

# FTA

FEDERAL TRANSIT ADMINISTRATION

## **Safety Culture: A Key Component of an Agency's Successful Safety Management System**

**Candace Key  
APTA Rail Conference  
June 25, 2019**



U.S. Department of Transportation  
Federal Transit Administration

# PTASP Implementation & SMS Requirements

## Safety Management Policy

- Safety objectives for agency
- Employee reporting program
- Accountabilities and responsibilities for Accountable Executive, Chief Safety Officer, Agency Leadership, Key Staff Responsible for safety

## Safety Risk Management

- Identify safety hazards
- Assess safety risks associated with the identified safety hazards
- Prioritize safety hazards based on level of risk
- Implement safety risk mitigations

## Safety Assurance

- Safety performance monitoring and measurement (all transit agencies)
- Management of change (rail/large bus only)
- Continuous improvement (rail/large bus only)

## Safety Promotion

- Comprehensive safety training program for agency employees/contractors directly responsible for safety
- Communicate agency's safety performance throughout organization

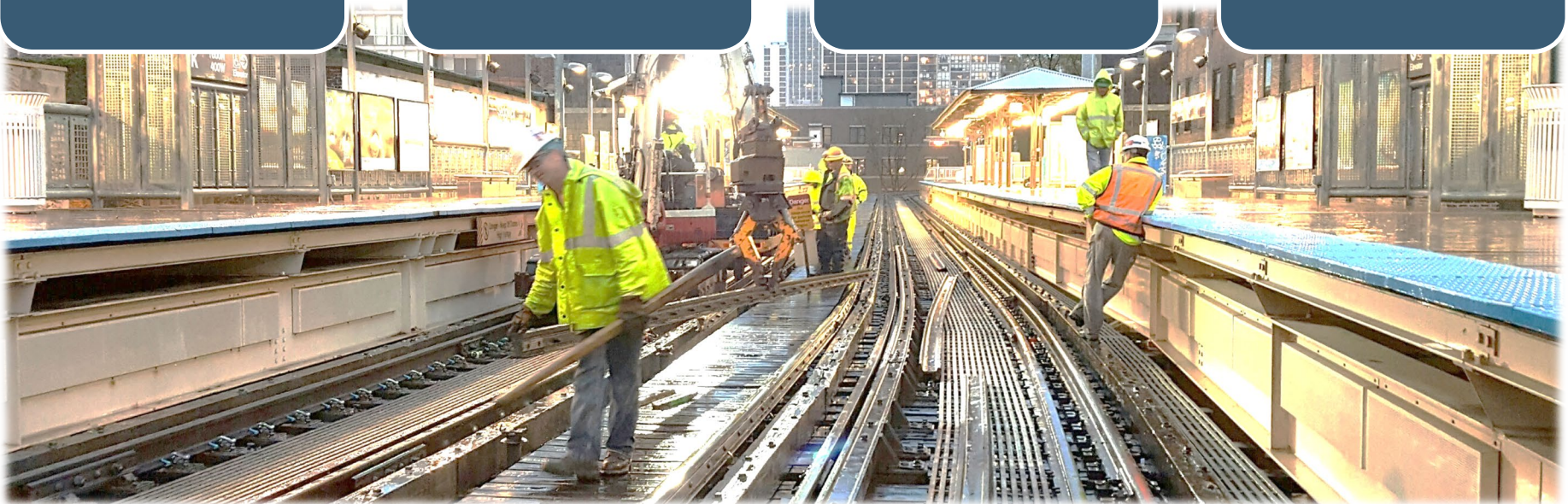
# SMS Implementation Pilot Program

Test Safety Risk Management (SRM) procedures on a small scale before implementing agency-wide

Focus on the process, not the results

Collaborative work tools can help facilitate SRM and store required information

Focus training on what is critical for each role



# Principles and Goals of Safety Management Systems (SMS)

## Safety Culture

- Proactive
- Integrative
- Cooperative

## Continuous Safety Improvement

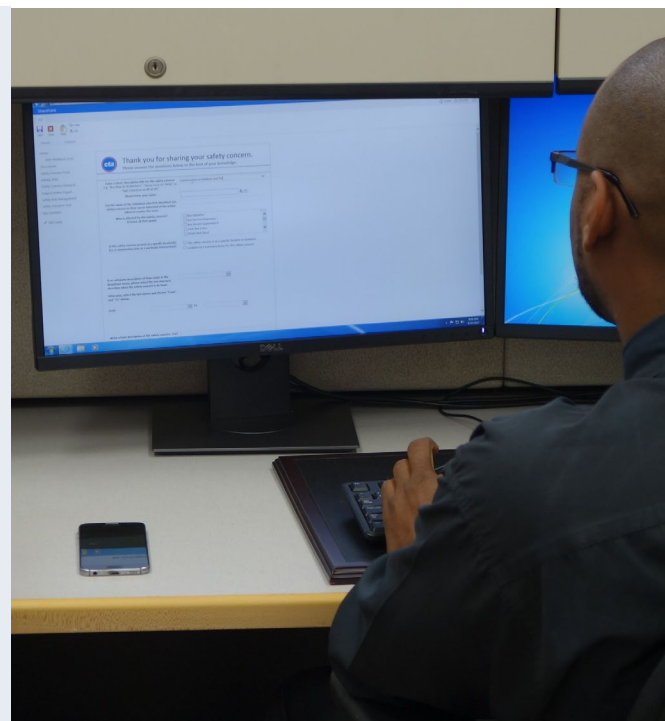
- Understand Hazard Identification
- Safety Risk Management
- Evaluate Solutions

# Importance of Safety Culture



# Employee Reporting Systems

In its SRM Pilot, the Chicago Transit Authority **asked individuals to report** whatever concerns they regarding safety—**their safety concerns**. Safety specialists then ensured the safety concerns were properly characterized for analysis.



# Upcoming PTASP Workshops

## PTASP Workshops

### Region 4

**July 23 or 24**  
**Atlanta, GA**

Registration: [https://ptasp\\_atlanta.eventbrite.com](https://ptasp_atlanta.eventbrite.com)

### Region 5

**August 7**  
**Chicago, IL**

Registration: [https://ptasp\\_chicago.eventbrite.com](https://ptasp_chicago.eventbrite.com)

### Region 9

**August 20 or 21**  
**San Francisco, CA**

Registration: [https://ptasp\\_sf.eventbrite.com](https://ptasp_sf.eventbrite.com)

### SSOA and RTA Session\*

**September 23**  
**Washington, D.C.**

Registration: <https://www.ftassorta.website/>

*\*PTASP Session for SSOAs and RTAs will be held during the 2019 FTA Joint SSO and RTA Workshop.*

## 2019 Joint FTA SSO and RTA Workshop

September 23 –26

Washington, D.C.

- Register through <https://www.ftassorta.website/>
  - If you have questions about the workshop, please contact Donna Aggazio at (202) 366-1750 or Patrick Nemons at (202) 366-4986 or email [FTASafetyStakeholder@dot.gov](mailto:FTASafetyStakeholder@dot.gov).