

# FTA

FEDERAL TRANSIT ADMINISTRATION

## **2018 Joint SSO and RTA Workshop**

### **Breakout Session 3: Emergency Preparedness**

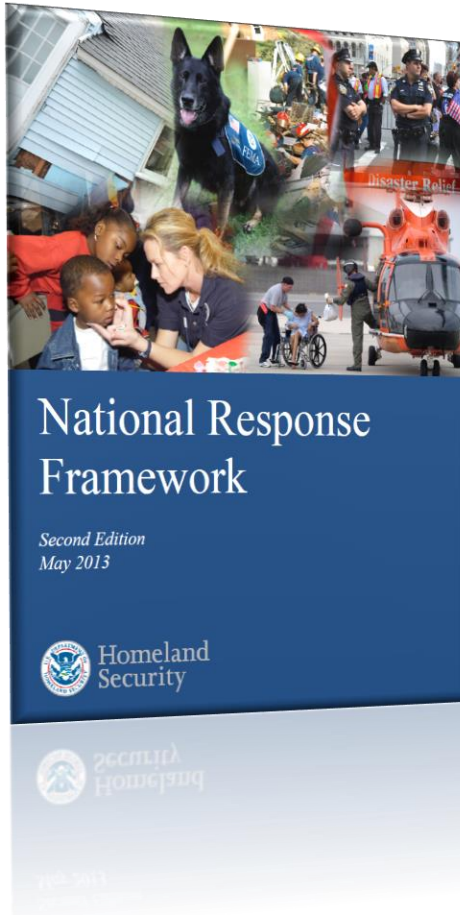
**Tony Tisdale**  
**Office of Transit Safety and Oversight**  
**Federal Transit Administration**



U.S. Department of Transportation  
Federal Transit Administration

**October 24, 2018**

# National Response Framework

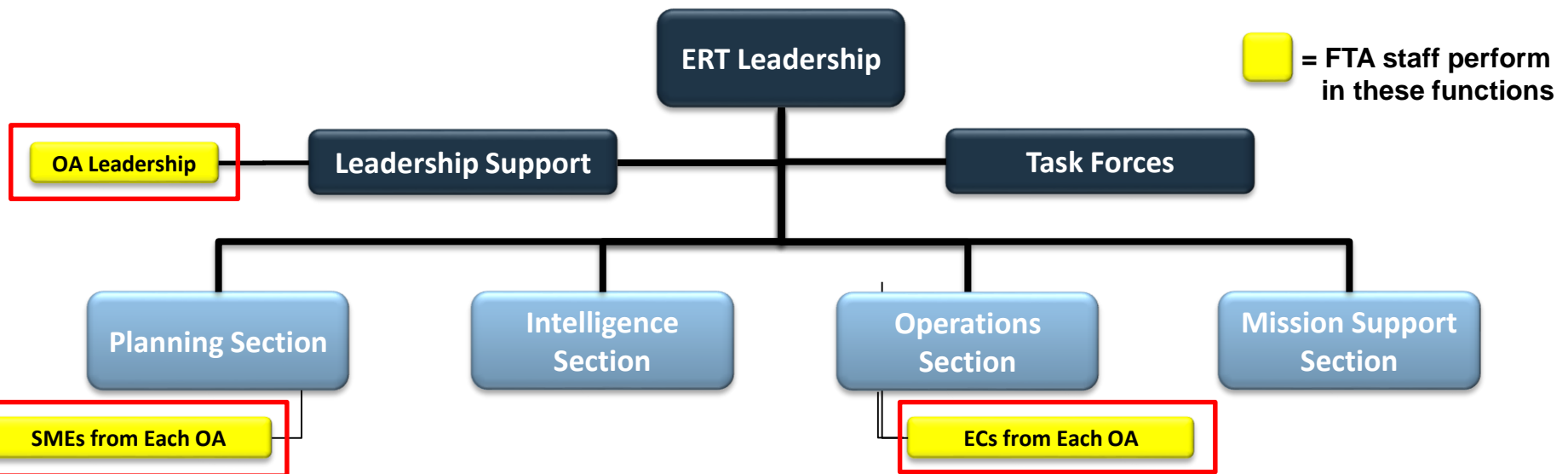


- Guides the Nation's response to all types of disasters and emergencies
- Scalable, flexible, and adaptable
- Incorporates in the National Incident Management System (NIMS) concepts
- Describes specific authorities and best practices for managing all-hazard incidents

