FTA

FEDERAL TRANSIT ADMINISTRATION

# WEBINAR

## FOR

# Access and Mobility Partnership Grants:

## Innovative Coordinated Access and Mobility (ICAM) and Human Services Coordination Research (HSCR)

**Kelly Tyler, Program Manager**

U.S. Department of Transportation

Federal Transit Administration

# Agenda

- Grant Program Overview
  - Funding Availability
  - Purpose
  - Eligible Applicants
  - Eligible Projects
  - Federal Share and Local Match
  - Evaluation Criteria
  - Proposal Content/Supplemental Form
- Q & A

# Access and Mobility Partnership Grants Funding Availability

A total of $6.3 Million is available, with two sets of FTA funding:

- $3,903,715 in fiscal year (FY) 2018 funds under Section 3006(b) of the FAST Act, authorized a pilot program for innovative coordinated access and mobility (ICAM); and
- $2,434,767 under the Public Transportation Innovation Program, 49 U.S.C. 5312(b), authorizes Human Services Coordination Research (HSCR) program

- The FTA may supplement the total currently available with future appropriations
- Grant awardees will have up to eighteen (18) months from the time of the award to complete the project
- Grant Awards anticipated to be announced in Winter 2019

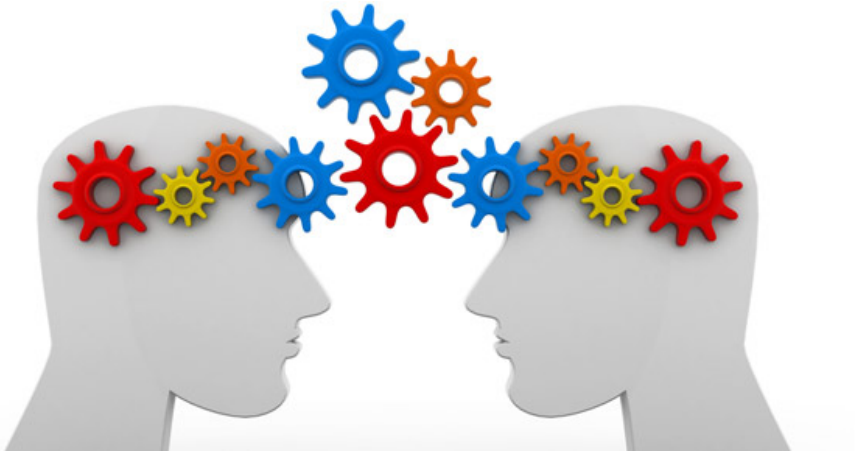
# Previous funding Opportunity
# 2016 Rides to Wellness Demonstration Grants

- In May, 2016, FTA issued a Notice of Funding Opportunity for Rides to Wellness Demonstration and Innovative Coordinate Access and Mobility Program
- FTA received 78 project proposals requesting $28 million from 34 states
- $7,211,518 was allocated for 19 projects
  - 11 projects were funded using Section 3006(b) funding
  - 8 projects were funding using Section 5312 funding
- 7 of the 19 projects selected serve rural areas
- Weblink: https://www.transit.dot.gov/funding/grants/fy-2016-rides-wellness-demonstration-and-innovative-coordinated-access-and-mobility

# Grant Program Overview

## Access and Mobility Partnership Grants Initiative

### Innovative Coordinated Access and Mobility (ICAM)
### and
### Human Services Coordination Research (HSCR)



# Innovative Coordinated Access and Mobility

ICAM

# Innovative Coordinated Access and Mobility (ICAM) Program Purpose

Section 3006(b) of the Fixing America's Surface Transportation (FAST) Act (Pub. L. 114-94, Dec. 4, 2015) authorizes FTA to award grants for innovative coordinated access and mobility projects for the transportation disadvantaged population that improve the coordination of transportation services and non-emergency medical transportation services.

# Program Goals

ICAM Program's goals:

- increased access to care;
- improved health outcomes; and
- reduced healthcare costs.

# Recipient Expectations

- To support the goals of the ICAM Pilot Program, recipients are expected to :

➢ develop replicable, innovative, and sustainable solutions to healthcare access challenges;

➢ foster local partnerships between health, transportation, home and community-based services, and other sectors to collaboratively develop and support solutions that increase healthcare access; and

➢ demonstrate how transportation solutions improve access to healthcare and health outcomes and reduce costs to the healthcare and transportation sectors.

# Eligible Applicants

- Eligible **ICAM Applicants** include –
  - State and local governmental authorities,
  - Federally recognized Tribes,
  - non-profit agencies,
  - Public or private providers of public transportation,
  - Designated, direct recipients, or subrecipients of Formula Grants for 5310.

# Eligible ICAM Projects

- **Eligible Projects Include (Capital only):**
  - mobility management strategies;
  - health and transportation provider partnerships;
  - Technology (scheduling software, mobile apps);
  - projects that create or increase access to community One-Call/One-Click Centers; and
  - other actions that drive change that improves access to healthcare.

# Federal Share and Local Match

- Eligible Federal Share: 80 percent
- The required local match: 20 percent
  - The local match which can come from other Federal (non-DOT) funds. This can allow local communities to implement programs with 100% federal funding. One example is Older Americans Act (OAA) Title IIIB Supportive Services Funds: http://www.aoa.gov/AOA_programs/OAA/resources/faqs.aspx#Transportation

# Sources of Local Match

- an undistributed cash surplus a replacement or depreciation cash fund or reserve;
- a service agreement with a state or local service agency or private social service organization; or
- new capital;
- *volunteered services; or
- *in-kind contributions;
- state or local appropriations;
- dedicated tax revenues;
- private donations;
- revenue from service contracts;
- transportation development credits; and
- net income generated from advertising and concessions.

*volunteered services, or in-kind contributions is eligible to be counted toward the local match as long as the value of each is documented and supported, represents a cost which would otherwise be eligible under the program, and is included in the net project costs in the project budget.

# ICAM Evaluation Criteria

**Six Categories:**

1. Demonstration of Need
2. Demonstration of Benefits
3. Planning and Partnership
4. Local Financial Commitment
5. Project Readiness
6. Technical, Legal and Financial Capacity

# Demonstration of Need

- What is the scale of the local healthcare access challenge?
- What is the scope of the overall challenge, and size of the specific segment of the population to be served?

# Demonstration of Benefits

- What are the benefits?
- How are they tied to the ICAM Program's goals:
  - increased access to care;
  - improved health outcomes; and
  - reduced healthcare costs?
- What are the benefits at an individual level?
- What are the benefits to local health and transportation providers?
- How will the healthcare access challenge identified be impacted?

