

FTA

FEDERAL TRANSIT ADMINISTRATION

FTA Region IV General Manager Training

Presented by:
Dr. Yvette Taylor



U.S. Department of Transportation
Federal Transit Administration

Agenda

- Opening Remarks
- Organizational Updates
- National Updates
- Planning & Program Development Updates
- Program Management & Oversight Updates
- Legal Updates
- Civil Rights Updates
- FY16 Stakeholder Survey Results
- Questions and Answers

New Year, New Transitions





Federal Transit Administration
Region IV, Organizational Chart

Regional Administrator
Yvette Taylor, PhD

Administrative Officer
Fredaricka Tolen
Administrative Assistant
Jennifer Boyer
Nancy Pruitt
Program Analyst
Roxanne Ledesma
(Vacant)

Deputy Regional Administrator
Dudley Whyte

Civil Rights Officer
Dee Foster

Regional Counsel
Micah Miller

**Director, Office of
Planning &
Program Development**
Jennifer Hibbert

**Director, Office of
Program Management &
Project Oversight**
Margarita Sandberg

**Director, Office of
Financial Management &
Program Oversight**
(Vacant)

General Engineer
Andres Ramirez, P.E.
Community Planners
Robert Buckley
Richelle Gosman
Tajsha LaShore
Keith Melton
Parris Orr
Holly Peterson
Abigail Rivera
Valencia Williams
(Vacant)

States Team
Team Leader
Robert Buckley
General Engineer
Andres Ramirez
Community
Planner
Holly Peterson
Richelle Gosman

General Engineers
Gregory Chilik, P.E.
Jessica Granell, Ph.D.
Guanying Lei, P.E.
David Powell, P.E.
Emmanuel Ramos, P.E.
Christopher White
(Vacant)

Transportation Program Specialists
David Mucher
Lauren Pessoa
Program Analyst
Rhonda King
Contractor (Procurement)
Dwight Hill
Student Engineer
Layana George
SAN JUAN REMOTE OFFICE
General Engineer
Jorismar Torres Hernandez
Student Engineer
Jaymei Kinney

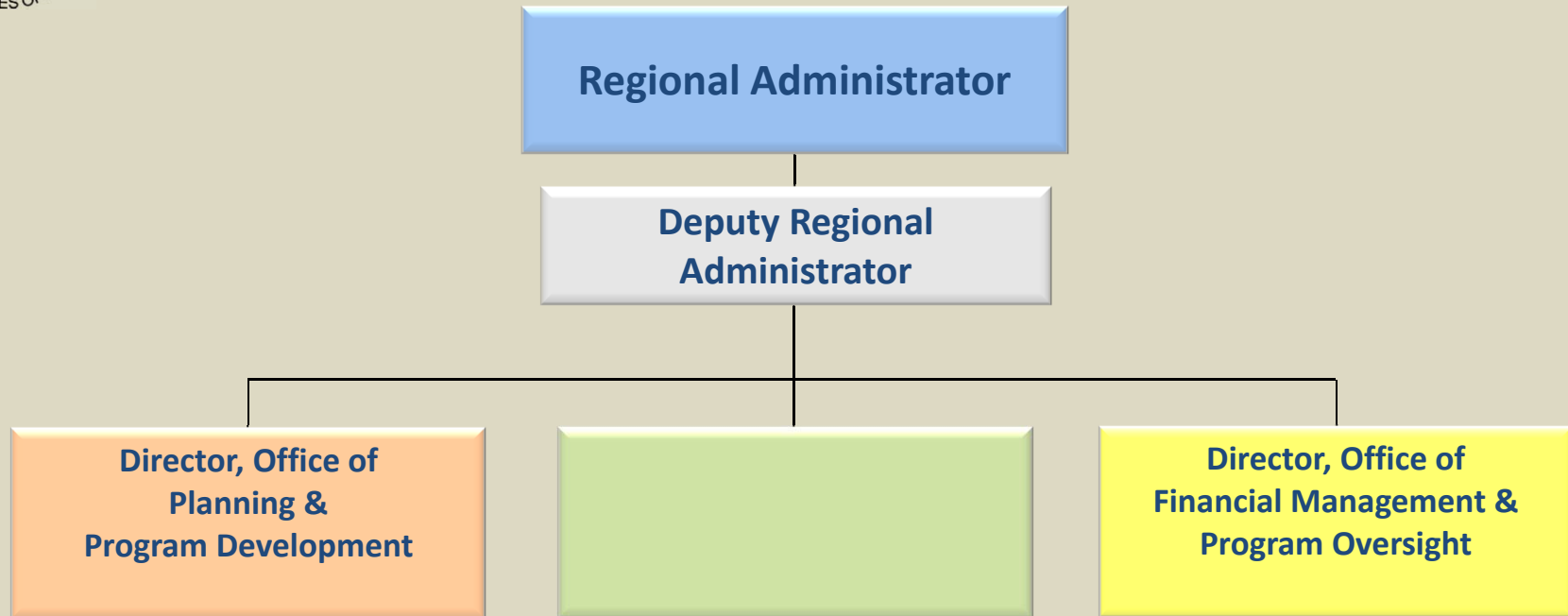
GA-FL Team
Team Leader
Richelle Gosman
General Engineer
Gregory Chilik
Chris White
Community
Planner
Keith Melton
Parris Orr
Valencia Williams

Puerto Rico Team
Team Leader
Jessica Granell
General Engineer
Jorismar Torres
Community
Planner
Holly Peterson
Robert Buckley

Transportation Program Specialist
Marie Lopez
Environmental Program Specialists
Stan Mitchell
Carrie Walker



Federal Transit Administration Region IV Organizational Chart



Organizational Updates

- The Region 4 Organizational Chart & Geographic Assignments are updated regularly.
- These resources will be posted on the Region 4 website:

<https://www.transit.dot.gov/about/regional-offices/region-4/region-4-staff-organization>

National Updates

- **FY 2016 Accomplishments**
 - FTA Grant Awards
 - Published Rulemakings
 - Competitive Grant Allocations
- **FY 2017 Updates**
 - Continuing Resolution
 - Expected Competitive Programs
 - Expected Rulemakings/Guidance

National Updates

FY 2016 Accomplishments

- FTA Awarded 1,703 Grants in FFY2016
- Totaled \$11,472,020,155
- FY 16 funds awarded is comparable to recent fiscal years.
- FY 16 grants awarded is lower than in recent fiscal years.

National Updates

2016 Grant Awards						
	<u>TEAM</u>		<u>TrAMS</u>		<u>Grand Total</u>	
	<u># of Grants</u>	<u>Grant Amount</u>	<u># of Grants</u>	<u>Grant Amount</u>	<u># of Grants</u>	<u>Grant Amount</u>
AL Total	2	\$ 3,856,103	17	\$ 44,290,889	19	\$ 48,146,992
FL Total	28	\$ 144,113,809	61	\$ 247,485,967	89	\$ 391,599,776
GA Total	3	\$ 10,958,313	23	\$ 157,362,645	26	\$ 168,320,958
KY Total	2	\$ 3,673,537	19	\$ 56,922,094	21	\$ 60,595,631
MS Total	3	\$ 2,913,809	8	\$ 26,618,920	11	\$ 29,532,729
NC Total	7	\$ 6,225,668	45	\$ 257,240,701	52	\$ 263,466,369
PR Total	1	\$ 8,667,434	13	\$ 54,629,912	14	\$ 63,297,346
SC Total	5	\$ 7,205,235	21	\$ 19,023,613	26	\$ 26,228,848
TN Total	4	\$ 3,847,317	33	\$ 84,331,457	37	\$ 88,178,774
VI Total	0	\$ -	2	\$ 7,690,323	2	\$ 7,690,323
Grand Total	55	\$ 191,461,225	242	\$ 955,596,521	297	\$ 1,147,057,746

