FTA

FEDERAL TRANSIT ADMINISTRATION

Emergency Relief Funds for Transit Systems Affected by Major Declared Disasters in CY 2018

U.S. Department of Transportation
Federal Transit Administration

December 2019

Agenda

Emergency Relief Program Overview

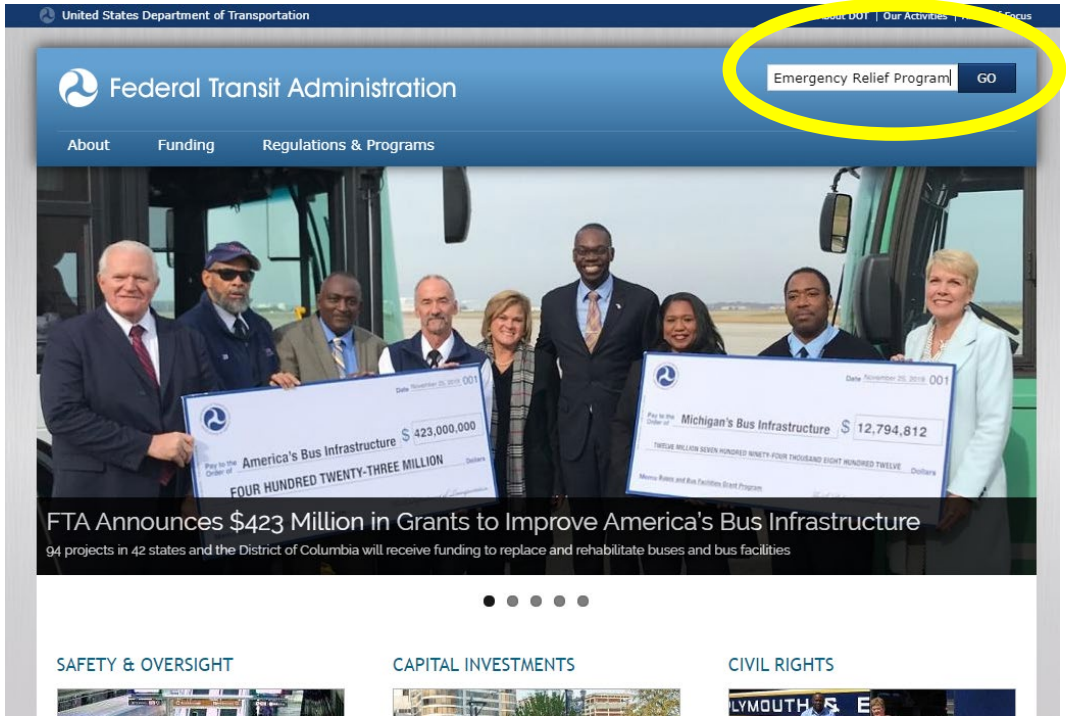
Calendar Year 2018 Funding Availability

Applying Through Grants.gov

Questions?

Emergency Relief Program

transit.dot.gov



Emergency Relief Program

Hurricane Sandy flooding, New York, NY - 2013

Provides grants for:

- **Capital projects** to protect, repair, reconstruct, or replace equipment and facilities
- **Emergency operating costs** of public transportation equipment and facilities

Authorized in 2012

- No permanent or annual appropriation to date

Three supplemental appropriations:

- **Hurricane Sandy** in 2013 ($10.9 billion)
- **Hurricanes Harvey, Irma, and Maria** in 2018 ($330 million)
- Major declared disasters in **calendar year 2018** ($10.5 million)

Emergency Relief Program

Hurricane Harvey preparations, Houston, TX- 2017

Eligible Recipients

- States
- Territories
- Tribal governments
- FTA Direct Recipients

Eligible Expenses

- **Disaster Response**: Emergency or temporary operations
- **Disaster Recovery and Rebuilding**: Projects to temporarily or permanently repair or replace transit assets
- **Disaster Resilience**: Projects that address a vulnerability