# Demonstration of Benefits, Cont.

- What data will be collected to demonstrate the impacts on the goals of FTA's ICAM Program:
  - increased access to care;
  - improved health outcomes; and
  - reduced healthcare costs?
- How will you collect impactful data at various points:
  - throughout
  - at the end?

**Note: an independent evaluation of the demonstration grant may occur at various points in the deployment process and at the end of the pilot project*

# Planning and Partnership

- Describe:
  - Project
  - Partners
  - How will local coordination be improved?
- Evidence of strong commitment from key partners

# Local Financial Commitment

- What is the source of the local share?
- Are the funds currently available for the project?
- Availability of the local share is evidence of local financial commitment to the project
- Local share can be greater than the minimum requirement (20%)
- Document any previous local investment in the project

# Project Readiness

- What is the proposed schedule and the consortium's ability to implement it?
- What are the short-term, mid-range and long-term goals?
- How will the project help the transportation disadvantaged?
- How will it improve the coordination of transportation services and NEMT services?

# Project Readiness, Cont.

- What specific performance measures will be used to quantify actual outcomes against expected outcomes?
- How was the project developed inclusively, incorporating meaningful involvement from key stakeholders?
- What was the involvement of the intended target population?

# Technical, Legal and Financial Capacity

- What is the capacity of the lead agency to successful execute the project?
- What is the capacity of any partners?
- Are there any outstanding legal, technical, or financial issues with the proposer that would make this a high-risk project?

**FTA will select projects with a high likelihood of long-term success and sustainability*

# Human Services Coordination Research

HSCR

# Human Services Coordination Research (HSCR) Program Purpose

- The HSCR program is funded through the Public Transportation Innovation Program (49 U.S.C § 5312) and will build upon identified gaps in services or planning activities for the improvement of services, as outlined in a Coordinated Human Services Transportation Plan.
- The FTA will award capital or operating assistance to implement a coordinated public transportation project that offers innovative solutions to improve local coordination or access to coordinated transportation services.

# Recipient Expectations

- HSCR seeks to support transit agencies, human service agencies, and local communities as they:

  -integrate new mobility tools like smart phone apps, demand-responsive bus and van services;

  -aim to improve multi-modal connectivity for seniors, people with disabilities, and low-income individuals;

  -address accessibility issues through innovative technologies and practices;

  -improve the quality of the traveler experience and the transit product; and

  -identify new mobility-enhancing practices and technologies.

- The HSCR program is an opportunity for communities to put into practice innovative ideas, practices, and approaches to address the overall coordination goals of the CCAM at the local level.

# Eligible Applicants

- Eligible **HSCR Applicants** include –
  - States and local governmental authorities,
  - Federally recognized Tribes,
  - non-profit agencies,
  - Public or private providers of public transportation,
  - Designated, direct recipients, or subrecipients of Formula Grants for 5307, 5310 and 5311 programs,

# Eligible HSCR Projects

- **Eligible Projects Include (Operating/Capital)**
  - Projects eligible for the HSCR funds will link directly to an adopted Coordinated Public Transit-Human Services Transportation Plan and the implementation strategy for an integrated coordinated transportation system. Some projects may include:
    - increase systems coordination of transportation services;
    - mobility management strategies and/or service improvements that fill identified gaps in service
    - provide more effective and efficient public transportation service, including services to seniors, individuals with disabilities, and low-income individuals; or
    - implement data and communication system advancements.
    - Taxi Voucher Programs
    - Volunteer Driver Programs

# Federal Share and Local Match

**Capital Projects**

- Federal Share is 80 percent
- Local Share is 20 percent

**Operating Projects**

- Federal Share is 50 percent
- Local Share is 50 percent

# Sources of Local Match

- an undistributed cash surplus a replacement or depreciation cash fund or reserve,
- a service agreement with a state or local service agency or private social service organization, or
- new capital
- *volunteered services, or
- *in-kind contributions
- state or local appropriations;
- dedicated tax revenues;
- private donations;
- revenue from service contracts;
- transportation development credits; and
- net income generated from advertising and concessions

*volunteered services, or in-kind contributions is eligible to be counted toward the local match as long as the value of each is documented and supported, represents a cost which would otherwise be eligible under the program, and is included in the net project costs in the project budget.

# HSCR Evaluation Criteria

**Six Categories:**

1. Demonstration of Need
2. Demonstration of Benefits
3. Coordination, Planning and Partnership
4. Local Financial Commitment
5. Project Readiness
6. Technical, Legal and Financial Capacity

# Demonstration of Need

- How does the proposed project **directly** addresses a significant need for or challenges to improving coordination of transportation services as documented in the implementation strategy of a locally adopted Coordinated Human Services Transportation Plan.

# Demonstration of Benefits

- How does the proposed project demonstrate the benefits of the implementation of the coordination activity and enhance transportation services for the targeted population; **AND**

# Demonstration of Benefits Cont.

- Does the proposed project supports **ONE** the following goals:
  - implementing strategies to increase human services transportation through interagency cooperation,
  - improving access to cost-effective transportation services, or
  - encouraging enhanced access to transportation resources.
- **AND,** can the applicant document impact data during and at the conclusion of the project.

# Coordination, Planning, and Partnership

- Does the project proposal describe and outline project partners and their specific role in the project to improve local coordination or access to coordinated transportation service;
- **AND,** does the applicant provides evidence of strong commitment from key partners, including letters of support from participating human services agencies and all other relevant local stakeholders.

# Local Financial Commitment

1. The source of the local share is identified meets the required match and funds are currently available for the project; or
2. The amount of the identified and secured local share is greater than the minimum local match requirement; or
3. There is previous local investment in the project.

# HSCR Project Readiness

- The proposed project is directly tied to a locally adopted Coordinated Human Services Transportation Plan.
- The proposed schedule is reasonable and is able to be implemented.
- The proposers clearly indicate the short-term, mid-range and long-term goals for the project.

# Project Readiness Cont.

- The proposal provides specific performance measures that the applicant will use to quantify actual outcomes against expected outcomes.
- The applicant demonstrates significant, ongoing involvement of the project's target population and relevant stakeholders.

# Technical, Legal, and Financial Capacity

- The applicant has the capacity to successfully execute the project; and
- The partners have the capacity to execute their roles successfully; and
- There are no outstanding legal, technical, or financial issues with the proposer that would make this a high-risk project; and
- The project is feasible as a research project, as well as long term sustainability at full deployment.