# Emergency Support Functions (ESFs)

ESF	Title	Coordinator	USDOT Support
1	Transportation	USDOT	
2	Communications	DHS/NCS	
3	Public Works and Engineering	DOD, USACE	✓
4	Firefighting	USDA Forest Service, US Fire Administration	
5	Information and Planning	DHS/FEMA	✓
6	Mass Care, Emergency Assistance Housing, and Human Services	DHS/FEMA	
7	Logistics	GSA, DHS/FEMA	✓
8	Public Health and Medical Services	HHS	✓
9	Search and Rescue	DHS/FEMA	✓
10	Oil and Hazardous Materials Response	EPA	✓
11	Agriculture and Natural Resources	USDA	
12	Energy	DOE	✓
13	Public Safety and Security	DOJ/ATF	
14	Superseded by National Disaster Recovery Framework	--	
15	External Affairs	DHS	✓

# USDOT Emergency Response Team



# Response Applications

- Stafford Act
  - Authorizes FEMA to direct other federal agencies to use their available personnel, supplies, facilities, and other resources to provide assistance in the event of a emergency declaration or major disaster declaration by the President
- Other Declarations
  - A Governor or Mayor has declared an emergency and the Secretary of Transportation concurs

# FTA Major Lesson Learned...

- After Hurricane Katrina, it became clear FTA needed a process for waiving requirements
- FTA developed an emergency relief docket process:
  1. Existing regulations that permit exceptions in emergencies; e.g. Charter, Planning, NEPA, Procurement
  2. Requested by recipients through emergency relief docket

# FTA Emergency Relief Docket

<https://www.transit.dot.gov/regulations-guidance/notices/2018-02083>

The screenshot shows the Federal Transit Administration website. The header includes the FTA logo and navigation links for About, Funding, and Regulations & Guidance. A search bar with a 'GO' button is also present. The main content area displays the title 'Notice of Establishment of Emergency Relief Docket for Calendar Year 2018' and a 'Details' link. Below the title, there are sections for 'Action: Notice.', 'Summary:', and 'Authority:'. A sidebar on the left lists various categories like 'Regulations & Guidance', 'Safety & Oversight', etc. A large text box is overlaid on the bottom right of the screenshot, containing the full text of the notice.

## Notice of Establishment of Emergency Relief Docket for Calendar Year 2018

[Details](#)

**Action:**  
Notice.

**Summary:**  
By this notice, Emergency Relief subgrantees affected by temporary relief

[View PDF](#)

**Authority:**

**DEPARTMENT OF TRANSPORTATION**  
**Federal Transit Administration**  
[Docket FTA-2018-0001]

### Notice of Establishment of Emergency Relief Docket for Calendar Year 2018

**AGENCY:** Federal Transit Administration (FTA), DOT.

**ACTION:** Notice.

**SUMMARY:** By this notice, the Federal Transit Administration (FTA) is establishing an Emergency Relief Docket for calendar year 2018 so grantees and subgrantees affected by national or regional emergencies may request temporary relief from FTA administrative and statutory requirements.

**FOR FURTHER INFORMATION CONTACT:** Bonnie L. Graves, Attorney-Advisor, Office of Chief Counsel, Federal Transit Administration, 90 Seventh Street, Ste.

letter or comments to the docket must include the agency name (Federal Transit Administration) and docket number FTA-2018-0001. Grantees and subgrantees making submissions to FTA or to the docket by mail or hand delivery should submit two copies.

Grantees and subgrantees are strongly encouraged to contact their FTA regional office and notify FTA of the intent to submit a petition to the docket.