Emergency Relief Program

Disaster Response

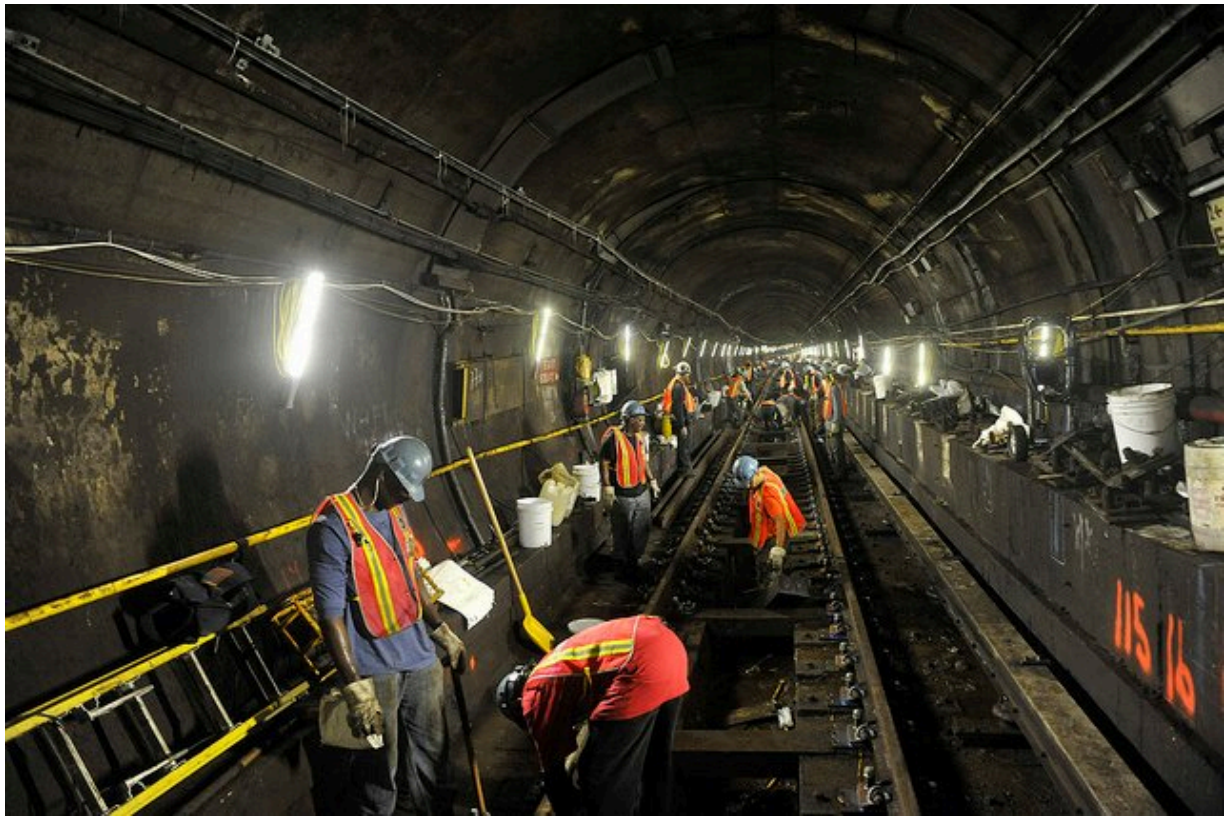
- Evacuations and temporary service to/from shelters
- Emergency responder or utility worker transport
- Temporary bus service to replace inoperable rail service
- Rerouted or temporary service to avoid impassable roads
- Emergency protective measures to prevent damage or protect health and safety such as sandbagging or debris removal
- Temporary repairs to facilities to hasten their return to service until permanent repairs can be made.

Evacuations in Oroville, CA - 2017

Emergency Relief Program

Disaster Recovery and Rebuilding

- Vehicle repair and replacement
- Passenger, operations, or administrative facility repair or rebuilding
- Repair or replacement of other infrastructure and equipment such as power or track components and maintenance equipment
- Replacement of supplies kept in the normal course of doing business that were owned by the transit agency and destroyed in the disaster



Hurricane Sandy repair work, New York, NY

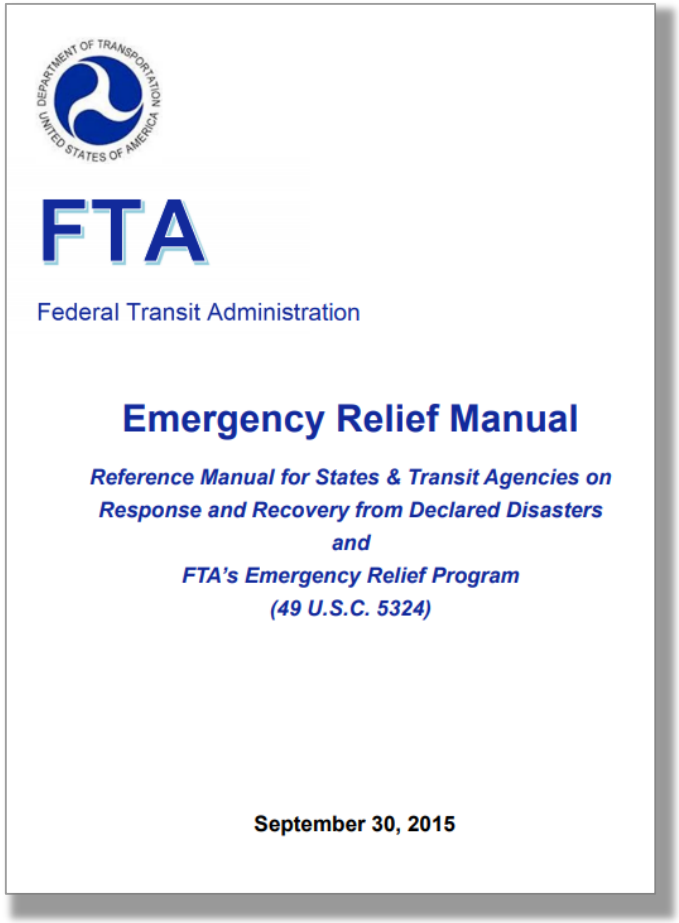
Emergency Relief Program

Disaster Resilience

- Flood protection measures such as sea walls; wet and dry water proofing; strengthening systems that remove rainwater from public transportation facilities; or raising rail signal, power, or other equipment above expected flood elevations
- Relocating facilities to higher ground
- Building covered storage to protect rolling stock
- Burying power lines to protect them from high-wind events
- Resilient features built into recovery projects that are integral and cost-effective, such as using stronger materials