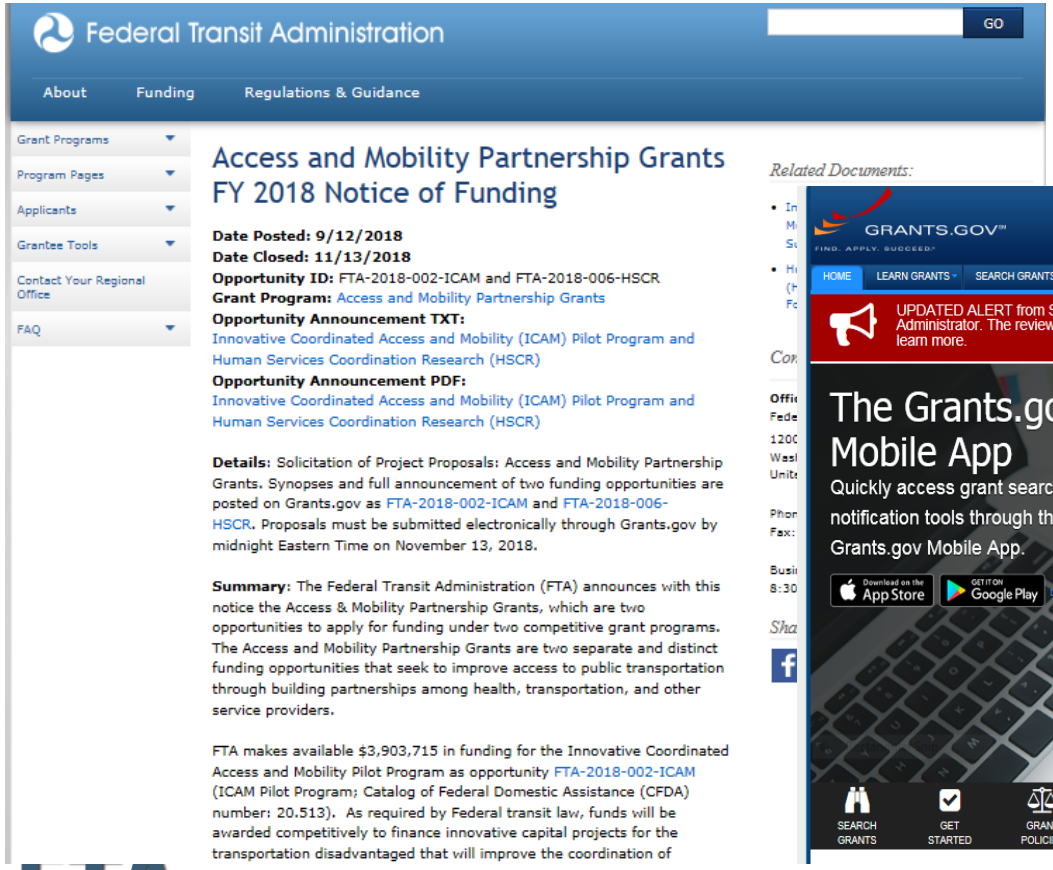
# Proposal Content and Supplemental Form