National Updates

Federal Register Notice	Summary	Publication Date
FTA/FHWA Joint Final Transportation Planning Rule	Implements changes to the planning process	5/27/2016
Updates to the Uniform System of Accounts (USOA) and Changes to the NTD Reporting Requirements	Finalizes updates to the USOA and changes to NTD Automatic Passenger Counter Certification requirements	10/11/2016
HEADTransit Asset Management: Proposed Guidebooks	2 FTA guidebooks to facilitate implementation of FTA's Transit Asset Management program: (1) "Guideway Performance Restriction Calculation" and (2) "Facility Condition Assessment"	7/26/2016
National Transit Database: Capital Asset Reporting	Finalizes the expansion of the FTA's NTD Asset Inventory reporting requirements	7/26/2016

National Updates

FY 2016 Competitive Grant Allocations

- [Transit-Oriented Development \(TOD\) Planning Pilot](#) - FTA received 20 proposals for a total of \$17.53 million and allocated \$14.7 million in available funds for 16 projects.
- [Bus and Bus Facilities Ladders of Opportunity](#) - FTA received 284 proposals for a total of \$1.64 billion and allocated \$210.99 million in available funds for 61 projects.
- [Low or No-Emission Bus](#) - FTA received 101 proposals for a total of \$446 million and allocated \$55 million for 20 Low/No projects.
- [Tribal Transit](#) - FTA received 44 proposals for a total of \$8.34 million and allocated the \$5 million in available funds for 35 projects.
- [Passenger Ferry](#) - FTA received 31 proposals for a total of \$115.8 million and allocated the \$59.94 million in available funds for 26 projects.
- [MOD Sandbox](#) - FTA received 78 proposals for a total of \$57 million and allocated \$7.9 million in available funds for 11 projects.
- [Rides to Wellness](#) – FTA received 78 proposals for a total of \$26.98 million and allocated the \$7.2 million in available funds for 19 projects.
- [Capital Investment Grants](#) – FTA allocated \$2.3 billion in Capital Investment Grant funds during 2016 to 34 projects that met the statutory criteria for a discretionary New Starts, Small Starts or Core Capacity grant.

National Updates

FY 2017 Continuing Resolution

- We are operating under a continuing resolution through April 28, 2017
- Partial apportionment expected early in 2017.

FY 2017 Competitive Programs

PROGRAM	STATUTE	Funding Availability	NOFO PUBLICATION	ANNOUNCEMENT OF AWARDS
Tribal Transit	§5311	\$5,000,000	December 2016	April 2017
Low or No Emission	§5339(c)	\$55,000,000	December 2016	April 2017
Grants for Buses and Bus Facilities	§5339(b)	\$228,600,000	January 2017	June 2017
Passenger Ferry	§5307(h)	\$30,000,000	February 2017	July 2017
Demonstration and Innovative Coordinated Access and Mobility (Rides to Wellness)	§5310	\$3,000,000	March 2017	August 2017
	Total Funding	\$321,600,000		

Circular Updates

Circular Reference/Program	Circular Title	Estimated Publication Date
C.5010.1E	Grant Management Requirements	January 2017
C.9030.1E/5307	Urbanized Area Formula Program: Program Guidance and Application Instructions	August 2017
C.9040.1G/5311	Formula Grants for Rural Areas: Program Guidance and Application Instructions	September 2017
C.5100.1/5339	Bus and Bus Facilities Program: Guidance and Application Instructions	October 2017
C.9070.1G/5310	Enhanced Mobility of Seniors and Individuals with Disabilities Program Guidance and Application Instructions	November 2017
C.5300.1/5337	State of Good Repair Grant Program: Guidance and Application Instructions	November 2017

Office of Planning and Program Development Updates

- Grantee Deadlines/Reminders
- Split Allocation Letters
- TrAMS
- NEPA Updates
- Upcoming Trainings in Region IV

Office of Planning and Program Development Updates

FY 17 FTA Region IV Important Grant Deadlines	
Date	Action
Immediately	<ul style="list-style-type: none"> Review carryover and lapsing balances. Confirm funding is appropriately shown in the TIP/STIP.
January 2017	<ul style="list-style-type: none"> Share with FTA Community Planner the anticipated timeline for application funding in FY 17, highlighting applications containing lapsing and critical funding. Discuss any required NEPA determinations needed for grant applications in FY 17.
March 30, 2017	TrAMS Transmittal deadline for all applications containing lapsing funds.
April 30, 2017	<ul style="list-style-type: none"> TrAMS Transmittal deadline for all other current year and non-lapsing grant applications, including critical cash flow funds. TrAMS Submittal deadline for all applications containing lapsing funds.
May 30, 2017	TrAMS Submittal deadline for all applications for current year and non-lapsing grant applications, including critical cash flow funds.
September 22, 2017	Anticipated closing of the TrAMS System. ALL applications must be awarded by this date in TrAMS.

Office of Planning and Program Development Updates

Lapsing/Carryover Funds

- Start applying for these now!
- Current balances will be sent to Designated Recipients next week
 - Review and contact Region IV with any issues
 - Share with FTA Community Planner the anticipated timeline for application funding in FY17
- Work with your FTA planner as much as possible
 - Prioritize lapsing and critical cash flow applications
 - Keep your planner informed of any lapsing and critical cash flow applications

Office of Planning and Program Development Updates

Grant Reminders

- Ensure projects/funds included in the STIP/TIP/UPWP.
- Start Environmental/Section 106 Requirements now!
- Amendments to TEAM grants in TrAMS limited
- Super grants
- Work with Region IV if there are questions regarding how to set up the grant budget

Office of Planning and Program Development Updates

- Split Allocation Letters
 - Because supplemental agreements were eliminated in TrAMS, designated recipients now must authorize a grant award to a direct recipient and the paragraph below must now be part of the grant record.
 - Incorporate the following italicized language into each split letter/governor sub-allocation letter. This language should be included in any letter beginning this fiscal year of 2016.
 - *”As identified in this Split Letter, the Designated Recipient authorizes the assignment/allocation of Section 5307 to the Direct Recipient(s) named herein. The undersigned agree to the Split Letter and the amounts allocated/assigned to each Direct Recipient. Each Direct Recipient is responsible for its application to the Federal Transit Administration to receive Section 5307 funds and assumes the responsibilities associated with any award for these funds.”*

TrAMS

- 1st Year Lessons Learned
 - Make sure the Section of Statute is correct!
 - Should have a compelling reason to create multiple projects in one application.
 - Ensure application is complete BEFORE requesting submittal by FTA. Flexibility decreases when official FAIN is assigned.
 - Make sure required Application Executive Summary information is included:
<https://www.transit.dot.gov/funding/grantee-resources/teamtrams/required-application-information>

TrAMS

- *Improvements*
 - Since the deployment of TrAMS in February 2016, FTA has been keeping track of “lessons learned” to best use the system. Over the longer term, FTA is working with its contractors to make improvements to TrAMS
 - Improvements and fixes are being released on a rolling basis

TrAMS

- Additional Information/Trainings
 - Region IV conducted six (6) regional trainings in Atlanta, Auburn, Charlotte, Nashville, Orlando and San Juan
 - Webinars are on FTA website: http://www.fta.dot.gov/I6260_I5775.html
 - Make sure you are signed up for email alerts at: www.fta.dot.gov/TrAMS

Office of Planning and Program Development Updates

- Environmental Basics
 - 2017 Environmental Initiatives
 - NEPA Responsibilities

Environmental Initiatives FY2017

- **General Initiatives**
 - Prioritizing NEPA reviews based on project readiness
 - Striving for consistency by requiring the submission of the NEPA and Section 106 Checklists by the grantee
- **Capital Investment Grant Initiatives**
 - Working with planners and grantees to enter Project Development at the appropriate time so that the grantee doesn't have to redo/update environmental analysis
 - Reducing the workload on the grantees by tying re-evaluations to design milestones

Region 4 NEPA Responsibilities

- FTA will ask the grantee for completed checklists (NEPA and Section 106 Checklists).
- FTA will determine the class of action (COA) based on the completed checklists.
- FTA will review environmental documents for technical and legal sufficiency.
- FTA will initiate consultation to satisfy Section 106 of the National Historic Preservation Act.
- FTA will be the Federal decision maker for NEPA.

Grantee Responsibilities

- The Grantee will provide a clear, accurate, complete checklists to FTA.
- The Grantee will complete the required NEPA documentation based on FTA's class of action.
- The Grantee will provide FTA with all necessary documents for review.
- The Grantee will notify FTA of any changes to the proposed project.