South Ferry Station resilience project, New York, NY - 2014

Emergency Relief Program



Ineligible Expenses

- Heavy maintenance (i.e. normally expected costs due to seasonal and natural occurrences)
- Projects that change the function or capacity of a transit system
- Failures due to internal causes, such as design flaws or lack of maintenance
- Projects with FTA funding obligated prior to the disaster
- Reimbursement for lost revenue
- Replacement of supplies and materials not owned by the applicant and not incorporated into the transit system
- Labor for salaried management as a direct cost
- Preparations prior to storm or disaster forecasts
- Costs reimbursed by another source, such as FEMA

Emergency Relief Program

Winter storm Skylar, Boston, MA - 2018

Key Policies

- **Federal Share** is 80%, but may be increased by the Secretary
- When ER funds have not been appropriated, 5307 and 5311 funds may be used to respond to a disaster but cannot be replenished or replaced if ER funds are subsequently appropriated
- FTA will not reimburse expenses already reimbursed by FEMA or any other federal agency
- FTA cannot pay for any expenses covered by insurance.
- Emergency and permanent repairs that do not involve functional, locational, or capacity changes do not need to be in the TIP/STIP
- FTA waives the remaining useful life for destroyed assets; damaged assets that are repaired retain useful life
- FTA remains entitled to reimbursement if the recipient disposes of assets with a Federal interest and a fair market value of more than $5000

Emergency Relief Program

Corroded MTA equipment from Hurricane Sandy, New York, NY - 2013

Key Policies

- **Pre-award authority** is granted for ER projects retroactive to the date on which preparations for the storm or disaster began in response to forecasts
- Requests for **waivers** to administrative requirements that inhibit disaster response and recovery may be submitted to FTA's Emergency Relief Docket at www.regulations.gov
- Charter-like services are permitted if the action is directly related to a declaration of emergency
- Sole-source procurements are allowed if the public exigency or emergency does not permit a competitive procurement.
- Track separately all disaster-related expenses and outcomes to facilitate reimbursement later, whether FEMA or FTA

Emergency Relief Program

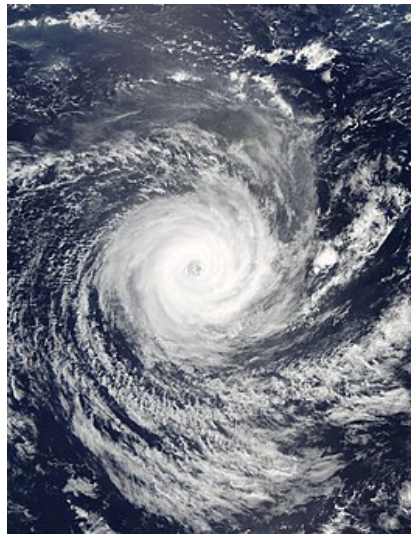
Working with FEMA

- FTA's ER program may receive an appropriation months after an event
- Work with FEMA immediately after an event
- If ER receives funding for a specific event, transit operators MUST stop working with FEMA and begin working with FTA
- We will work with FEMA to transfer any paperwork that is in-process
- Applicants must inform FTA if they are seeking or have received FEMA funding
- We work closely with FEMA to ensure expenses aren't paid for twice

CY 2018 Funding Availability

The Additional Supplemental Appropriations for Disaster Relief Act of 2019 (Disaster Relief Act), signed into law on June 6, 2019, appropriated **$10,542,000** for FTA's Emergency Relief Program for transit systems affected by major declared disasters occurring in calendar year 2018

California wildfires - 2018



Guam typhoon - 2018

Alaska earthquake - 2018

CY 2018 Funding Availability

North Carolina flooding from Hurricane Florence - 2018

Notice of Availability of Emergency Relief Funding (NAERF)

- Published December 3, 2019
- Deadline is January 17, 2020

The application process will occur in two stages

1. Applicants submit proposals requesting reimbursement of eligible costs
2. FTA will announce allocation of funds on its website at www.transit.dot.gov. Recipients with allocations enter a grant application in the FTA's **Transit Award Management System** (TrAMS)

Funds will be allocated on a **non-competitive** basis

CY 2018 Funding Availability

Iowa tornadoes- 2018

Eligible Recipients

Public transportation agencies, States, Territories, local governmental authorities, Indian tribes, and other FTA grant recipients…

…that provide or fund public transportation services in counties designated as eligible for any category of Federal Emergency Management Agency (FEMA) Public Assistance…

…for a major declared disaster with an incident period partially or entirely occurring within calendar year 2018.

https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program

CY 2018 Funding Availability

New Hampshire snowstorm - 2018

Eligible Projects and Expenses

Public transportation emergency operations, emergency protective measures, emergency repairs, and permanent repairs