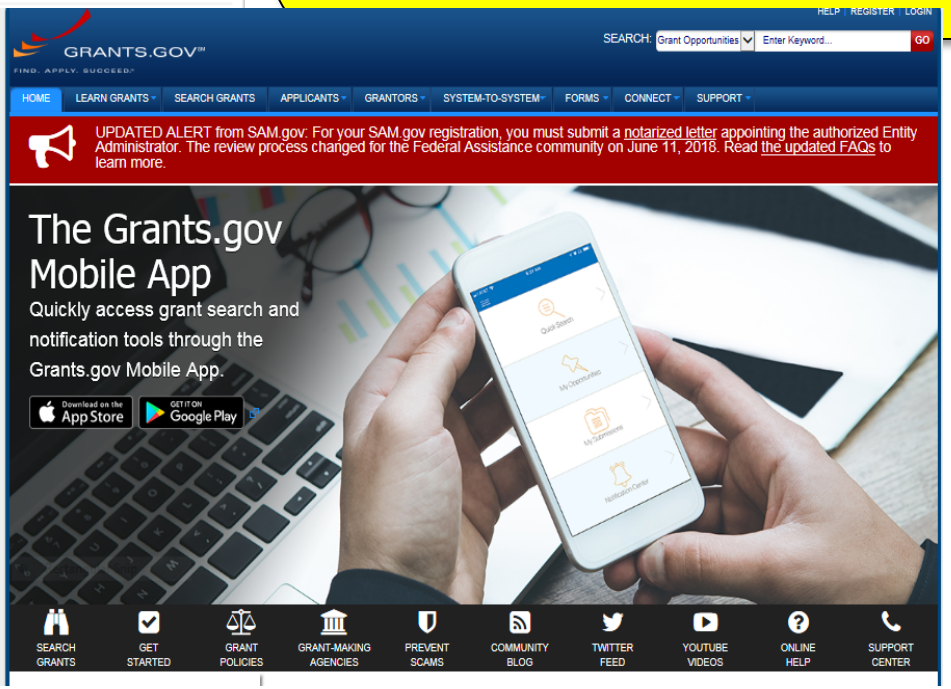
# Applying through Grants.gov

Grants.gov home page

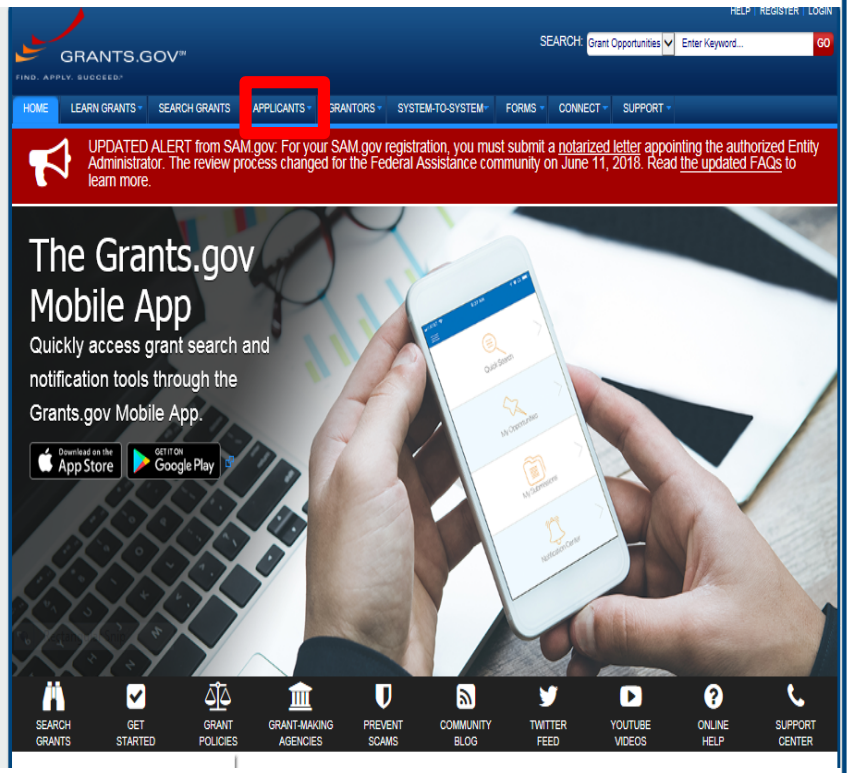
http://www.grants.gov/

In order to apply for a grant, you and/or your organization must complete the Grants.gov registration process. Registration can take three to five business days or as long as two weeks if all steps are not completed in a timely manner.



# Applying through Grants.gov



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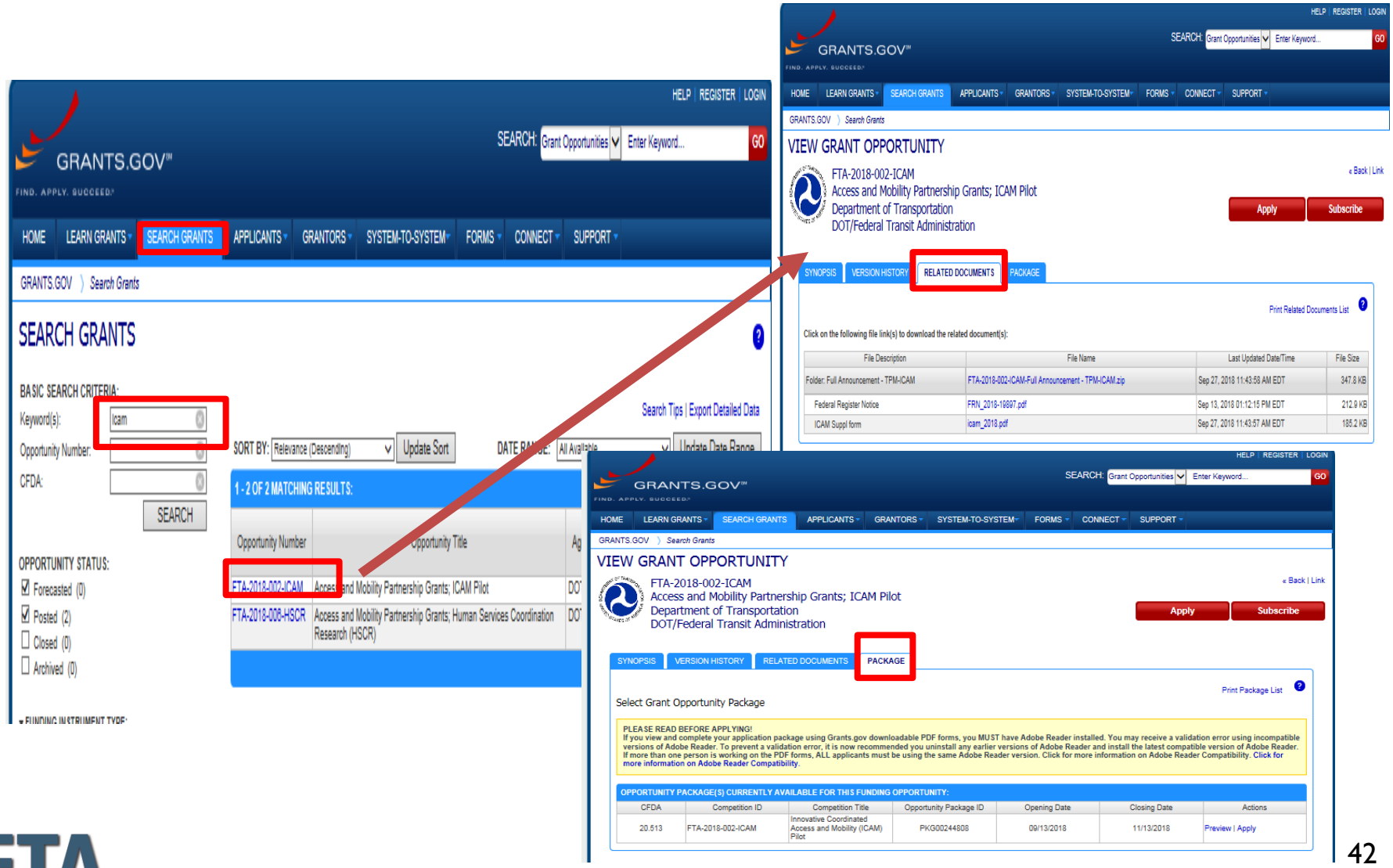
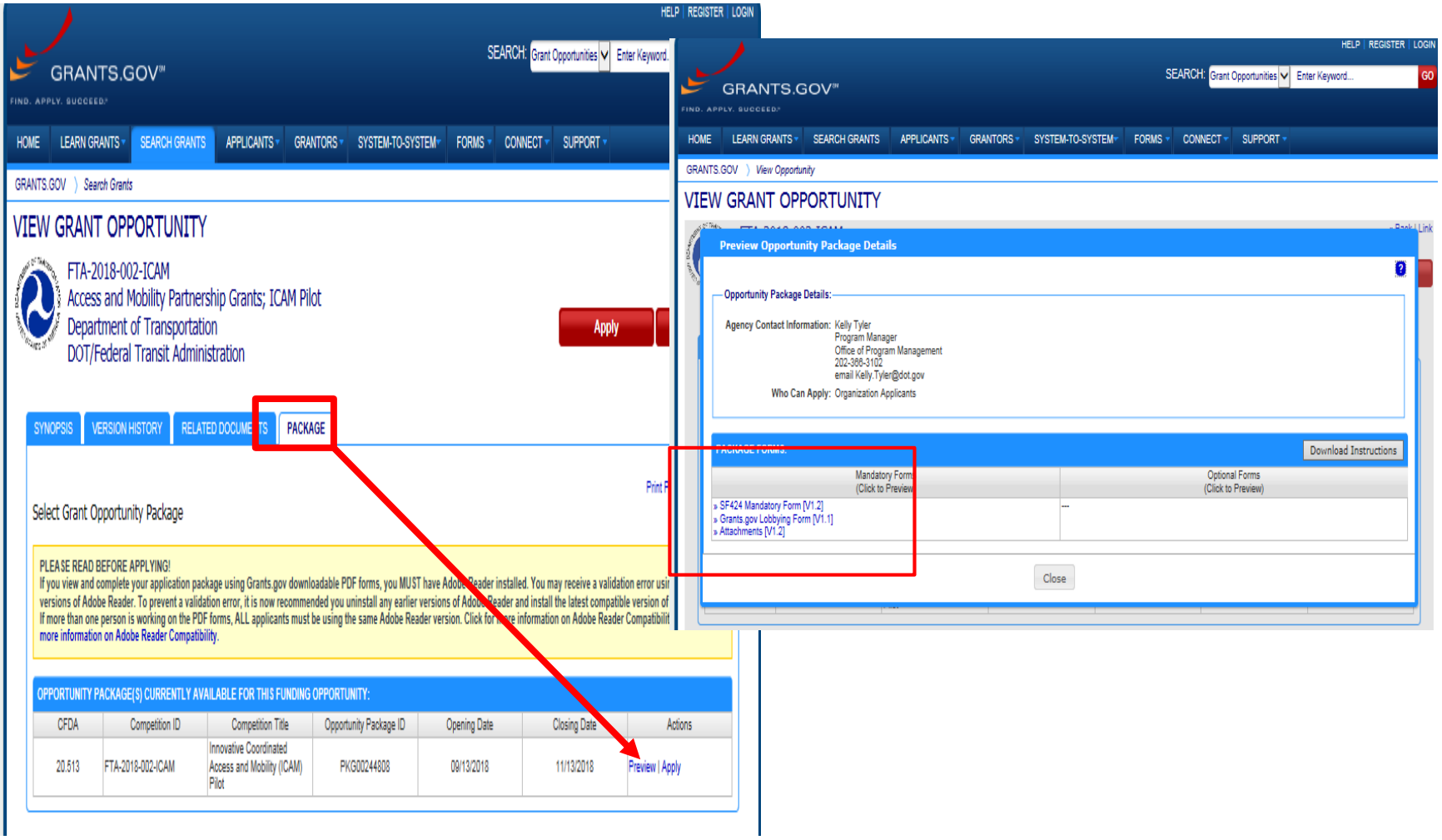
# Applying through Grants.gov