Environmental Updates

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Office of Planning and Program Development Updates

- Upcoming Training in Region IV
 - FTA Region IV
 - Capital Investment Grant Workshop, Date TBD
 - NTI
 - Comprehensive ADA Paratransit Eligibility - Tampa, FL -January 12-13, 2017
 - Advanced Mobility Device Securement Skills Development VWS – Columbia, SC – January 23-24, 2017.
 - Public Involvement in Transportation Decision-making – Miami, FL – January 31-February 2, 2017
 - Advanced Mobility Device Securement Skills Development VWS – Conway, SC –March 27-28, 2017.
 - Procurement for Small and Medium Transit Systems – Tampa, FL – March 8-9, 2017
 - Implementing Rural Transit Technology – Columbia, SC – April 19-20, 2017
 - Procurement Series – I – Orientation to Transit Procurement – Nashville, TN – May 1-5, 2017
 - Procurement Series – I – Orientation to Transit Procurement – Miami, FL – May 22-26, 2017
 - Paratransit Management and Operations – Nashville, TN – June 7-8, 2017
 - APTA
 - Business Member Board of Governors Annual Business Meeting – Clearwater, FL - January 25-27, 2017
 - Legal Affairs Seminar - Savannah, GA - February 26-28, 2017
 - Marketing & Communications Workshop – Tampa, FL - February 26 - March 1, 2017

Office of Planning and Program Development Updates

FTA Region IV Office of Planning and Program Development

Contact Information:

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FTA Region IV

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Office of Oversight and Program Management Updates

- Training
- General Reporting Requirements
- Grants Management
- ECHO 2.0 and User Access
- Project Management
- Incidental Use
- Transit Asset Management (TAM)
- Art and Non-functional Landscaping under FAST Act
- Safety

Office of Oversight and Program Management Updates

Training - Latest Oversight Review Workshops

- Triennial Review Workshops
 - November 15-16, 2016. San Juan, PR
 - December 5-6, 2016. Atlanta, GA
- State Management Review Workshop
 - December 7 - December 8, 2016. Atlanta, Georgia
- FY17 Triennial Review Workshop Presentation
 - <https://connectdot.connectsolutions.com/admin/show-event-catalog?folder-id=1149588780>
- FY17 State Management Workshop Presentation
 - <https://connectdot.connectsolutions.com/admin/show-event-catalog?folder-id=1149615826>
- Procurement Reviews Workshop Presentation
 - <http://events.r20.constantcontact.com/register/event?oeidk=a07eblb0tfx18d5f830&llr=hjtzgluab>

Office of Oversight and Program Management Updates

General Reporting Requirements

- A-133 – submit your FY16 reports and/or SAC Forms
- Grant Quarterly Review Reminders
 - FFR (Federal Financial Reviews) expenses should be reported in accrual basis
 - MPR (Milestone Progress Report) please include project details and keep milestone dates current
 - Be sure to “submit” reports or they remain in draft form

Office of Oversight and Program Management Updates

- Grants Management
 - Expedite closeout of grants that are 95%-100% expended.
 - Advance projects that are inactive. Submit budget revisions as needed to replace projects that are not advancing with new projects within the same scopes.
 - Inactive Grants
 - Work closely with your program manager to provide documentation needed if more time is needed on your inactive grant to keep grant open past FY17
 - If a grant is marked to complete and close by FY17 please watch projects carefully to ensure full implementation, expenditures, and final grant closeout prior to September 2017
 - Allow time for your internal procedures to process final invoices and complete grant closeout

Office of Oversight and Program Management Updates

- ECHO user setup forms
 - New ECHO 2.0 required all users to submit new user/password form nationwide
 - Region IV has set up around 500 users
 - Submit ECHO forms and report issues to your program manager
 - ECHO user name is your email address
 - Once you receive your ECHO temporary password, you will have 24 hours to change to a new permanent password.
 - Password problems should be resolved with the ECHO Helpdesk at fta.echoweb@dot.gov


Office of Oversight and Program Management Updates

- Project Management
 - Submit to FTA all outstanding claims information over \$100,000 and all claims settled during the reporting period. Include a brief description, estimated costs, and reason for the claim.
 - List of all potential and executed change orders over \$100,000, pending or settled, during the reporting period with brief description.
 - Ensure all vendors and contractor are complying with all applicable Federal requirements
 - Ensure any subrecipients are complying with all applicable Federal requirements

Office of Oversight and Program Management Updates

Incidental Uses of Federal Assets

- Remember all non-transit use of a Federal Asset requires FTA concurrence per Circular 5010.ID
- To facilitate this process we're now using a new Incidental Use form
- Contact your Program Manager for a copy of the form.

 U.S. Department of Transportation
Federal Transit Administration

REGION IV
Alabama, Florida, Georgia,
Kentucky, Mississippi,
North Carolina, Puerto
Rico, South Carolina,
Tennessee

200 Peachtree Street, NW
Suite 1400
Atlanta, GA 30303-6917
404-645-2900
404-645-2902 (fax)

Facility Incidental Use Request | Region IV

Requesting Agency: [Click here to enter text](#) Request Date: [Click here to enter text](#)

Subject: [Click here to enter text](#)

FTA Program Manager: [Click here to enter text](#)

I. Existing Facility Description (attach plans):

a. Total Area of Facility

b. Area currently used for Transit & Brief Description of Use

c. Area currently used for Purposes other than Transit & Brief Description of Use

d. Grant(s) used to fund the Facility

e. Percent (%) Federal Participation

f. In-service Date

g. Useful Life of the Facility

Office of Oversight and Program Management Updates

- Transit Asset Management (TAM) Timelines
 - Final Rule effective date October 1, 2016
 - Initial State of Good Repair (SGR) performance targets to be set no later than January 01, 2017
 - Initial SGR target reporting not required
 - SGR target reporting to NTD annually
 - Initial TAM Plans to be completed no later than October 2018
 - Oversight reviews on TAM to begin in FY2019
 - FTA TAM website: www.transit.dot.gov/TAM

Office of Oversight and Program Management Updates

- FAST Act – Art or Non-functional Landscaping in Transit
 - The FAST Act has a new prohibition on the use of FTA funds for “*incremental costs of incorporating art or non-functional landscaping into facilities, including the costs of an artist on the design team.*” (49 U.S.C. 5323(h)(2) as amended by FAST.)
 - This prohibition applies to all FTA programs and grants, including all programs authorized under Chapter 53 of Title 49, U.S.C. and other programs for which FTA serves as the grant making agency (e.g. TIGER).
 - No local funds spent on art or non-functional landscaping can be used to match the federal grant.
 - The prohibition on FTA funds being used to pay for these activities applies only to grants entered into on or after December 4, 2015, regardless of the year the funds were made available.
 - <https://www.transit.dot.gov/funding/grants/grant-programs/art-and-non-functional-landscaping-federally-funded-public-transit>

Office of Oversight and Program Management Updates

- **FTA Issued Public Transportation Safety Program Final Rule**

On August 11, 2016 FTA issued the Public Transportation Safety Program final rule that establishes procedural rules for FTA to administer a comprehensive safety program. The final rule sets procedural rules for FTA to issue directives and advisories to the public transportation industry and formally adopts the Safety Management Systems (SMS) approach to safety. SMS is a risk-based approach that builds on existing transit safety practices. Below are the four pillars of SMS:

1. Safety Management Policy
2. Safety Risk Management
3. Safety Assurance
4. Safety Promotion

<https://www.transit.dot.gov/about/news/federal-transit-administration-issues-public-transportation-safety-program-final-rule>

Office of Oversight and Program Management Updates

- **FTA Issued New Rule to Improve Bus Testing, Safety, Reliability**

On August 1, 2016 FTA announced a final rule that will improve the process for ensuring safety and reliability of new transit buses funded with FTA dollars. The new rule establishes a set of minimum performance standards, a standardized scoring system, and a pass-fail threshold that will better inform local transit agencies as they evaluate and purchase buses. The FTA Bus Testing Facility will largely remain intact, but data generated will be used to apply a new 100-point scoring system to tested buses.