Ineligible Expenses

Expenses previously reimbursed by FEMA or insurance proceeds

Please see the FTA' s **Emergency Relief Manual** for a complete list and description of eligible and ineligible expenses

CY 2018 Funding Availability

Oklahoma wildfires - 2018

Federal Matching = 80% except:

- ADA or Clean Air Compliance:
  - Vehicles = 85%
  - Vehicle-related equipment and facilities = 90%
- Disaster in an insular area = 100%
- Disaster response limited to debris removal, emergency protective measures, emergency repairs, and emergency operations expenses:
  - Oklahoma Wildfires (DR-4373) = 90%
  - California Wildfires (DR-4382) = 90%
  - California Wildfires (DR-4407) = 90%
  - Florida Hurricane Michael (DR-4399)
    - Completed on or before 11/24/2018 = 100%
    - Completed on or after 11/25/2018 = 90%
- Any disaster in calendar year 2018 for which FEMA later increases the Public Assistance Federal share

CY 2018 Funding Availability

Michigan flooding - 2018

How to Apply

A complete submission to **GRANTS.GOV** consists of:

- SF-424 Application for Federal Assistance
- Supplemental form for this NAERF
- Supporting documentation

Emergency Operating Documentation

- Purpose, dates, hours, number of vehicles, and fares charged.

Detailed Damage Assessments for Capital Projects

- Location, type of facility or equipment, nature and extent of damage
- The most feasible and practical method of repair or replacement
- Estimated repair and replacement cost

CY 2018 Funding Availability

Tohono O'odham Nation flooding- 2018

Reporting Requirements

Post-award reporting requirements include submission of the Federal Financial Reports (FFRs) and Milestone Progress Reports (MPRs) in TrAMS consistent with the FTA's grants management Circular 5010.1E

Oversight and Audits

FTA will provide oversight of grants funded through the Emergency Relief Program using its standard oversight programs, including Triennial Reviews and State Management Reviews

CY 2018 Funding Availability

Hawaii Kilauea volcanic eruption - 2018

References, Regulations, & Guidance

Federal Register Notice 84 FR 66271 – December 3, 2019
Public Transportation Emergency Relief Funds for Transit Systems Affected by Major Declared Disasters Occurring in Calendar Year 2018

Emergency Relief Final Rule – October 7, 2014
49 CFR Part 602 – Emergency Relief

Emergency Relief Program Manual
Emergency Relief Manual: A Reference for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief Program

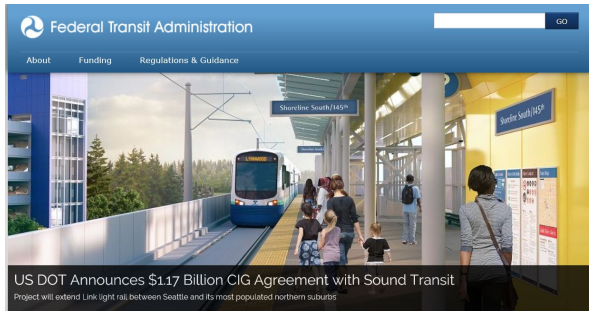
FTA Award Management Requirements (C.5010.1E)
Federal requirements for insurance, asset disposition, etc.

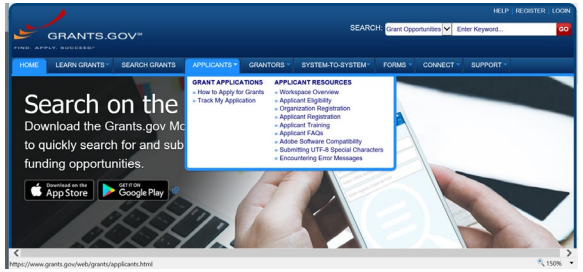
FTA Emergency Relief Docket (FTA-2019-0001)
Permits recipients to request FTA waivers of administrative requirements by submitting a request at www.regulations.gov