In the event a grantee or subgrantee needs to request immediate relief and does not have access to electronic means to request that relief, the grantee or subgrantee may contact any FTA regional office or FTA headquarters and request that FTA staff submit the petition on its behalf.

Federal public transportation law at 49 U.S.C. 5324(d) provides that a grant awarded under Section 5324 or under 49 U.S.C. 5307 or 49 U.S.C. 5311 that is made to address an emergency shall be subject to the terms and conditions the Secretary determines are necessary. This language allows FTA to waive statutory

requirements and review any comments submitted thereto. FTA may contact the grantee or subgrantee that submitted the request for relief, or any party that submits comments to the docket, to obtain more information prior to making a decision. FTA shall then post a decision to the Emergency Relief Docket. FTA's decision will be based on whether the petition meets the criteria for use of these emergency procedures, the substance of the request, and the comments submitted regarding the petition. If FTA does not respond to the request for relief to the docket within three business days, the grantee or subgrantee may assume its petition is granted for a period not to exceed three months until and unless FTA states otherwise.

A petition for relief from statutory requirements will not be conditionally granted and requires a written decision from the FTA Administrator.

Pursuant to 49 CFR 604.2(f) of FTA's Charter Rule, grantees and subgrantees may assist with evacuations or other

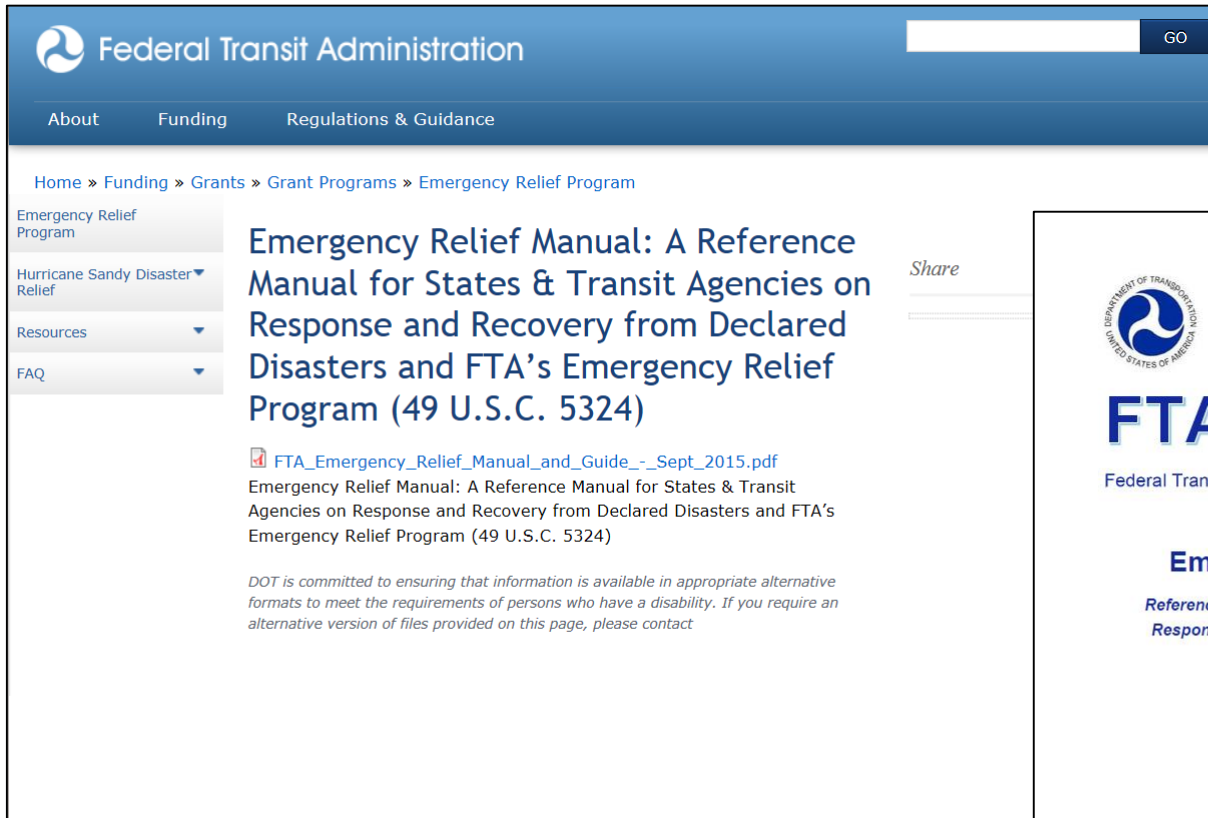
<https://www.gpo.gov/fdsys/pkg/FR-2018-02-02/pdf/2018-02083.pdf>