<https://www.transit.dot.gov/about/news/us-department-transportation-announces-new-rule-improve-bus-testing-safety-reliability>

Rulemaking Timeline

Regulation	Rulemaking Timeline	Status
Public Transportation Safety Program 49 CFR Part 670	NPRM Published: 8/14/2015 Comment By: 10/13/2015	Final Rule Published: 8/11/2016 Effective: 9/12/2016
State Safety Oversight 49 CFR Part 674	NPRM Published: 2/27/2015 Comment By: 4/28/2015	Final Rule Published: 3/16/16 Effective: 4/15/16
Public Transportation Agency Safety Plan 49 CFR Part 673	NPRM Published: 2/5/2016 Comment By: 4/5/2016	Final Rule in Development
National Public Transportation Safety Plan 49 CFR Part 673	Proposed National Safety Plan Published: 2/5/2016 Comment By: 4/5/2016	Final Guidance Document in Development
National Public Transportation Safety Certification Training Program 49 CFR Part 692	NPRM Published: 12/3/2015 Comment By: 2/1/2016	Final Rule in Development
Preventing Transit Worker Assault NPRM	NPRM Expected: 2017	
Bus Testing 49 CFR Part 665	NPRM Published: 6/23/2015 Comment By: 8/24/2015	Final Rule Published: 8/1/2016 Effective: 10/31/2016
Transit Asset Management 49 CFR Part 625	NPRM Published: 9/30/2015 Comment By: 11/30/2015	Final Rule Published: 7/26/2016 Effective: 10/1/2016

Office of Oversight and Program Management Updates

- Compendium of Transit Safety Standards**

Many questions are coming in from transit agencies on FTA’s required safety standards for the recent and future rule makings. Currently FTA intends to utilize current industry standards and has compiled a list of these standards. Below is a link to the list of over 150 standards across all modes:

<https://www.transit.dot.gov/regulations-and-guidance/safety/compendium-transit-safety-standards>

A	B	C	D	E	F	G	H	I
Standard Title	Mode (Potential Application)	Standard Category	Standard Sub-Category	Standard Sub-Functional category	Standard Development Entity Federal Agency / Standard Development Organization / State / State Safety Oversight Agency / Industry	Standard Type	Link	Standard Description
APTA Passenger Rail Equipment Safety Standards (PRESS) (construction and structural)	Rail Modes	Vehicle Standards	Vehicle Components and Passenger Equipment	Construction and Structural	American Public Transportation Association	Recommended Practice	http://www.apta.com/resources/standards/press/Pages/default.aspx	This section provides 13 recommended practices and standards covering the required strength, construction materials for passenger railroad equipment.
APTA Passenger Rail Equipment Safety Standards (PRESS) (electrical)	Rail Modes	Vehicle Standards	Vehicle Components and Passenger Equipment	Electrical	American Public Transportation Association	Recommended Practice	http://www.apta.com/resources/standards/press/Pages/default.aspx	This section includes 18 PRESS standards and recommended passenger rail vehicle electrical systems and components. Gu wire, wiring, grounding and bonding, head end power, train line electromagnetic compatibility, and battery systems.
APTA Passenger Rail Equipment Safety Standards (PRESS) (Inspection and Maintenance)	Rail Modes	Vehicle Standards	Vehicle Components and Passenger Equipment	Inspection and Maintenance	American Public Transportation Association	Recommended Practice	http://www.apta.com/resources/standards/press/Pages/default.aspx	This section includes 18 PRESS standards and recommended individuals and organizations incorporate technical and safety inspection and maintenance process of commuter rail vehicles.
APTA Passenger Rail Equipment Safety Standards (PRESS) (Mechanical)	Rail Modes	Vehicle Standards	Vehicle Components and Passenger Equipment	Mechanical	American Public Transportation Association	Recommended Practice	http://www.apta.com/resources/standards/press/Pages/default.aspx	This section includes 18 standards and recommended practices and maintenance of those couplers, secondhand and reconditioned brakes and emergency brake valves, axle design, rail care truck investigation reports, and other rolling stock performance and maintenance.
APTA Passenger Rail Equipment Safety Standards (PRESS) (Passenger Systems)	Rail Modes	Vehicle Standards	Vehicle Components and Passenger Equipment	Passenger Systems	American Public Transportation Association	Standards and Recommended Practices	http://www.apta.com/resources/standards/press/Pages/default.aspx	This section includes five (5) PRESS standards and recommended emergency features of passenger rail vehicles. This includes signage, low-level exit path marking, emergency communication analysis.
APTA Rail System Standards (Vehicle Inspection and Maintenance)	Rail Modes	Vehicle Standards	Vehicle Safety Standards	Vehicle Inspection and Maintenance	American Public Transportation Association	Standards and Recommended Practices	http://www.apta.com/resources/standards/rail/Pages/default.aspx	Includes 26 standards and recommended practices covering maintenance of all major vehicle subsystems and components design features subject to National Transportation Safety Board. Includes six (6) standards and recommended practices covering

Office of Oversight and Program Management Updates

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Legal Agenda

- Buy America Phased Increase of Domestic Content for Procurement of Transit Rolling Stock
- Buy America Small Purchase Waivers
- FY17 Certifications and Assurances & Master Agreement
- FTA Website / Updates

Legal Updates

- FTA Issues Final Buy America Policy Guidance for Phased Increase of Domestic Content for Procurement of Transit Rolling Stock
 - On September 1, 2016, the Federal Transit Administration (FTA) issued final Buy America [policy guidance](#) advising transit agencies and transit vehicle manufacturers how to implement a phased increase in domestic content requirements for transit rolling stock procurements from 60 percent to more than 70 percent by fiscal year 2020.
 - The phased increase is required by the Fixing America's Surface Transportation (FAST) Act and is the first increase since 1991.
 - FTA is also providing a limited public interest waiver for solicitations and contracts that were underway when the FAST Act was enacted in 2015.

Legal Updates

- FTA Issues Guidance Letter on Buy America Small Purchase Waivers
 - On September 16, 2016, FTA’s Chief Counsel issued a [policy letter](#) to the industry clarifying the definition of “small purchases” for general public interest waivers from Buy America program requirements.
 - The FAST Act set the small **purchase** waiver at \$150,000 or less; that threshold will not increase with future adjustments made to the simplified acquisition threshold under the Federal Acquisition Regulation (FAR).
 - The small purchase waiver is now included in the Buy America statute at 49 USC 5323(j)(13) and applies to purchases, regardless of the size of the project.

Legal Updates

- FY17 Certs & Assurances
 - <https://www.gpo.gov/fdsys/pkg/FR-2016-12-20/pdf/2016-30614.pdf>
- FTA Website
 - BA Waivers (e.g. minivans)

Legal Updates

FTA Region IV Legal Office :

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Civil Rights Agenda

- EEO Circular 4704.1A
 - EEO Major Program Updates
 - Due Date for EEO Requirements
- ADA Requirements for Transit Station Alternatives
- Transportation Network Companies Dear Colleague Letter
- Civil Rights Resources

Civil Rights Updates

- The New EEO Circular 4704.1A, effective on October 31, 2016
 - Explains the authorities for EEO Program requirements, statutory and regulatory authorities, executive orders, and regulations that establish the context for ensuring nondiscrimination in employment on the basis of race, color, religion, national origin, sex, age, genetic information, disability, veteran status or retaliation.
 - Explains the applicability of the Circular to FTA recipients, discusses state-administered programs, and provides definitions of terms used throughout the Circular.
 - Explains the seven required elements of an EEO Program and what must be included in the EEO Program materials for FTA review.
 - Explains how FTA exercises oversight and enforcement responsibilities related to EEO
 - <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/civil->

Civil Rights Updates

- EEO Program Major Updates
 - FTA modified the threshold requirements for submitting EEO programs
 - 100 or more transit related employees – Must submit an EEO Program to FTA
 - 50-99 transit related programs – Must maintain a limited EEO Program but do not need to submit to FTA
 - Submission cycle is now every four years
 - FTA will publish new submission dates
- Programs that came due after October 31, 2016 will follow new EEO requirements.
- New submission dates will be issued in early 2017