FTA

FEDERAL TRANSIT ADMINISTRATION

Applying for FTA Grant Opportunities in Grants.gov





U.S. Department of Transportation
Federal Transit Administration

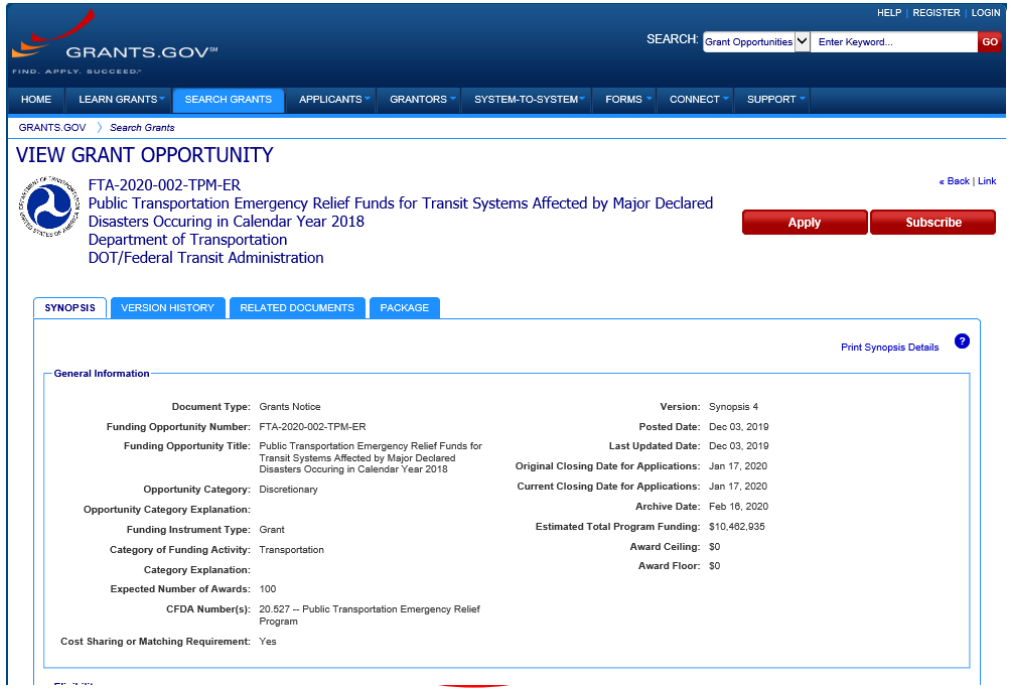
FTA
FEDERAL TRANSIT

ER Grant Opportunity

https://www.grants.gov/web/grants/view-opportunity.html?oppId=322825

Grant Opportunity Page contains:

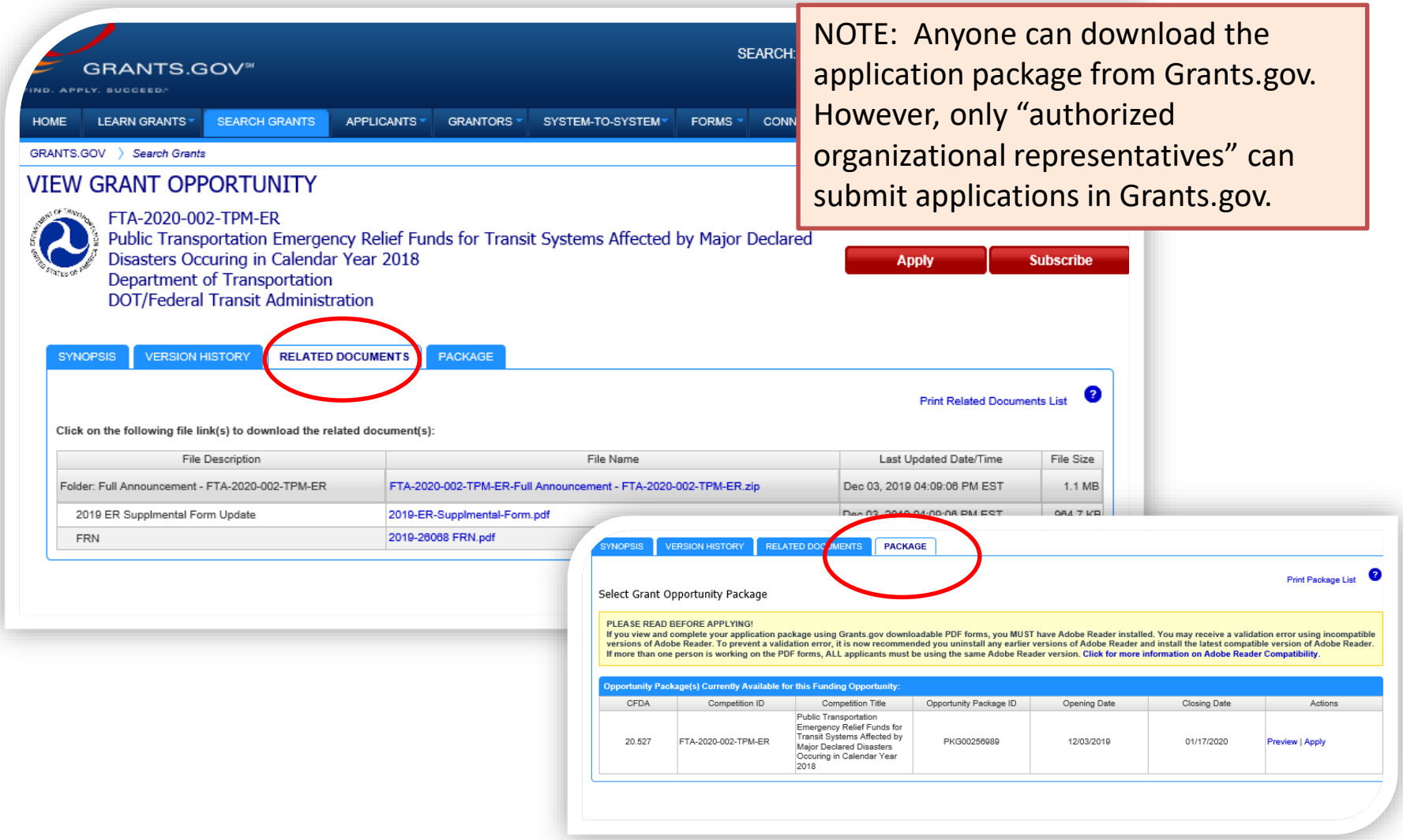
- Summary Description
  - Application requirements
  - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links



Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached supplemental form and attach it to their submission in GRANTS.GOV.