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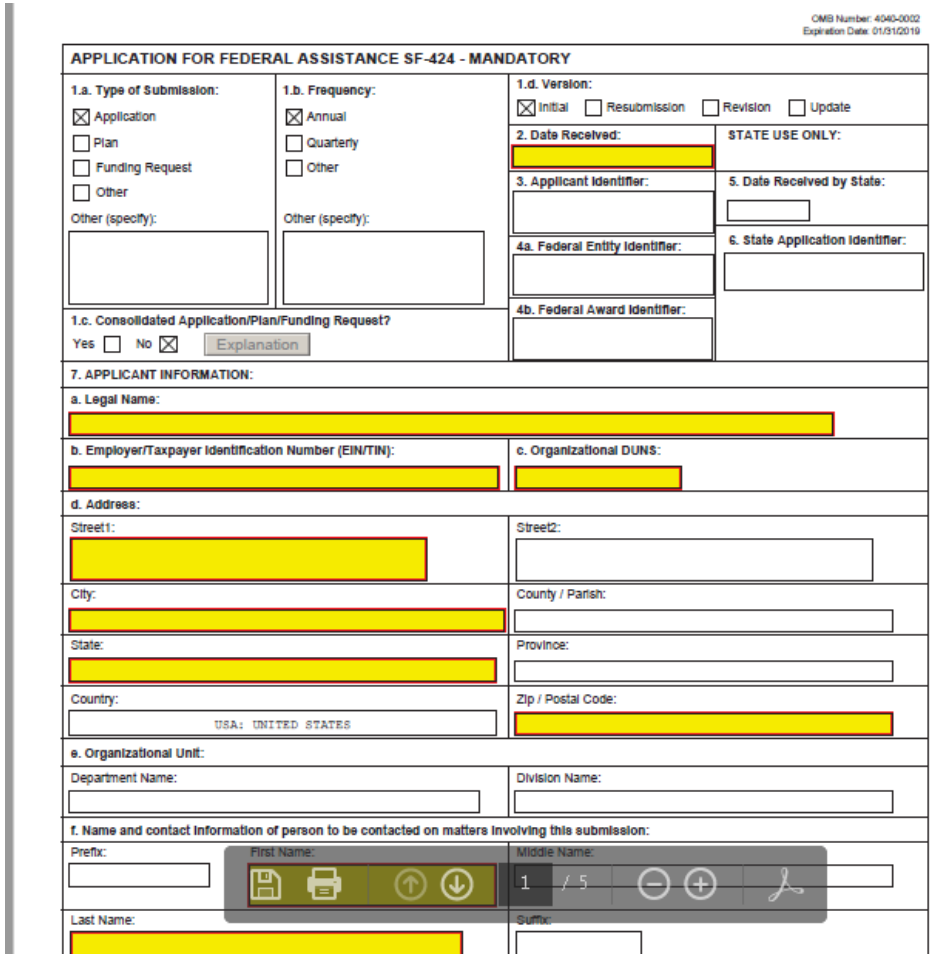
GRANTS.GOV
FIND. APPLY. SUCCEED.
SEARCH: Grant Opportunities Enter Keyword...
HOME LEARN GRANTS SEARCH GRANTS APPLICANTS GRANTORS SYSTEM-TO-SYSTEM FORMS CONNECT SUPPORT
GRANTS.GOV 〉 Search Grants
VIEW GRANT OPPORTUNITY
FTA-2018-002-ICAM
Access and Mobility Partnership Grants; ICAM Pilot
Department of Transportation
DOT/Federal Transit Administration
Apply
SYNOPSIS VERSION HISTORY RELATED DOCUMENTS PACKAGE
Select Grant Opportunity Package
PLEASE READ BEFORE APPLYING!
more information on Adobe Reader Compatibility.
OPPORTUNITY PACKAGE(S) CURRENTLY AVAILABLE FOR THIS FUNDING OPPORTUNITY:
CFDA
Competition ID
Competition Title
Opportunity Package ID
Opening Date
Closing Date
Actions
20.513
FTA-2018-002-ICAM
Innovative Coordinated Access and Mobility (ICAM) Pilot
PKG00244808
09/13/2018
11/13/2018
Preview | Apply
GRANTS.GOV 〉 View Opportunity
VIEW GRANT OPPORTUNITY
Preview Opportunity Package Details
Opportunity Package Details:
Agency Contact Information: Kelly Tyler
Program Manager
Office of Program Management
202-366-3102
email Kelly.Tyler@dot.gov
Who Can Apply: Organization Applicants
Download Instructions
Mandatory Forms (Click to Preview)
Optional Forms (Click to Preview)
» SF424 Mandatory Form [V1.2]
» Grants.gov Lobbying Form [V1.1]
» Attachments [V1.2]
Close