Civil Rights Updates

- ADA Requirements for Transit Station Alterations
 - On May 13, 2016, the OGC issued a legal opinion clarifying that under 49 C.F.R. § 37.43(a)(1) when a public entity undertakes an alteration to an existing facility that could affect the usability of the facility, the public entity must make the alteration accessible to persons with disabilities, including wheelchair users.
 - DOT Legal Opinion
https://www.transit.dot.gov/sites/fta.dot.gov/files/docs/ADA-Sec.37.43_legal%20opinion_May2016_0.pdf
 - Dear Colleague Letter
<https://www.transit.dot.gov/regulations-and-guidance/policy-letters/fta-dear-colleague-letter-dot-ada-requirements-station>

Civil Rights Updates

- Dear Colleague Letter on Transportation Network Companies (TNCs) - Secretary Anthony Foxx issued a Dear Colleague Letter on December 5, 2016, that clarifies federal requirements for transit operators when partnering with Transportation Network Companies (TNCs).
 - <https://www.transit.dot.gov/regulations-and-guidance/shared-mobility>

Civil Rights Resources

- Civil Rights Overview/What's New
 - <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/civil-rightsada>
- ADA
 - <https://www.transit.dot.gov/ada>
- DBE
 - <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/disadvantaged-business-enterprise>
- Title VI
 - <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/title-vi-civil-rights-act-1964>
- EEO
 - <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/equal-employment-opportunity>

Civil Rights Updates

FTA Region IV Civil Rights Contact Information:

Doretha “Dee” Foster

Civil Rights Officer

FTA Region IV

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E-mail: Doretha.Foster@dot.gov

FTA Stakeholder Surveys

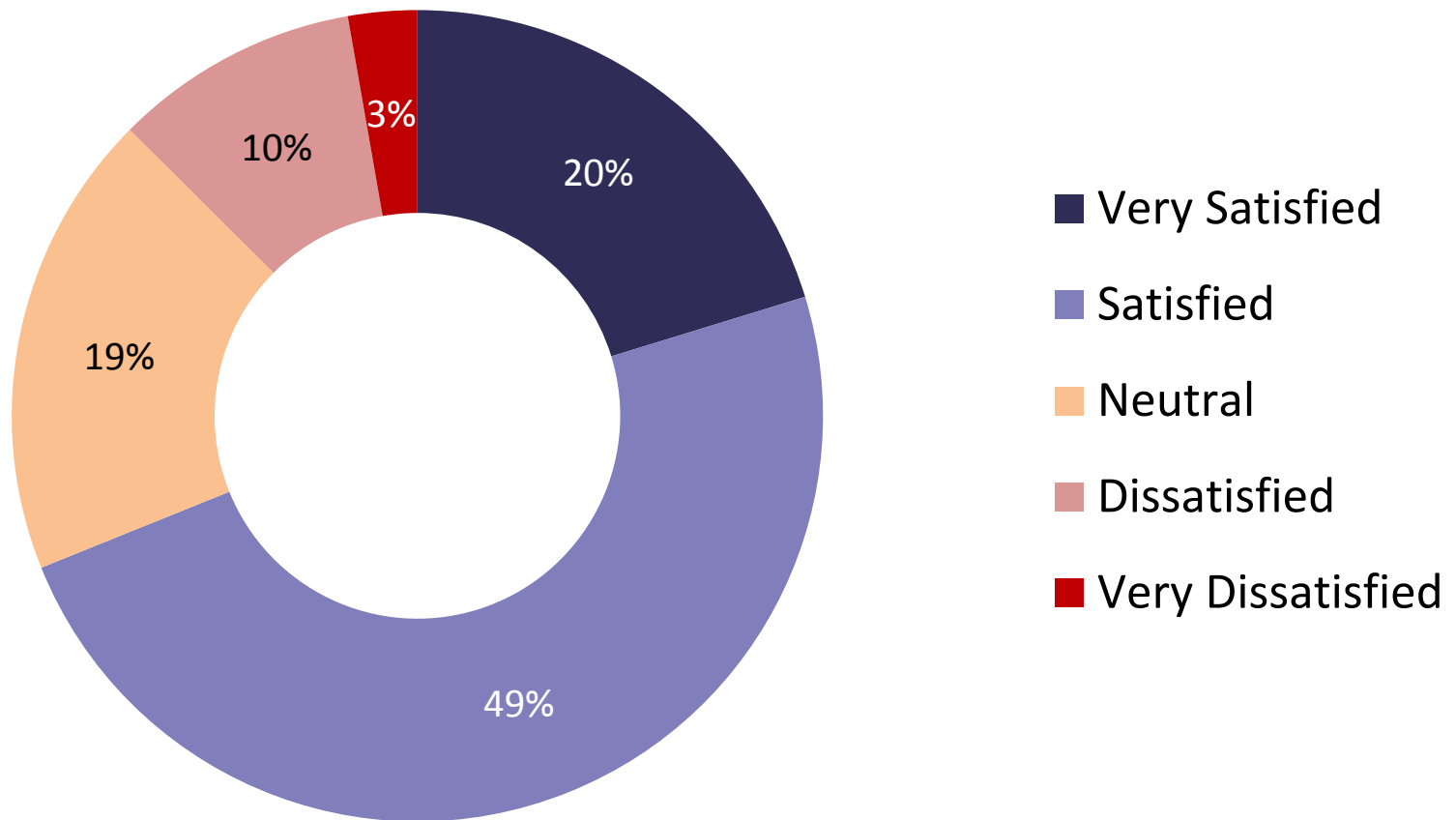
- Emailed survey to 1,600 people, mainly in leadership positions
 - 780 from list of individuals in executive positions collected from Regions
 - Remainder compiled from active TrAMS users with priority on those with “Director” in their job title
- Received 793 responses; 49% response rate
 - 2008: 639 responses (52%)
 - 2010: 535 responses (46%)
- Participation was anonymous but respondents identified:
 - FTA Region
 - Type of Agency
 - Position within Agency
 - Population of Service Area

FTA Stakeholder Surveys-

Four Open Response Questions

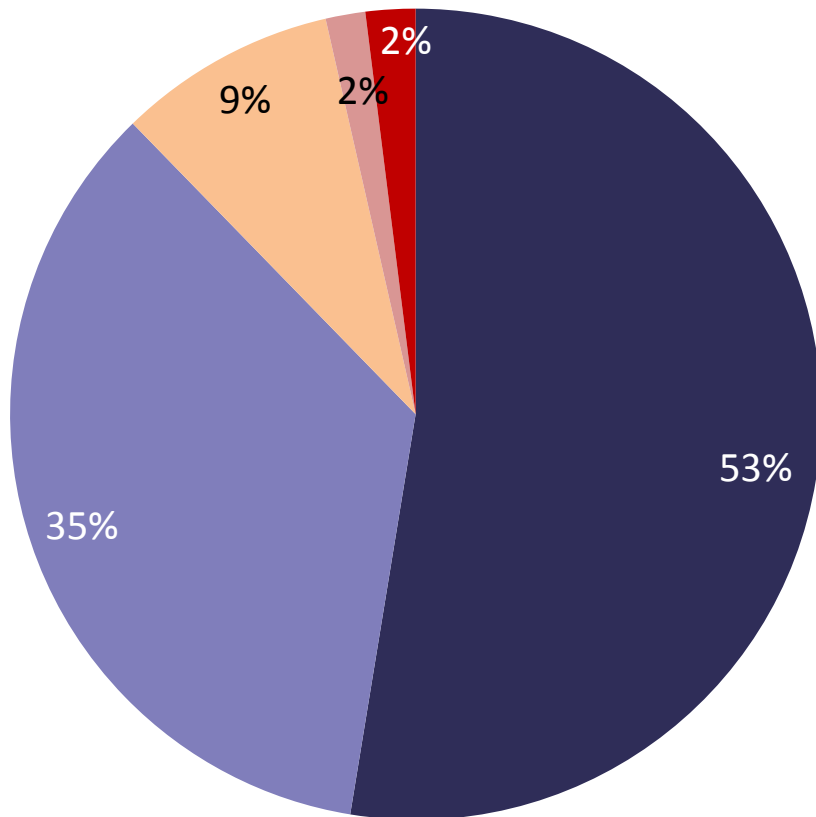
1. Please list any services that FTA provides that you find particularly helpful in meeting your needs.
2. Please list any services that you believe FTA should improve to directly benefit your agency.
3. Please list any programs or services that you believe FTA should add, cancel or modify.
4. Please explain any other issues that FTA should be aware of that affect your ability to provide the best public transportation you can.