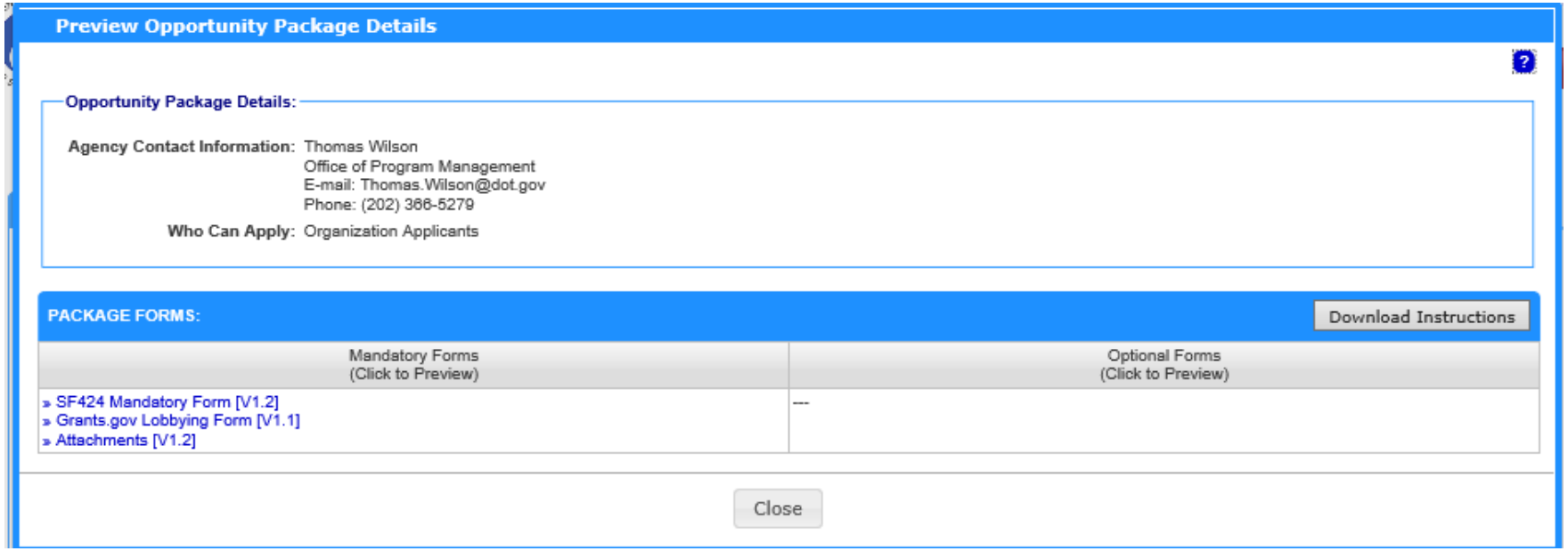
https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program

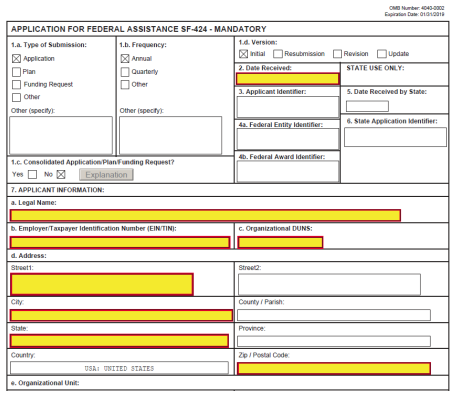
Download Application

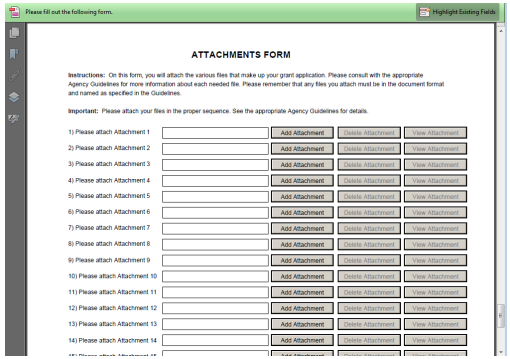


NOTE: Anyone can download the application package from Grants.gov. However, only "authorized organizational representatives" can submit applications in Grants.gov.