# SF- 424 Form



OMB Number: 4040-0002
Expiration Date: 01/31/2019

**APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY**

**1.a. Type of Submission:**
- ☒ Application
- ☐ Plan
- ☐ Funding Request
- ☐ Other

Other (specify):

**1.b. Frequency:**
- ☒ Annual
- ☐ Quarterly
- ☐ Other

Other (specify):

**1.c. Consolidated Application/Plan/Funding Request?**
Yes ☐ No ☒ Explanation

**1.d. Version:**
☒ Initial ☐ Resubmission ☐ Revision ☐ Update

**2. Date Received:**

**STATE USE ONLY:**

**3. Applicant Identifier:**

**5. Date Received by State:**

**4a. Federal Entity Identifier:**

**6. State Application Identifier:**

**4b. Federal Award Identifier:**

**7. APPLICANT INFORMATION:**

**a. Legal Name:**

**b. Employer/Taxpayer Identification Number (EIN/TIN):**

**c. Organizational DUNS:**

**d. Address:**

Street1:

Street2:

City:

County / Parish:

State:

Province:

Country: USA: UNITED STATES

Zip / Postal Code:

**e. Organizational Unit:**

Department Name:

Division Name:

**f. Name and contact information of person to be contacted on matters involving this submission:**

Prefix:

First Name:

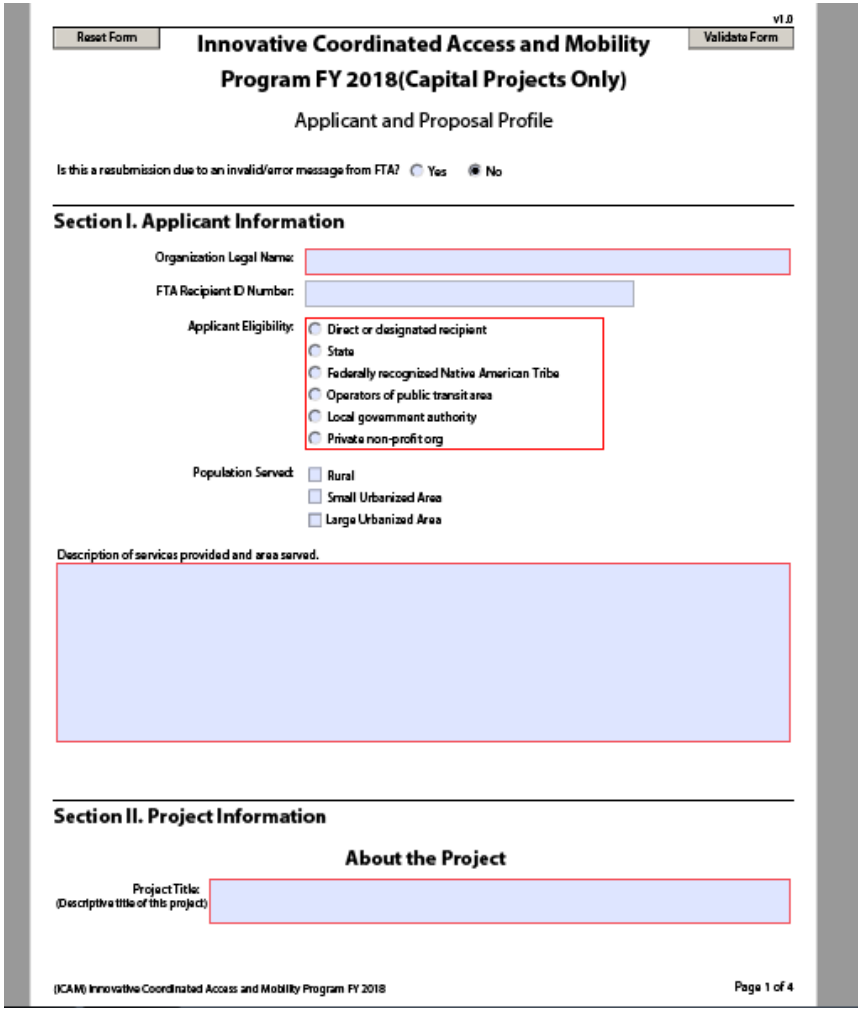
Middle Name:

1 / 5

Last Name:

Suffix:

# ICAM Supplemental Form



v1.0

Reset Form | Validate Form

**Innovative Coordinated Access and Mobility Program FY 2018(Capital Projects Only)**

Applicant and Proposal Profile

Is this a resubmission due to an invalid/error message from FTA? ○ Yes ◉ No

**Section I. Applicant Information**

Organization Legal Name:

FTA Recipient ID Number:

Applicant Eligibility:
- ○ Direct or designated recipient
- ○ State
- ○ Federally recognized Native American Tribe
- ○ Operators of public transit area
- ○ Local government authority
- ○ Private non-profit org

Population Served:
- ☐ Rural
- ☐ Small Urbanized Area
- ☐ Large Urbanized Area

Description of services provided and area served.