Overall 69% of Respondents are Satisfied with the Quality of FTA's Services

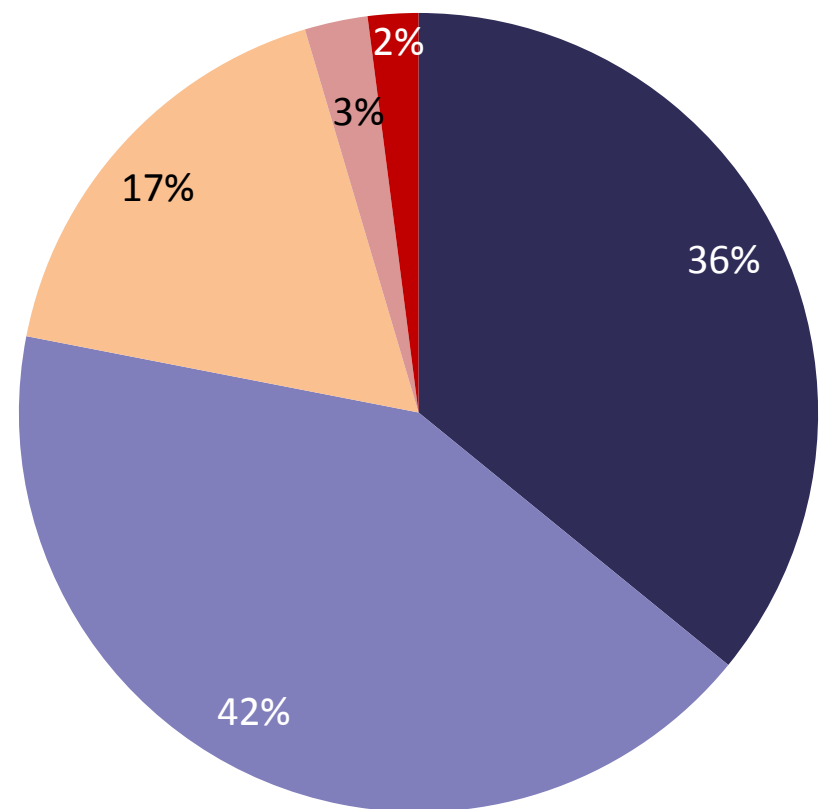


High Satisfaction with the Courtesy of FTA's Staff

Regional Staff

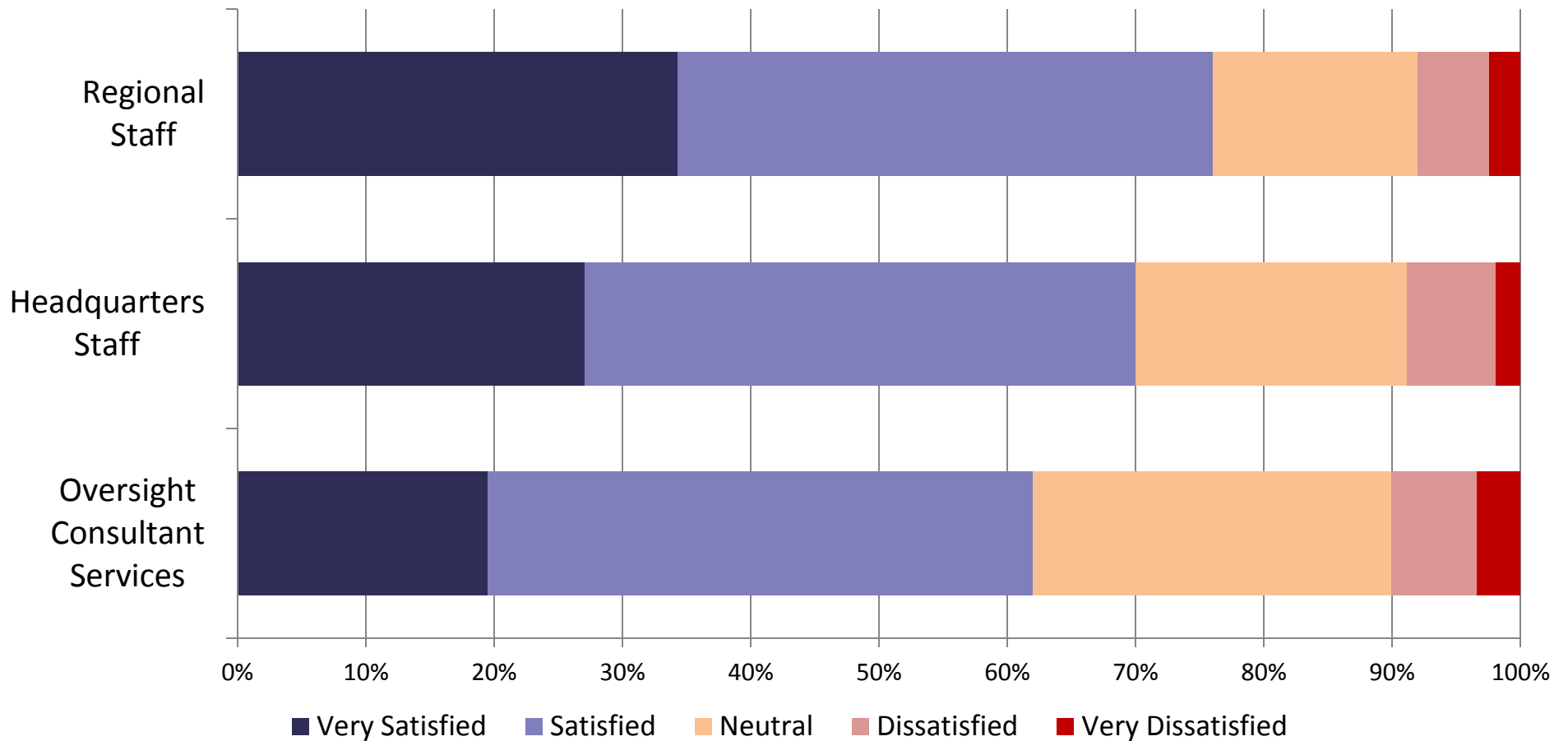


Headquarters Staff

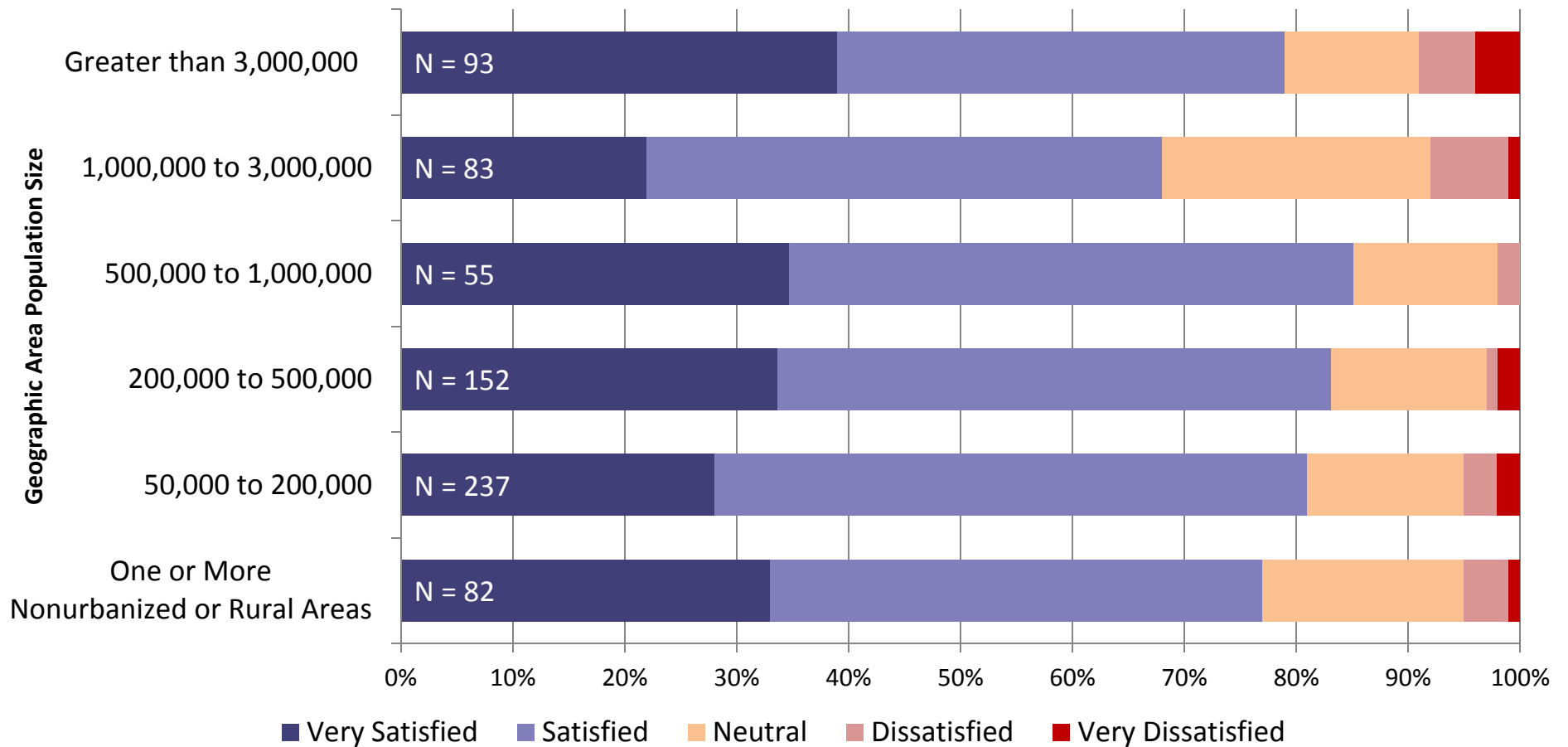


■ Very Satisfied ■ Satisfied ■ Neutral ■ Dissatisfied ■ Very Dissatisfied