Application Package







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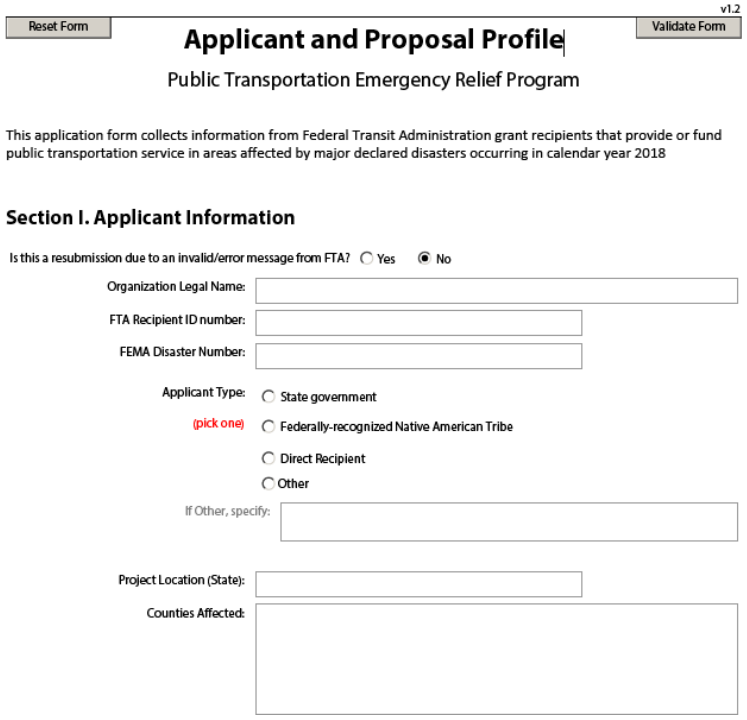
FEDERAL TRANSIT ADMINISTRATION

Application Package



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Reset Form | Validate Form

Applicant and Proposal Profile

Public Transportation Emergency Relief Program

This application form collects information from Federal Transit Administration grant recipients that provide or fund public transportation service in areas affected by major declared disasters occurring in calendar year 2018

Section I. Applicant Information

Is this a resubmission due to an invalid/error message from FTA? ○ Yes ◉ No

Organization Legal Name:

FTA Recipient ID number:

FEMA Disaster Number:

Applicant Type: (pick one)
- ○ State government
- ○ Federally-recognized Native American Tribe
- ○ Direct Recipient
- ○ Other

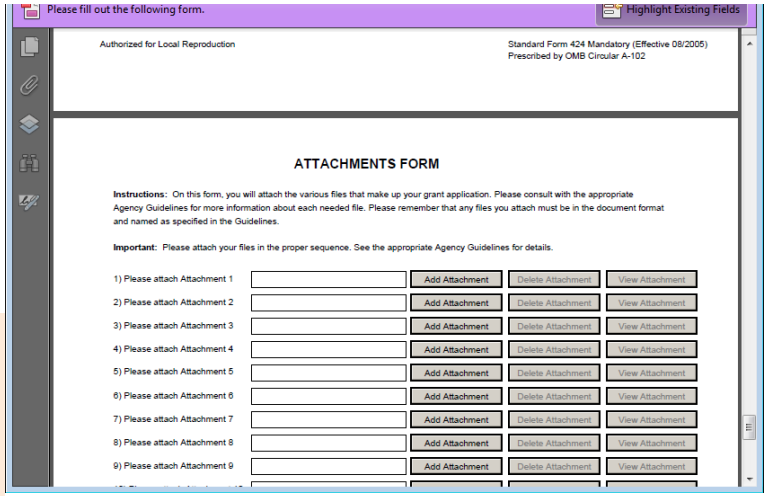
If Other, specify:

Project Location (State):

Counties Affected:

The "**Attachment Form**" shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.



Please fill out the following form. | Highlight Existing Fields

Authorized for Local Reproduction

Standard Form 424 Mandatory (Effective 08/2005)
Prescribed by OMB Circular A-102

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

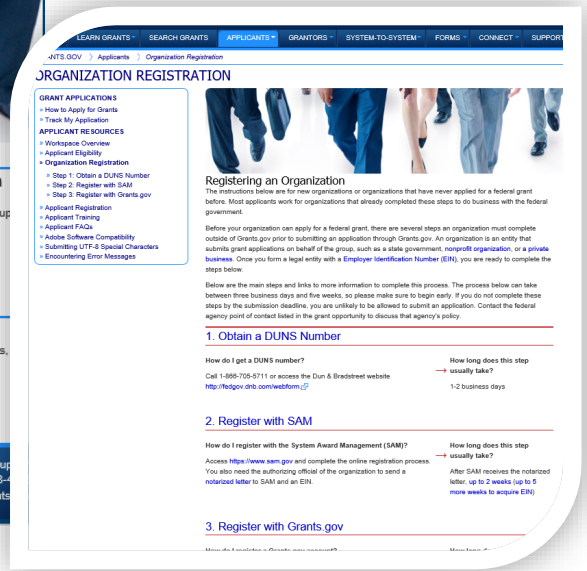
1) Please attach Attachment 1		Add Attachment	Delete Attachment	View Attachment
2) Please attach Attachment 2		Add Attachment	Delete Attachment	View Attachment
3) Please attach Attachment 3		Add Attachment	Delete Attachment	View Attachment
4) Please attach Attachment 4		Add Attachment	Delete Attachment	View Attachment
5) Please attach Attachment 5		Add Attachment	Delete Attachment	View Attachment
6) Please attach Attachment 6		Add Attachment	Delete Attachment	View Attachment
7) Please attach Attachment 7		Add Attachment	Delete Attachment	View Attachment
8) Please attach Attachment 8		Add Attachment	Delete Attachment	View Attachment
9) Please attach Attachment 9		Add Attachment	Delete Attachment	View Attachment