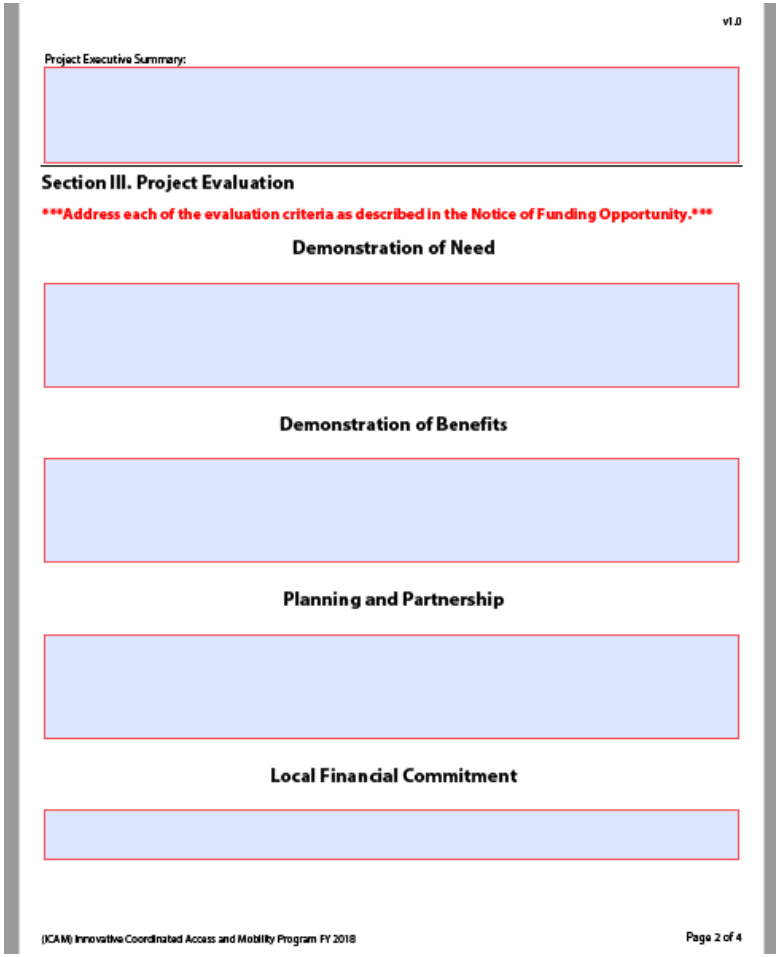
**Section II. Project Information**

**About the Project**

Project Title:
(Descriptive title of this project)

(ICAM) Innovative Coordinated Access and Mobility Program FY 2018 Page 1 of 4

# ICAM Supplemental Form cont.



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Project Executive Summary:

**Section III. Project Evaluation**

***Address each of the evaluation criteria as described in the Notice of Funding Opportunity.***

**Demonstration of Need**

**Demonstration of Benefits**

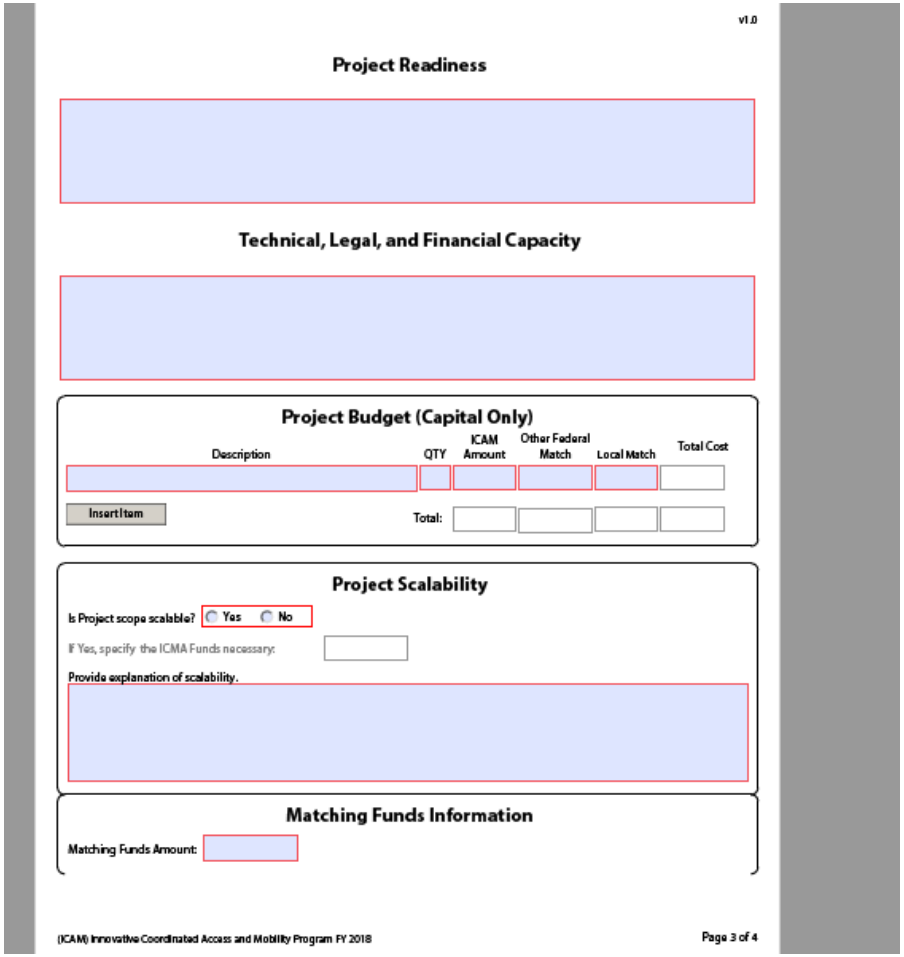
**Planning and Partnership**

**Local Financial Commitment**

(ICAM) Innovative Coordinated Access and Mobility Program FY 2018

Page 2 of 4

# ICAM Supplemental Form cont.



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**Project Readiness**

**Technical, Legal, and Financial Capacity**

**Project Budget (Capital Only)**

| Description | QTY | ICAM Amount | Other Federal Match | Local Match | Total Cost |
|---|---|---|---|---|---|
| | | | | | |
| Insert Item | Total: | | | | |

**Project Scalability**

Is Project scope scalable? ○ Yes ○ No

If Yes, specify the ICMA Funds necessary:

Provide explanation of scalability.

