coordination with other services for efficient utilization of funds

## Evaluation Criteria for Planning Grants

- What is the tribe's long term commitment to transit?
- How the proposed study will be implemented and/or further Tribal Transit?

# Supplemental Form

df (SECURED) - Adobe Acrobat Pro



From author, choose Distribute from the Forms panel in the Tools Pane on the right to send it to your recipients.

v2.0

Reset Form

## Applicant and Proposal Profile

### Public Transportation on Indian Reservations Program

Validate Form

### Section I. Applicant Information

Is this a resubmission due to an invalid/error message from FTA? ☐ Yes ☒ No

Organization Legal Name:

FTA Recipient ID Number  
(if applicable):

Applicant Eligibility: ☐ Alaska Native Village  
☐ Federally Recognized Indian Tribe

Description of current services provided (if applicable):

Description of current areas served (if applicable):

## Important Documents for Applicants

- Tribal Transit Program NOFA
- Tribal Transit Program Supplemental Form

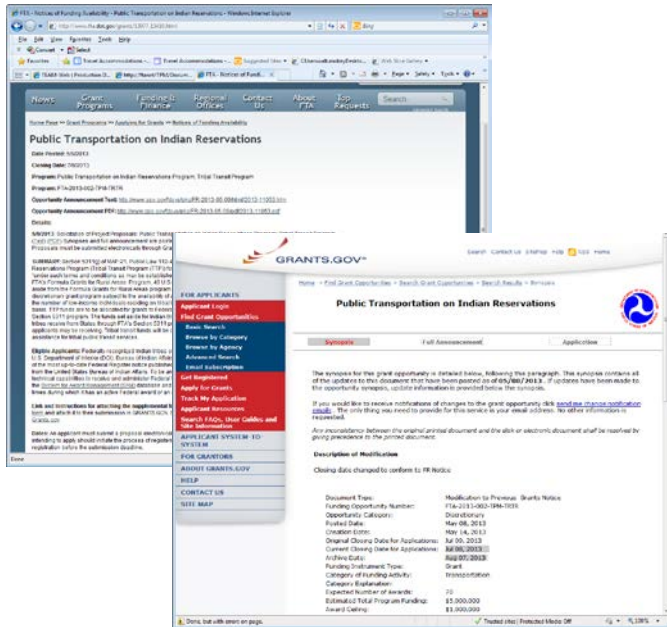
# Applying through Grants.Gov

- Find & Apply on Grants.gov home page  
<http://www.grants.gov/>
- Registration Checklist
  - [http://grants.gov/assets/organizationregcheck\\_092112.pdf](http://grants.gov/assets/organizationregcheck_092112.pdf)
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Watch an overview on how to apply.
- Grants.gov Applicant FAQs
  - [http://grants.gov/applicants/applicant\\_faqs.jsp](http://grants.gov/applicants/applicant_faqs.jsp)



In order to apply for a grant, you and/or your organization must complete the Grants.gov registration process. Registration can take between three-five business days or as long as two weeks if all steps are not completed in a timely manner.

# FTA NOFA and Links



- NOFA on the FTA website
  - [http://www.fta.dot.gov/grants/I3077\\_I5410.html](http://www.fta.dot.gov/grants/I3077_I5410.html)
- Federal Register NOFA in PDF and Text
  - <http://www.gpo.gov/fdsys/pkg/FR-2013-05-09/pdf/2013-11053.pdf>
  - <http://www.gpo.gov/fdsys/pkg/FR-2013-05-09/html/2013-11053.htm>
- Supplemental Form
- Instructions for searching and attaching forms:
  - [http://www.fta.dot.gov/documents/FTA-2013-002-TPM-TRTR\\_Instructions.doc](http://www.fta.dot.gov/documents/FTA-2013-002-TPM-TRTR_Instructions.doc)
- Grants.gov Synopsis [FTA-2013-002-TPM-TRTR](http://www.grants.gov/synopsis/FTA-2013-002-TPM-TRTR)

## Details:

**5/9/2013. Solicitation of Project Proposals: Public Transportation on Indian Reservations Program; Tribal Transit Program (Text) (PDF) Synopses and full announcement are posted on Grants.gov site as opportunity [FTA-2013-002-TPM-TRTR](http://www.grants.gov/synopsis/FTA-2013-002-TPM-TRTR). Proposals must be submitted electronically through Grants.gov website by midnight Eastern Time on Monday, July 8, 2013.**

**Link and Instructions for attaching the supplemental form to the SF-424. All applicants must complete the supplemental form and attach it to their submission in GRANTS.GOV. See attached instructions for searching and attaching forms in Grants.gov.**

# Download Application Package

The “**Synopsis**” page displays details about the opportunity and provides links to materials in Grants.gov.

To download select forms or instructions, click on the **Full Announcement** as shown below.