CAUTION: Do not use "**Supplemental Form**" from prior years or create your own form. Please use the file provided on the FTA website or in Grants.gov

Grants.gov – Applicants

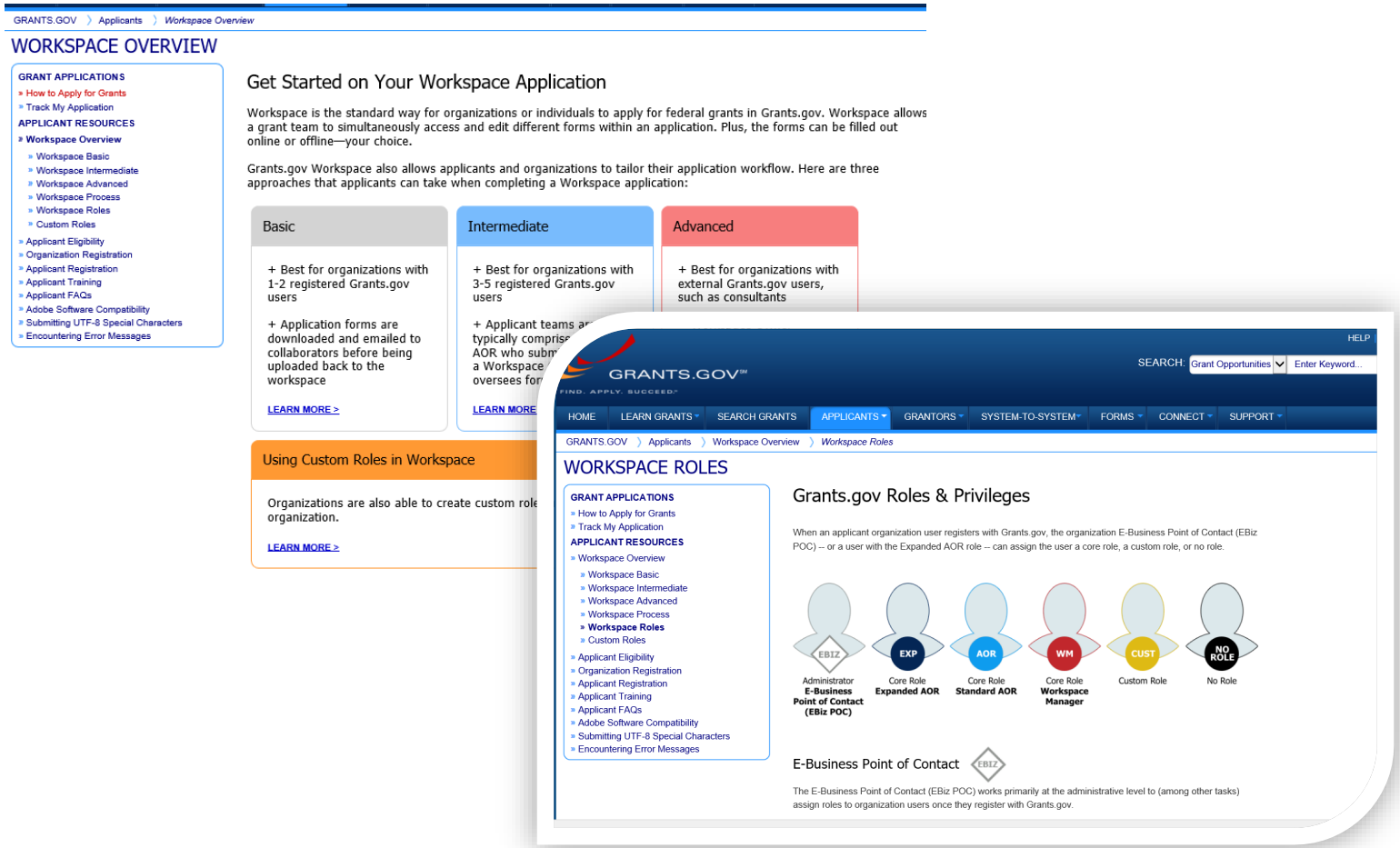
https://www.grants.gov/web/grants/applicants.html

Applicant – Organization Registration



Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov



Web Addresses

- FTA Emergency Relief Program:
  - https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program
  - Federal Register Notice: https://www.federalregister.gov/documents/2019/12/03/2019-26068/public-transportation-emergency-relief-funds-for-transit-systems-affected-by-major-declared
  - Emergency Relief for 2018 Disasters: https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-2018-disasters
  - Grants.gov: Opportunity: https://www.grants.gov/web/grants/view-opportunity.html?oppId=322825
- Grants.gov:
  - http://www.grants.gov/
  - http://www.grants.gov/web/grants/applicants.html
- Contact Grants.gov Support Center to get help with Grants.gov issues:

  GRANTS.GOV Applicant Support
  1-800-518-4726
  support@grants.gov

Questions?

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