

# FTA

FEDERAL TRANSIT ADMINISTRATION

## The Office of Transit Safety and Oversight (TSO) Safety Updates

APTA TRANSform Conference  
State Affairs Committee

Candace Key  
Office of Transit Safety and Oversight  
October 13, 2019









U.S. Department of Transportation  
Federal Transit Administration

# Overview

- Public Transportation Agency Safety Plan (PTASP) Regulation
- PTASP Technical Assistance Center (TAC)
- Resources
- Questions

# Applicability

Applies to:	Does NOT Apply to:
<p>Operators of transit systems that are recipients or subrecipients of FTA funds:</p> <ul style="list-style-type: none"><li> Section 5307</li><li> Section 5310 &amp; 5311 (applicability deferred)</li><li> All rail transit operators, regardless of FTA funding source</li></ul>	<ul style="list-style-type: none"><li> FTA recipients that do not operate transit systems</li><li> Commuter rail service regulated by Federal Railroad Administration</li><li> Passenger ferry service regulated by U.S. Coast Guard</li></ul>

## Impacted Agencies



**65 Rail Transit Agencies**



**128 Large 5307 Bus Agencies**



**737 Small 5307 Bus Agencies**



**Multi-modal Transit Agencies**

# Agency Safety Plan Requirements

- One plan for all modes, or one for each mode
  - Recommend excluding commuter rail subject to safety regulation by FRA from ASP due to data protection differences
- Must include:



**SMS processes and activities**



**Safety performance targets**



**Emergency preparedness and response plan (rail only)**



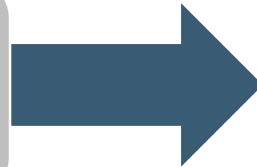
**Process and timeline for annual review and update**

# Who is Responsible for Developing the Plan?

**If the Section 5307 recipient or subrecipient is a:**

**Then:**

**Small public transportation provider**



**State Department of Transportation (DOT)**

**Large bus transit provider**



**Large bus transit provider**

**Rail transit provider**



**Rail transit provider**

# Small Provider Examples



- 75 fixed route buses
- 81 demand response paratransit vehicles
- 55 demand response taxis



- 65 fixed route buses
- 55 fixed route vanpools
- 30 demand response paratransit vehicles



- 110 demand response paratransit vehicles



- 85 fixed route buses
- 15 fixed route vanpools
- 62 demand response paratransit vehicles



- 75 fixed route buses
- 50 deviated fixed route buses
- 6 demand response paratransit



- 102 fixed route buses
- 65 demand response paratransit vehicles



- 100 fixed route buses
- 1 ferry route



- 22 fixed route buses
- 2 streetcar lines



- 35 fixed route buses
- 2 commuter rail lines under FRA safety oversight



- 37 fixed route buses
- 105 demand response paratransit vehicles
- 12 demand response taxis

# Small Provider Opt-Out

- Small public transportation providers may opt out of a State-developed plan
- To opt-out, a small provider must **notify** the State that it will draft its own plan
- State should **maintain documentation** of small provider opt-out if the State doesn't develop the plan
- If the State develops small provider plan and small provider later opts-out, small provider has one year from the notification date to draft and certify a plan

# Funding for Agency Safety Plan Development and Implementation

Federal funds may be used to develop and implement an Agency Safety Plan:

## Development

**Section 5305  
Statewide  
Transportation  
Planning  
Program**

**Section 5307  
Urbanized Area  
Formula Grants**

## Implementation

**Section 5307  
Urbanized Area  
Formula Grants**

**Section 5337  
State of Good  
Repair Grants**

**Section 5339  
Grants for Bus  
and Bus  
Facilities  
Program**



# PTASP Technical Assistance Center



- Public Transportation Agency Safety Plan (PTASP) Technical Assistance Center (TAC) will launch at 9am on October 14<sup>th</sup>
- Will provide comprehensive technical assistance to help the transit industry meet PTASP regulation requirements by July 20, 2020, by supporting:
  - Bus and rail transit providers, large and small
  - State Departments of Transportation
  - State Safety Oversight Agencies

# PTASP Technical Assistance Center Components

## Community of Practice



- Online discussion forums to ask questions, share ideas and documents, and engage with posts
- Hosted on DOT's UserVoice system
- Will be moderated during business hours

## Resource Library



- Voluntary technical assistance materials organized by agency type
- Hosted on FTA's website
- Updated with new materials, based on industry needs

## One-on-One Technical Assistance



- Agency Safety Plan reviews
- Help desk to answer questions and schedule assistance
- Staffed 9am-8pm ET, M-F, with a dedicated phone number, email and mailing address

## Onsite Technical Assistance



- Conduct onsite trainings and provide assistance to help agencies meet PTASP regulation requirements

# PTASP Resources

## Preparing for July 20, 2020

### Read, watch, and participate

- Review the [PTASP FAQs](#)
- Visit the [PTASP Resources](#) page to view previous webinars and documents
- Participate in webinars explaining PTASP-regulations and guidance
- Read our newsletter, [TSO Spotlight](#) for PTASP-related articles



### Attend a webinar

PTASP Technical Assistance Center (TAC)  
Thursday, October 24  
2:00 PM ET

[Register](#)

PTASP Refresher  
Tuesday, October 29  
2:00 PM ET

[Register](#)

### Sign up to receive updates

Sign up for GovDelivery:

A screenshot of the GovDelivery sign-up form. The header features the U.S. Department of Transportation Federal Transit Administration logo and a background image of a train. The form is titled 'Email Updates' and includes the text: 'To sign up for updates or to access your subscriber preferences, please enter your contact information below'. There is a text input field for 'Email Address' with a red asterisk indicating it is required. Below the input field are two buttons: 'SUBMIT' and 'CANCEL'.

- FTA announcements and new PTASP documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>