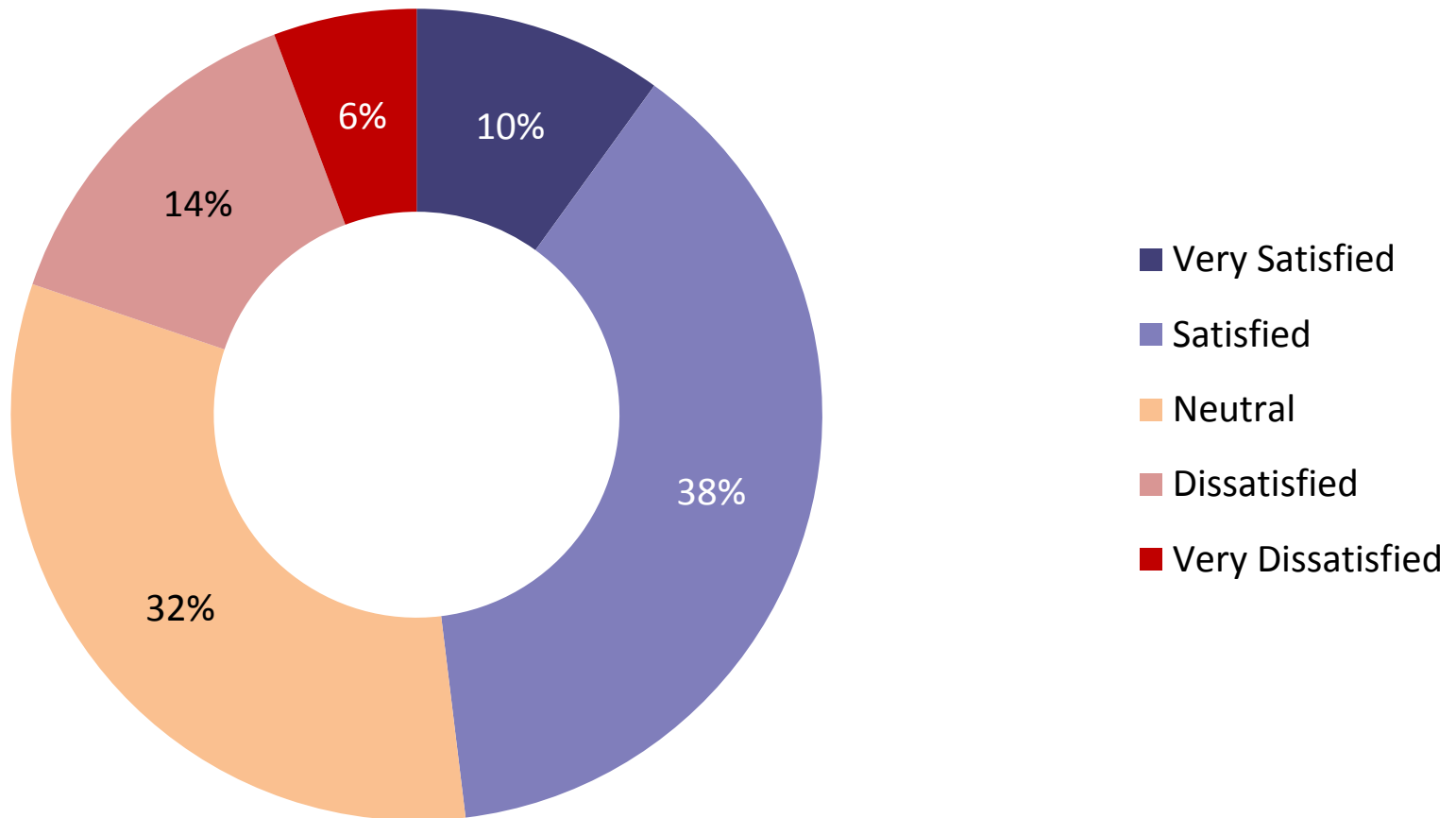
High Satisfaction with the Capability of FTA's Staff



Overall Satisfaction with FTA Sponsored Training

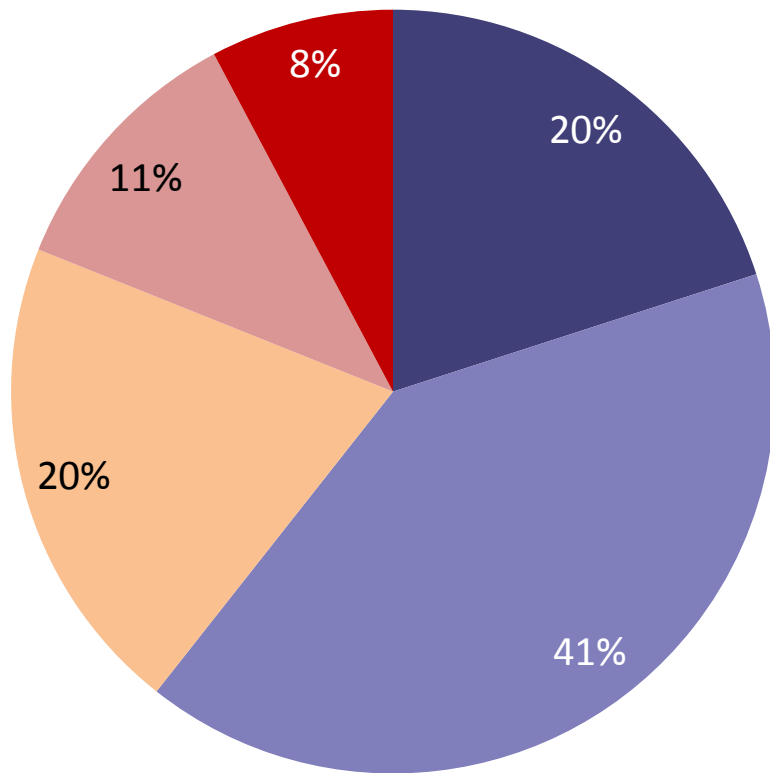


Less Than Half Satisfied with the Extent FTA Assesses the Impact on Stakeholder Satisfaction when FTA Changes a Process