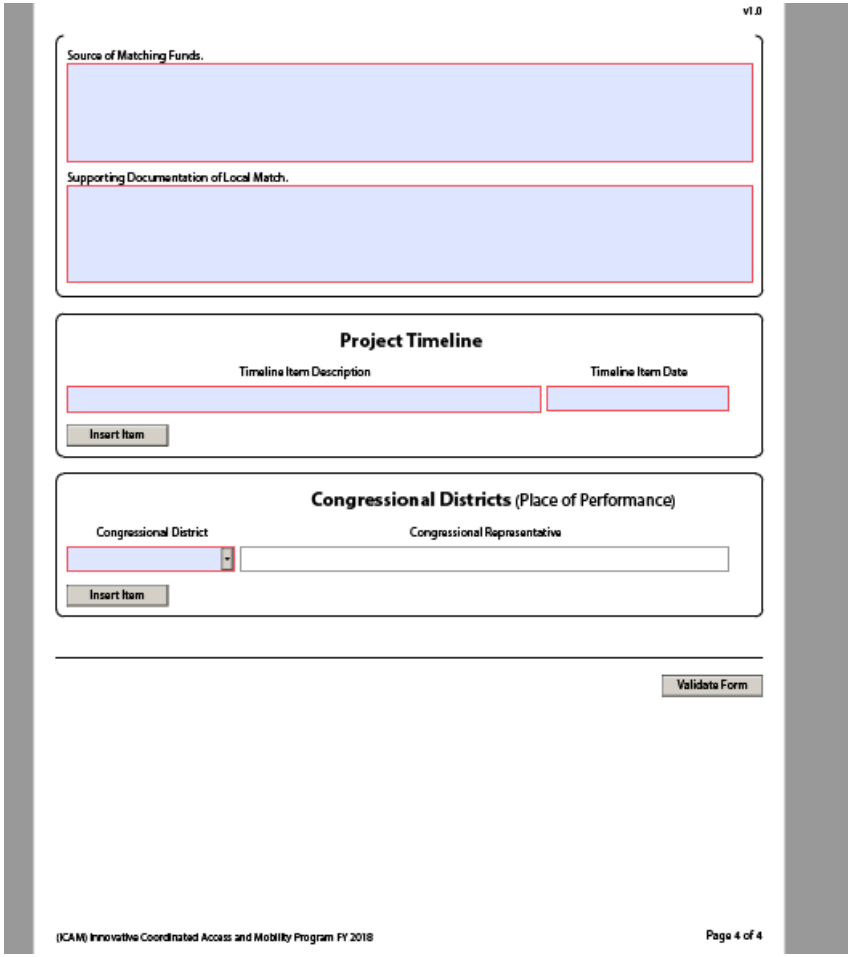
**Matching Funds Information**

Matching Funds Amount:

(ICAM) Innovative Coordinated Access and Mobility Program FY 2018

Page 3 of 4

# ICAM Supplemental Form cont.



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Source of Matching Funds.

Supporting Documentation of Local Match.

**Project Timeline**

Timeline Item Description | Timeline Item Date

Insert Item

**Congressional Districts** (Place of Performance)

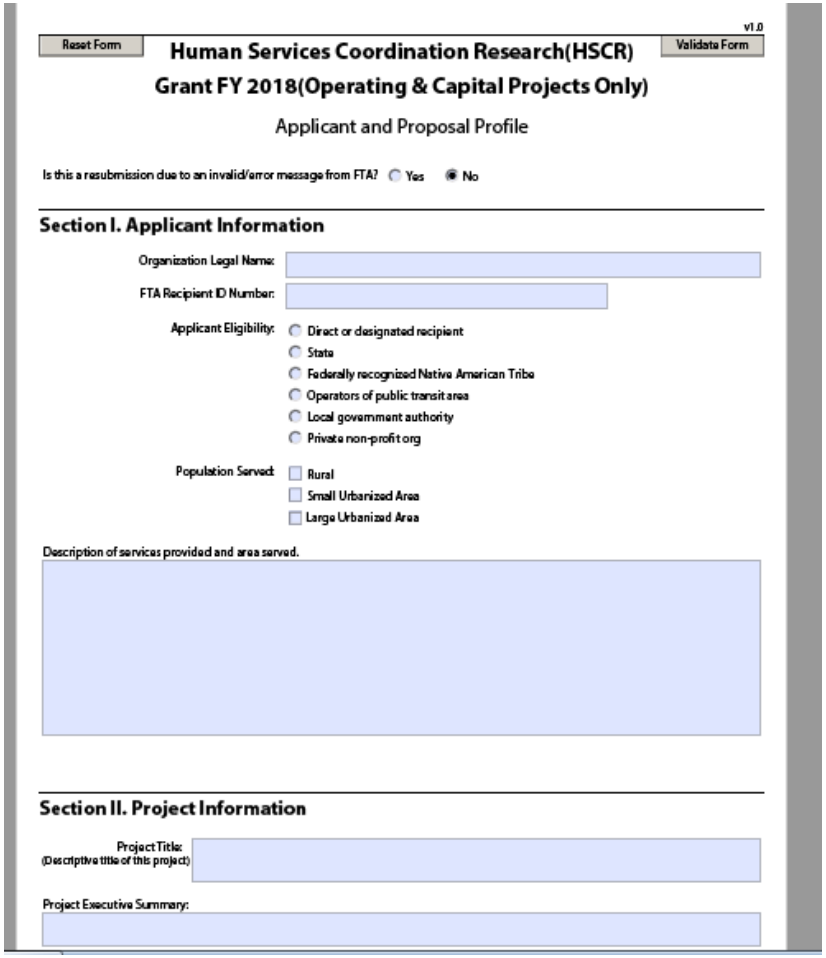
Congressional District | Congressional Representative

Insert Item

Validate Form

(ICAM) Innovative Coordinated Access and Mobility Program FY 2018 Page 4 of 4

# HSCR Supplemental Form



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Reset Form | Validate Form

**Human Services Coordination Research(HSCR)**
**Grant FY 2018(Operating & Capital Projects Only)**

Applicant and Proposal Profile

Is this a resubmission due to an invalid/error message from FTA? ○ Yes ◉ No

**Section I. Applicant Information**

Organization Legal Name:

FTA Recipient ID Number:

Applicant Eligibility:
- ○ Direct or designated recipient
- ○ State
- ○ Federally recognized Native American Tribe
- ○ Operators of public transit area
- ○ Local government authority
- ○ Private non-profit org

Population Served:
- ☐ Rural
- ☐ Small Urbanized Area
- ☐ Large Urbanized Area

Description of services provided and area served.

**Section II. Project Information**

Project Title:
(Descriptive title of this project)

Project Executive Summary:

# Timeline

- Grants Submission deadline is November 13, 2018
- Grant Award Announcement is projected in Winter of 2019

# Tap into Resources

National Center for Mobility Management

National Aging and Disability Transportation Center

National Rural Transit Assistance Program

ACL Transit Planning 4 All

Shared-Use Mobility Center – Shared Mobility Toolkit

# Contact Information

**Kelly Tyler, Program Manager**

Access and Mobility Partnership Grants

Office of Program Management, Rural and Targeted Programs

USDOT | Federal Transit Administration

Email: Kelly.Tyler@dot.gov

Phone: 202-366-3102

Website: https://www.transit.dot.gov/funding/grants/grant-programs/access-and-mobility-partnership-grants

# Questions?

Clean Air Hybrid
151
ROCKSTAR GAMES
MAY 17
Federal Transit Administration
www.fta.dot.gov