To download the application package, click the **Application** link at the top right corner of the Synopsis page

Grants.gov - Find Grant Opportunities - Opportunity Synopsis - Windows Internet Explorer

http://www.grants.gov/search/search.do?sessionid=TT2K2K2Fk2X58QTbn7lh6v88rp2CY5V24DQRFly

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## Public Transportation on Indian Reservations

Synopsis Full Announcement Application

The synopsis for this grant opportunity is detailed below, following this pattern. This synopsis contains all of the updates to this document that have been posted as of 05/08/2010. If updates have been made to the opportunity synopsis, update information is provided below the synopsis.

If you would like to receive notifications of changes to the grant opportunity click [send me change notification emails](#). The only thing you need to provide for this service is your email address. No other information is requested.

*Any inconsistency between the original printed document and the disk or electronic document shall be resolved by giving precedence to the printed document.*

**Description of Modification**

Closing date changed to conform to FR Notice

Document Type: Mod  
Funding Opportunity Number: FTA-  
Opportunity Category: Disc  
Posted Date: May  
Creation Date: May  
Original Closing Date for Applications: Jul 0  
Current Closing Date for Applications: Jul 0  
Archive Date: Aug  
Funding Instrument Type: Gran  
Category of Funding Activity: Tran  
Category Explanation:  
Expected Number of Awards: 70  
Estimated Total Program Funding: \$5,0  
Award Ceiling: \$1,0  
Award Floor: \$25  
CFDA Number(s): 20.5  
Cost Sharing or Matching Requirement: Yes

**Eligible Applicants**

Native American tribal governments (Federal

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## Public Transportation on Indian Reservations

Synopsis Full Announcement Application

Click on the following file to download the full announcement.

Announcement Group	Description	File Type
<a href="#">Full Announcement</a>	Instructions	DOC
<a href="#">Full Announcement</a>	Supplemental Form	PDF
<a href="#">Full Announcement</a>	Federal Register Notice	PDF
<a href="#">Full Announcement</a>	Zip of All Attachments	ZIP

# Download Application Package

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### SELECTED GRANT APPLICATIONS FOR DOWNLOAD

Download the application and its instructions by selecting the corresponding download link. Save these files to your computer for future reference and use. You do not need Internet access to read the instructions or to complete the application once you save them to your computer.

**READ BELOW BEFORE YOU APPLY FOR THIS GRANT!**

Before you can view and complete an application package, you **MUST** have Adobe Reader installed. Application packages are posted in Adobe Reader format. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader.

**If more than one person is working on the application package, ALL applicants must be using the same software version.**

Click [here](#) to download the required Adobe Reader if you do not have it installed already.

**Additional Resources:**

- Sign-up for [Grants.gov Updates](#) for the latest issues and news.
- Download [Adobe Reader](#) for free.
- Visit [Help](#) for FAQs and more information on Applying for grants.

Below is a list of the application(s) currently available for the CFDA and/or Funding Opportunity Number that you entered.

To download the application instructions or package, click the corresponding download link. You will then be able to save the application on your computer for future reference and use.

CFDA	Opportunity Number	Competition ID	Competition Title	Agency	Instructions and Application
20.509	FTA-2013-002-TPM-TRTR	FTA-2013-002-TPM-TRTR	Solicitation of Project Proposals: Public Transportation on Indian Reservations Program; Tribal Transit Program	DOT/Federal Transit Administration	<a href="#">download</a>

Done

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100%

Click the **download** link located in the **Instructions and Application** column



# Download Application Package



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## DOWNLOAD OPPORTUNITY INSTRUCTIONS AND APPLICATION

You have chosen to download the application for the following opportunity:

**CFDA Number:** 20.509: Formula Grants for Other Than Urbanized Areas

**Opportunity Number:** FTA-2013-002-TPM-TRTR: Public Transportation

**Competition ID:** FTA-2013-002-TPM-TRTR

**Competition Title:** Solicitation of Project Proposals: Public Transportation

**Agency:** DOT/Federal Transit Administration

**Opening Date:** 05/09/2013

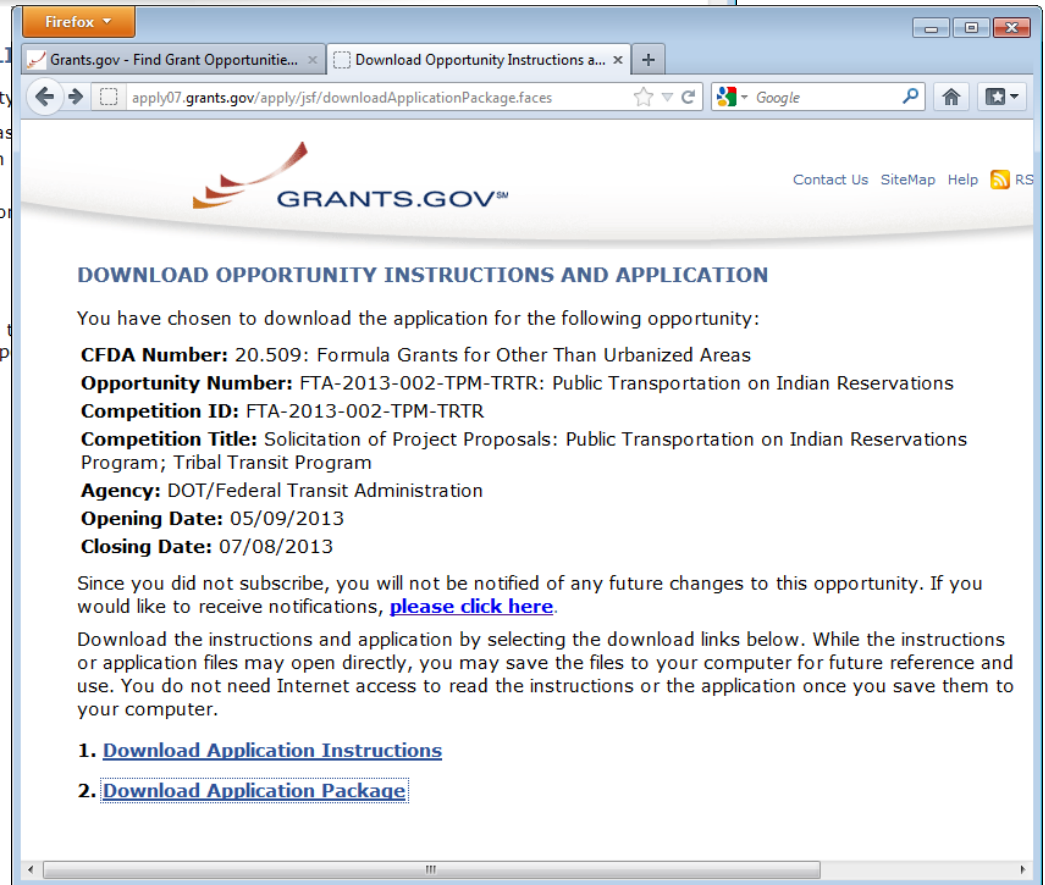
**Closing Date:** 07/08/2013

To download an application, you will need to submit an email address so that we can contact you. Your e-mail address will allow us to e-mail you in the event this opportunity is modified.

Email:  Confirm Email:

☒ Would you like to receive synopsis modification notices as well?

☐ No, I do not wish to provide my email address



# Download Application Package

Please fill out the following form. Highlight Existing Fields

**GRANTS.GOV™** **Grant Application Package**

Opportunity Title: Public Transportation on Indian Reservations  
Offering Agency: DOT/Federal Transit Administration  
CFDA Number: 20.809  
CFDA Description: Formula Grants for Other Than Urbanized Areas  
Opportunity Number: FTA-2012-002-TPM-TRTR  
Competition ID: FTA-2012-002-TPM-TRTR  
Opportunity Open Date: 05/09/2012  
Opportunity Close Date: 07/09/2012  
Agency Contact: Eilan Flippin  
Tribal Transit Program  
Office of Program Management (202) 366-3800  
e-mail: eilan.flippin@dot.gov

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

Application Filing Name:

**Select Forms to Complete**

**Mandatory**

[SF424 Mandatory Form](#)

[Attachments](#)

**Optional**

The “**Attachment Form**” is included in the SF-424 Grant Application Package download in Grants.gov.

Please attach the **FTA Supplemental Form** (*Applicant and Proposal Profile - Public Transportation on Indian Reservations Program*) to the **Attachment Form** shown below when submitting in Grants.gov.

Please fill out the following form. Highlight Existing Fields

Authorized for Local Reproduction Standard Form 424 Mandatory (Effective 08/2005)  
Prescribed by OMB Circular A-102

**ATTACHMENTS FORM**

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
2) Please attach Attachment 2	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
3) Please attach Attachment 3	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
4) Please attach Attachment 4	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
5) Please attach Attachment 5	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
6) Please attach Attachment 6	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
7) Please attach Attachment 7	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
8) Please attach Attachment 8	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
9) Please attach Attachment 9	<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>

# Help

- **HELP with Grants.gov**

For issues related to working in Grants.gov, please visit the self-help portal at [www.grants.gov/iportal](http://www.grants.gov/iportal). If you need additional assistance, the Grants.gov Contact Center is open 24 hours a day, 7 days a week, to help you with issues regarding Grants.gov and can be reached by Email [support@grants.gov](mailto:support@grants.gov) or call **1-800-518-4726**.

- **HELP with Applicant Eligibility or Program Issues**

**CONTACT:** Elan Flippin, Tribal Transit Program, Office of Program Management  
(202) 366-3800, e-mail: [elan.flippin@dot.gov](mailto:elan.flippin@dot.gov)