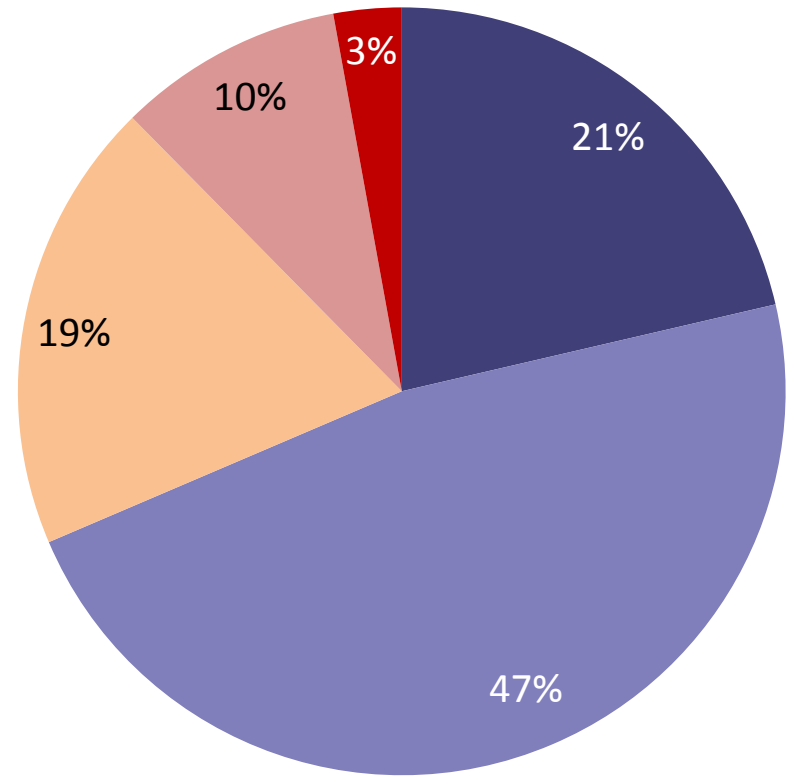


Greater Satisfaction with Grant Management Post Approval than Pre-Approval

Pre-Approval Process



Management Process Post Approval

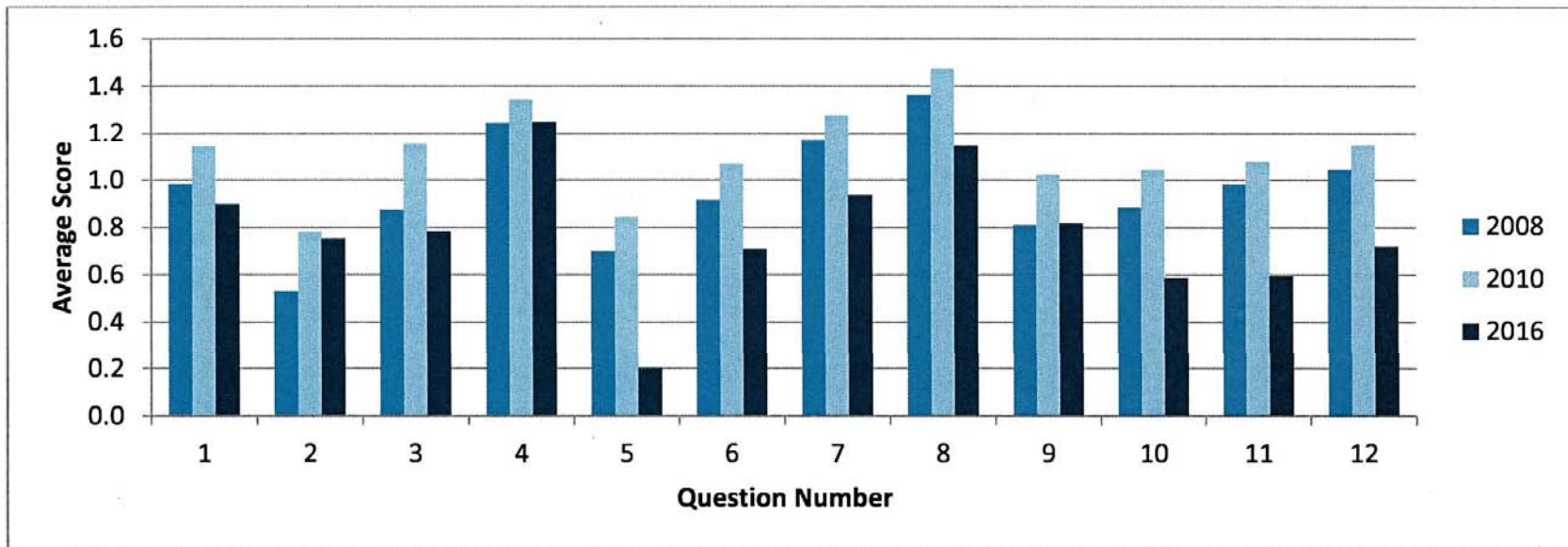


■ Very Satisfied ■ Satisfied ■ Neutral ■ Dissatisfied ■ Very Dissatisfied

Region IV 2008-2016 Trend

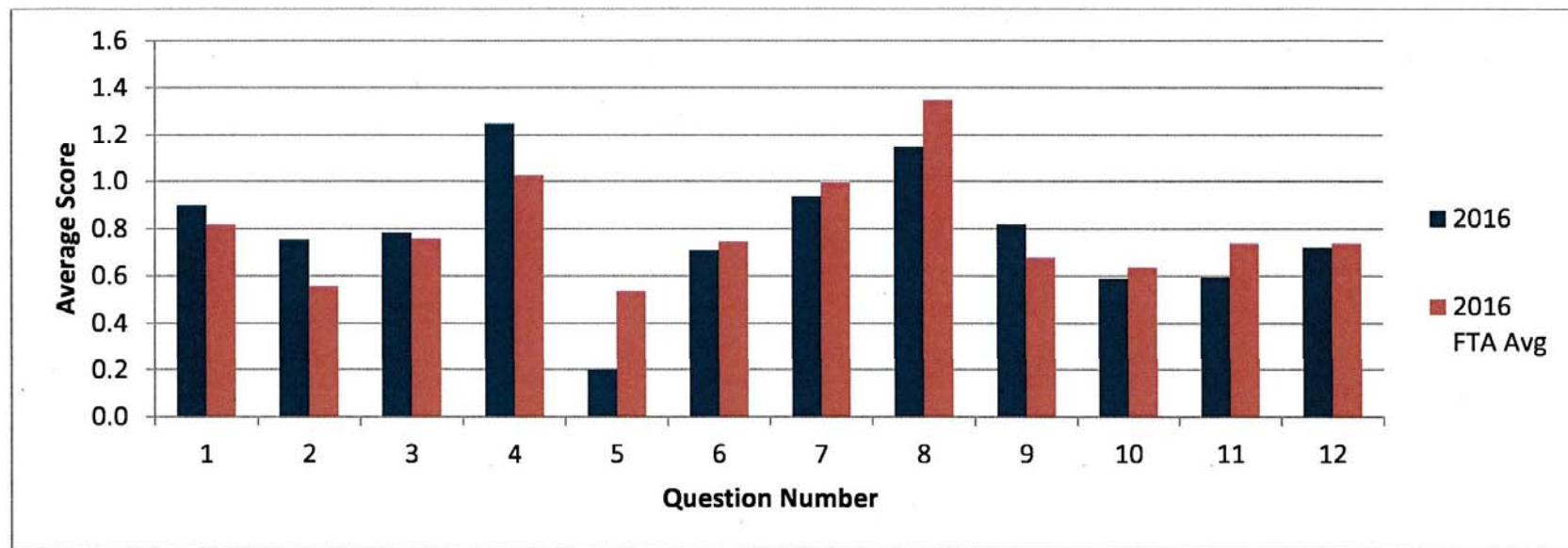
Region 4 Trend 2008 – 2016

Region 4: 2016 N = 114



Region IV vs. FTA Average 2016

Region 4 and FTA Average 2016



Questions and Answers???

- Please check out the new FTA Region IV website at:
<https://www.transit.dot.gov/about/regional-offices/region-4/region-4>

Happy Holidays from FTA/Region IV!