# Leaning Forward

- Emergency transportation services being provided, **including number of passengers and number of buses/drivers being used**
- Status of regular service
- Damages to vehicles and facilities
- Impacts to transit employees

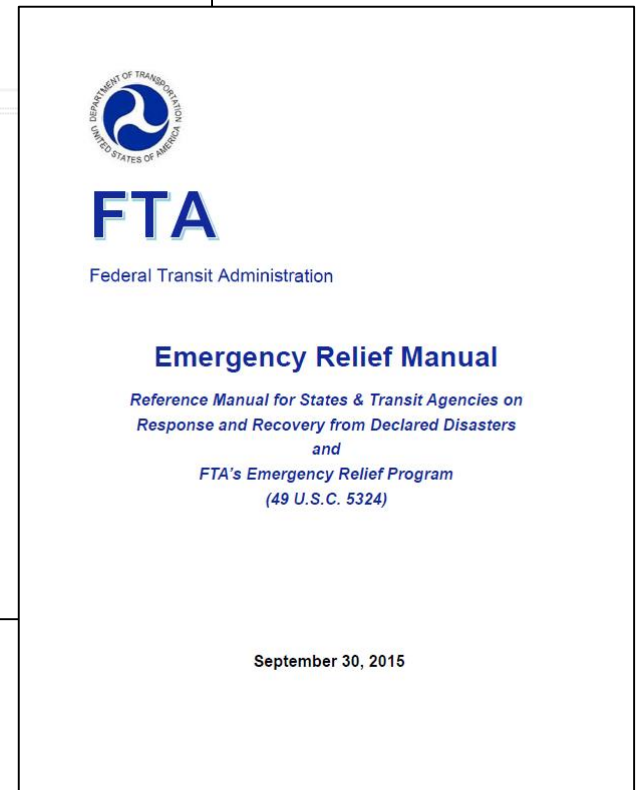


# Leaning Forward



The screenshot shows the Federal Transit Administration website. The header includes the FTA logo and the text "Federal Transit Administration" with a search bar and a "GO" button. Below the header are navigation links for "About", "Funding", and "Regulations & Guidance". The breadcrumb trail reads "Home » Funding » Grants » Grant Programs » Emergency Relief Program". A left sidebar contains a menu with "Emergency Relief Program", "Hurricane Sandy Disaster Relief", "Resources", and "FAQ". The main content area features the title "Emergency Relief Manual: A Reference Manual for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief Program (49 U.S.C. 5324)". Below the title is a PDF icon and the filename "FTA\_Emergency\_Relief\_Manual\_and\_Guide\_-\_Sept\_2015.pdf". The text below the filename repeats the title. A "Share" button is visible to the right of the title. At the bottom of the main content area, there is a small text block: "DOT is committed to ensuring that information is available in appropriate alternative formats to meet the requirements of persons who have a disability. If you require an alternative version of files provided on this page, please contact".

<https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-manual-reference-manual-states>



The cover of the "Emergency Relief Manual" features the FTA logo at the top, which includes the text "DEPARTMENT OF TRANSPORTATION" and "UNITED STATES OF AMERICA". Below the logo is the large text "FTA" and "Federal Transit Administration". The title "Emergency Relief Manual" is centered, followed by the subtitle "Reference Manual for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief Program (49 U.S.C. 5324)". At the bottom right, the date "September 30, 2015" is printed.

# Questions

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